

# Crossover between BCS Superconductor and doped Mott ins.

## Possible normal state in the two-dimensional Hubbard model

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Variational Monte Carlo (VMC) study (cf. BCS variational theory)

Yokoyama, et al, J. Phys. Soc. Japan **82**, 014707 (2013)

# Crossover between BCS Superconductor and doped Mott ins.

## Possible normal state in the two-dimensional Hubbard model

- Mott transition (Brinkman-Rice-like transition)

$t-t'-U$  Hubbard model at half-filling

First order phase transition: doublon-holon bound state (**RVB-Insulator**)

- Superconductivity in the doped case

Weak coupling  $U < W$       BCS-like

Strong coupling  $U > W$        $t$ - $J$  like = doped Mott insulator

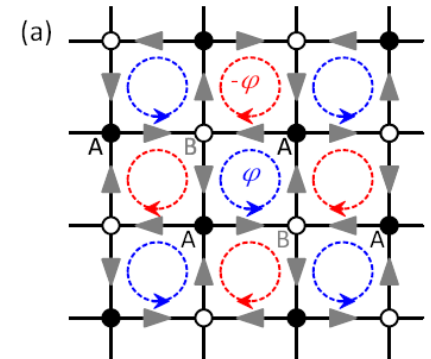
Relation between Hubbard model and  $t$ - $J$  model

- Staggered flux state as a possible normal state

Energy:  $d$ -wave  $<$  SF  $<$  projected FS

Properties: gap in spin sector, Fermi arc...

Yokoyama, et al, J. Phys. Soc. Japan **82**, 014707 (2013)



## Hubbard model

$$H = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^+ c_{j\sigma} + U \sum_j n_{j\uparrow} n_{j\downarrow} \quad (U \geq 0)$$

A typical model for strongly correlated electron systems

In Hubbard model, we expect a metal-insulator transition at a critical value of  $U_c$  when  $n=1$ .

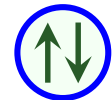
Insulator in large  $U/t$ -region ( $t$ - $J$  like)  
Metal in small  $U/t$ -region

# Mott transition as a first-order phase transition (similar to gas-liquid)

Variational wave function at  $T=0$

$$\Psi_{\text{SC}} = \mathcal{P}_Q \mathcal{P}_G | \text{BCS}(\Delta) \rangle$$

$\mathcal{P}_G$  : projection operator controlling doublon number



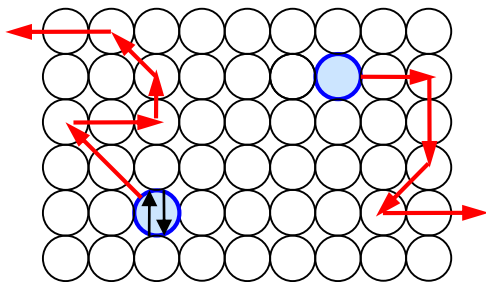
$\mathcal{P}_Q$  : projection operator controlling

the correlation between doublons and holons is **Essential**

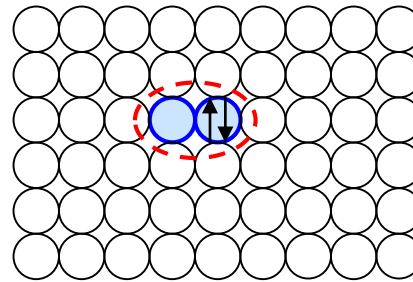
**free doublon & holon**



**bound state**



**conductive**



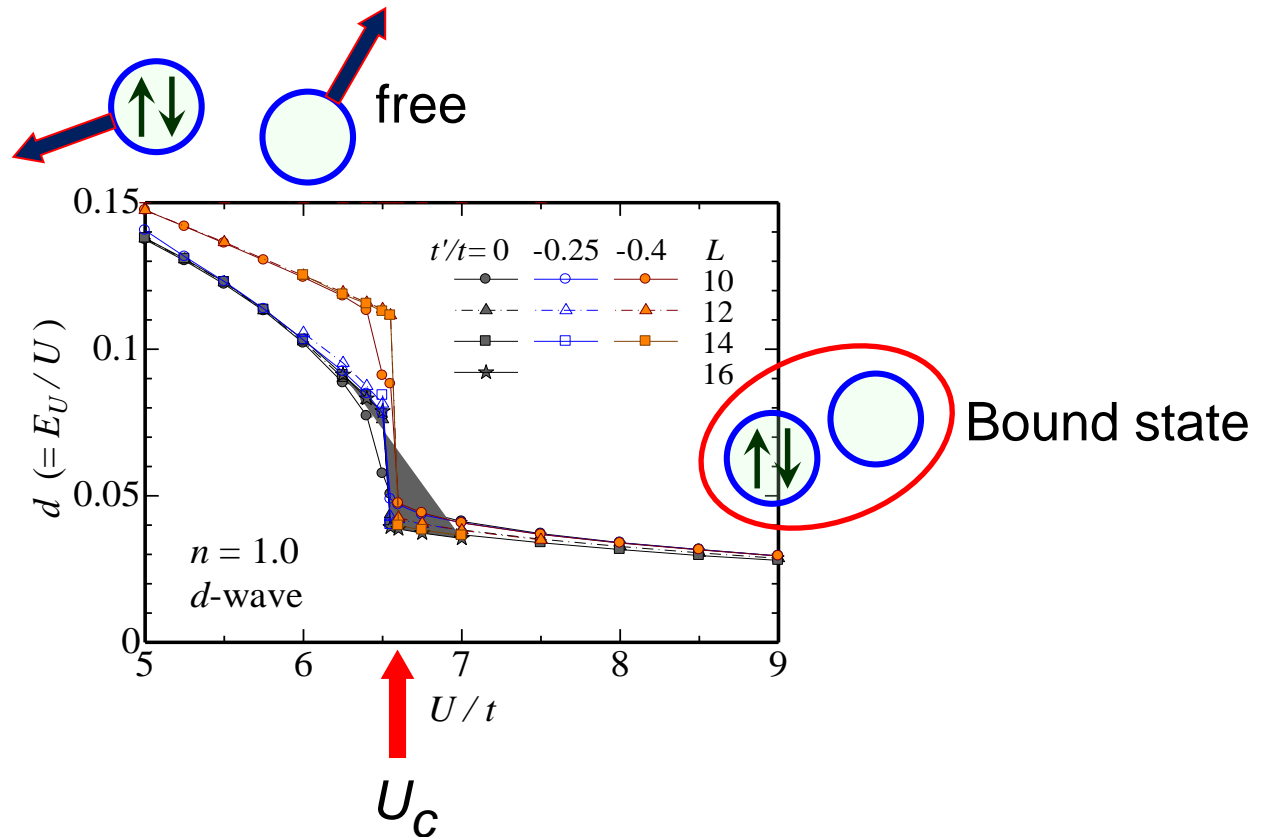
**insulating**

**Mott Transition**

# Mott transition as a first-order transition

- Density of doublons

“order parameter” in Mott transition  
(similar to gas-liquid transition)

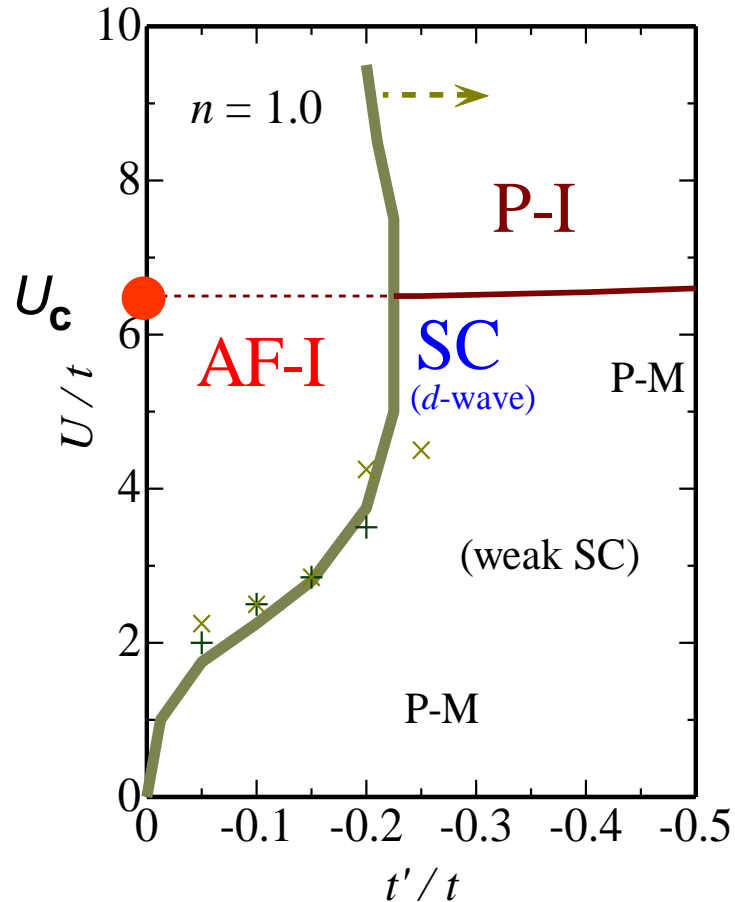


# Phase diagram

half filling ( $\delta=0$ )

T=0 Variational Theory

**$t$ - $t'$ - $U$  Hubbard model**



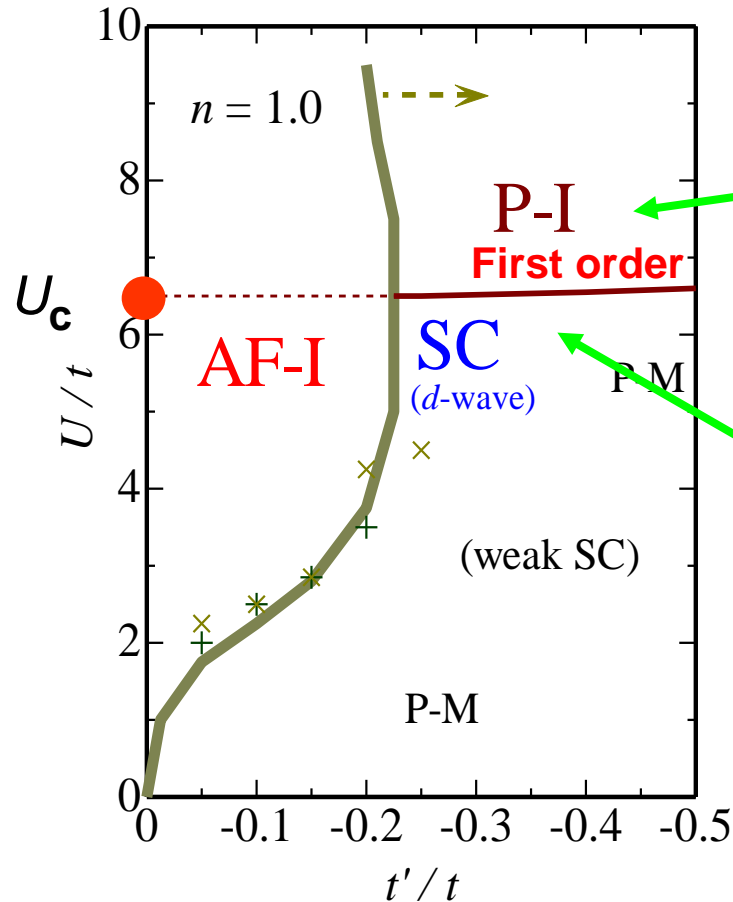
Yokoyama, Ogata et al, J. Phys. Soc. Japan **75**, 114706 (2006)  
J. Phys. Soc. Japan **82**, 014707 (2013)

# Phase diagram

half filling ( $\delta=0$ )

T=0 Variational Theory

**$t$ - $t'$ - $U$  Hubbard model**



doublon-holon bound states  
= RVB insulator  
= Mott insulator

$$\Psi_{SC} = \mathcal{P}_Q \mathcal{P}_G |\text{BCS}(\Delta)\rangle$$

(=RVB state)

free doublons and holons

Yokoyama, Ogata et al, J. Phys. Soc. Japan **75**, 114706 (2006)

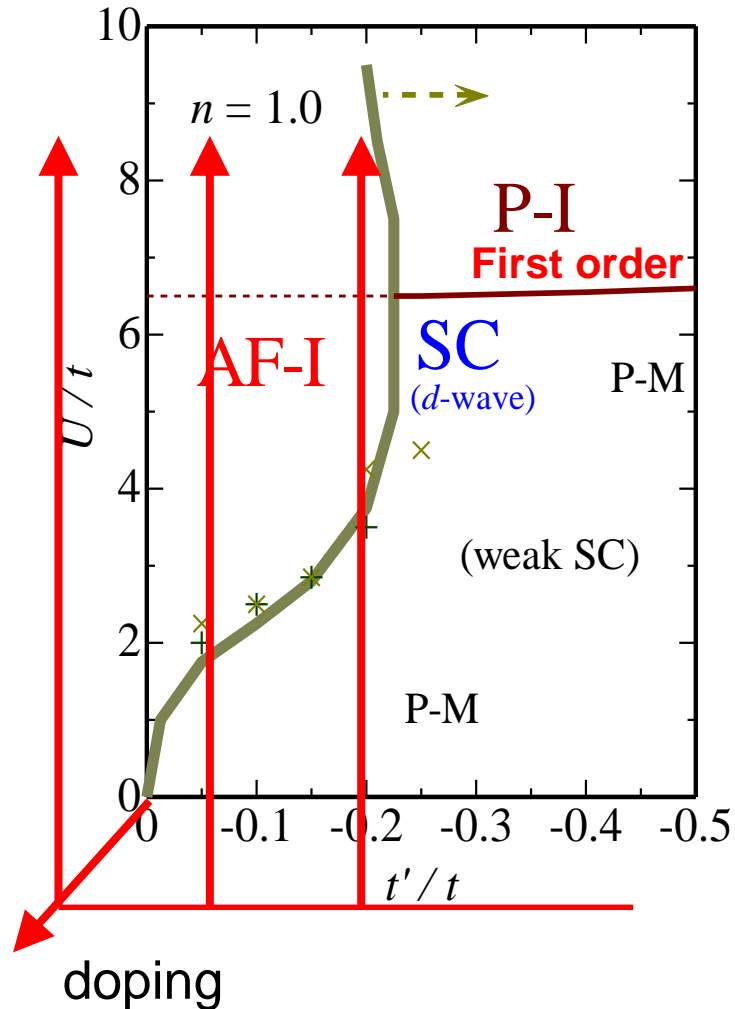
J. Phys. Soc. Japan **82**, 014707 (2013)

# Phase diagram

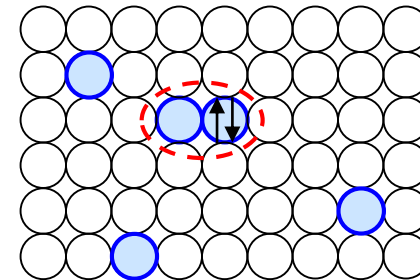
half filling ( $\delta=0$ )

T=0 Variational Theory

**$t$ - $t'$ - $U$  Hubbard model**



High- $T_c$  will appear when holes are doped in such a Mott insulator.



We need phase diagram at  $n < 1$

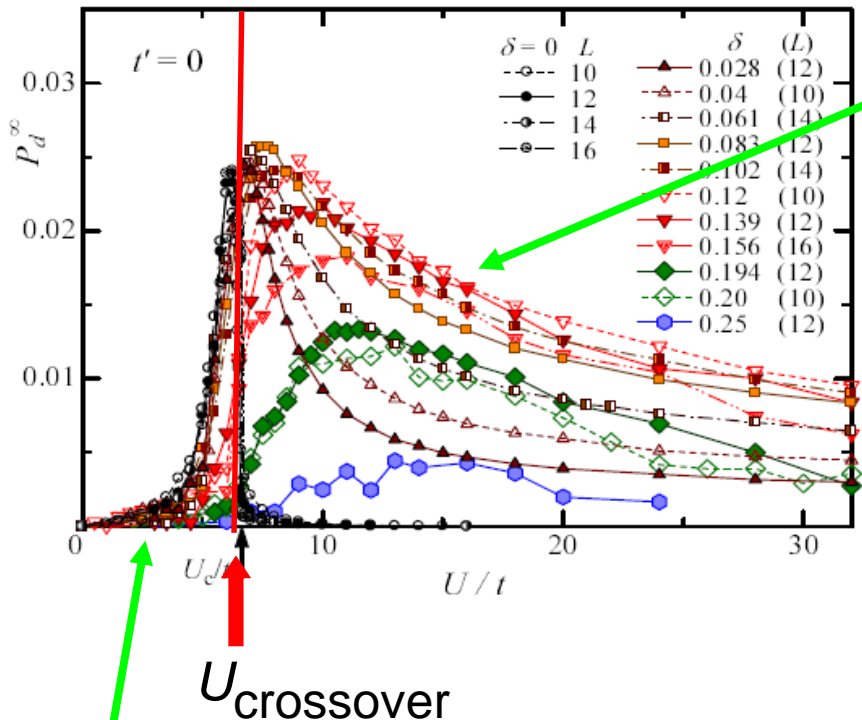
Yokoyama, et al, J. Phys. Soc. Japan  
**82**, 014707 (2013)



# Finite doping ( $n < 1$ )

Crossover (not phase transition),  
but physical picture is different !

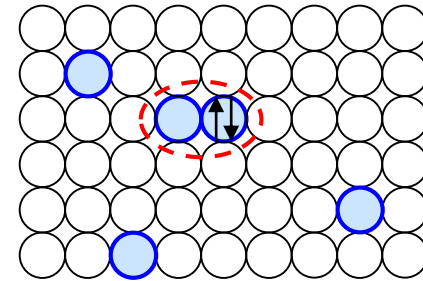
Correlation function for d-wave superconductivity



● Small  $U$  ( $U < U_{\text{co}}$ )  
weak correlation:  
weak superconductivity

● Large  $U$  ( $U > U_{\text{co}}$ )

**bound state + free holons**



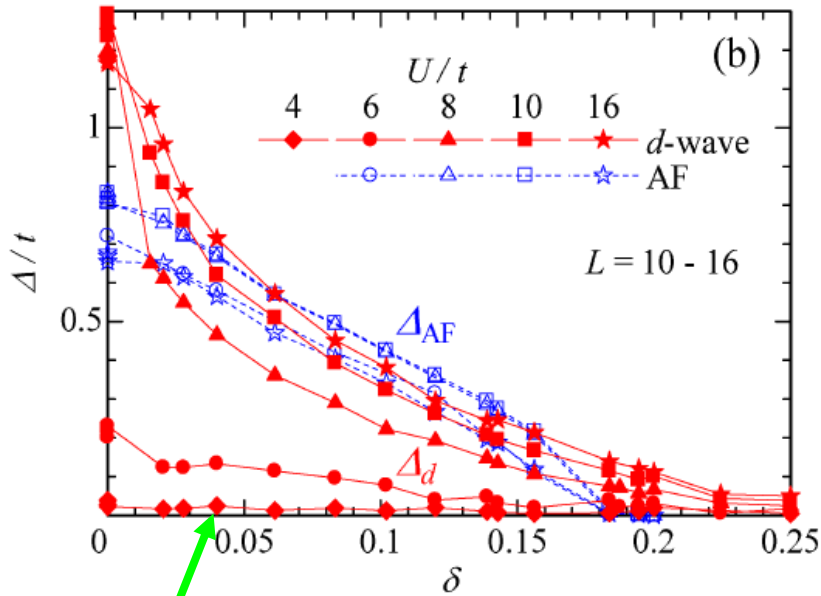
**“Doped Mott insulator”**  
= High- $T_c$

doublon-holon bound state  
= n.n. doublon-holon  
= virtual process inducing  $J$ -term

→  $t$ - $J$  region

# Doping dependence

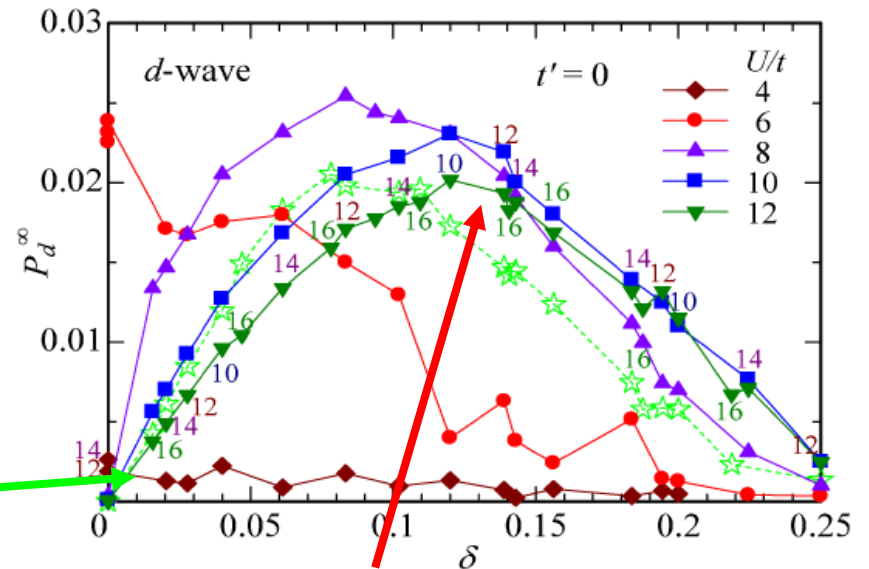
Variation parameter  $\Delta$  ----- excitation gap near  $(\pi,0)$  & singlet formation



● Small  $U$  ( $U < U_{co}$ )  
weak correlation:  
weak superconductivity



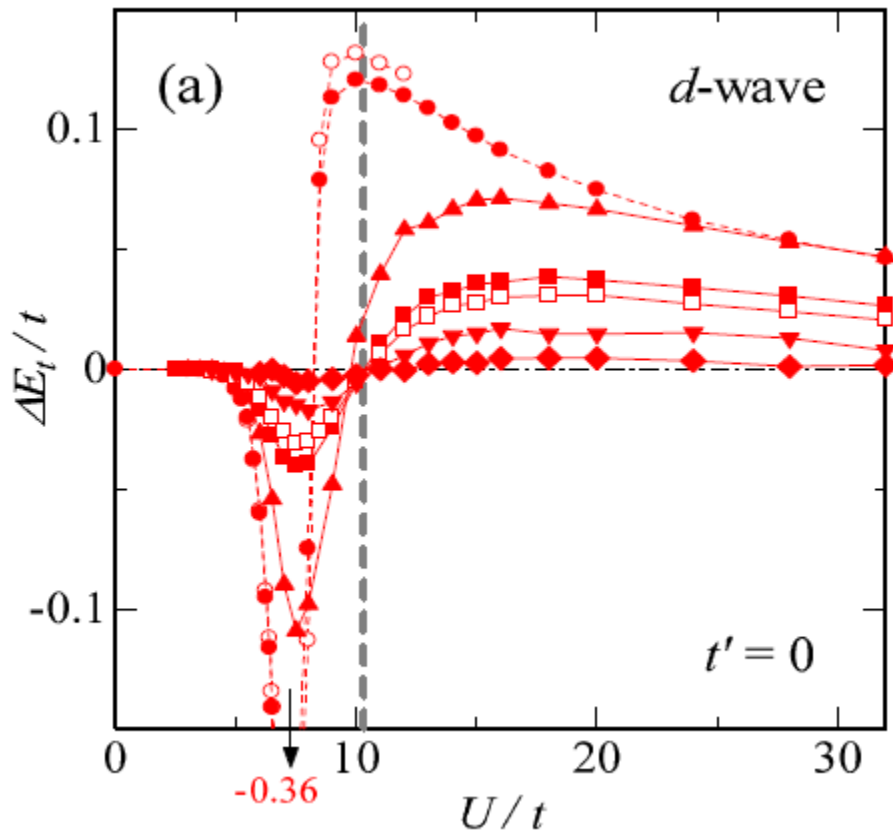
Superconducting correlation function



● Large  $U$  ( $U > U_{co}$ ) doped Mott

$$\Delta_{SC} = \frac{2\delta}{1+\delta} \Delta \quad T_C$$

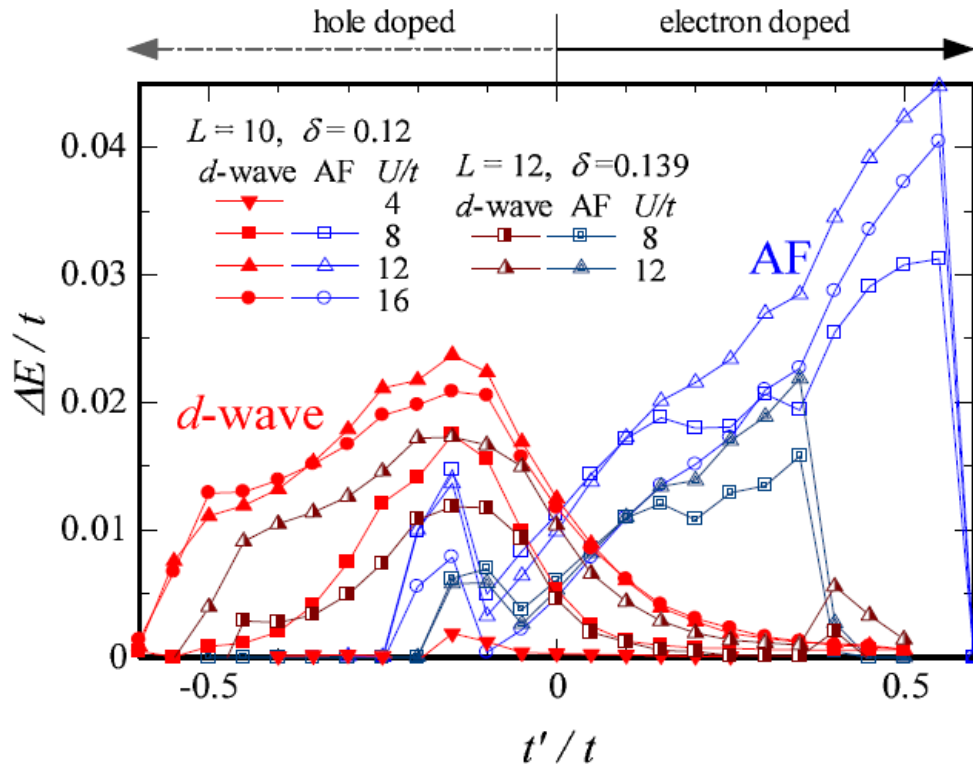
# Kinetic energy gain !



Kinetic energy gain in the  
Large  $U$  region ( $t$ - $J$  region)

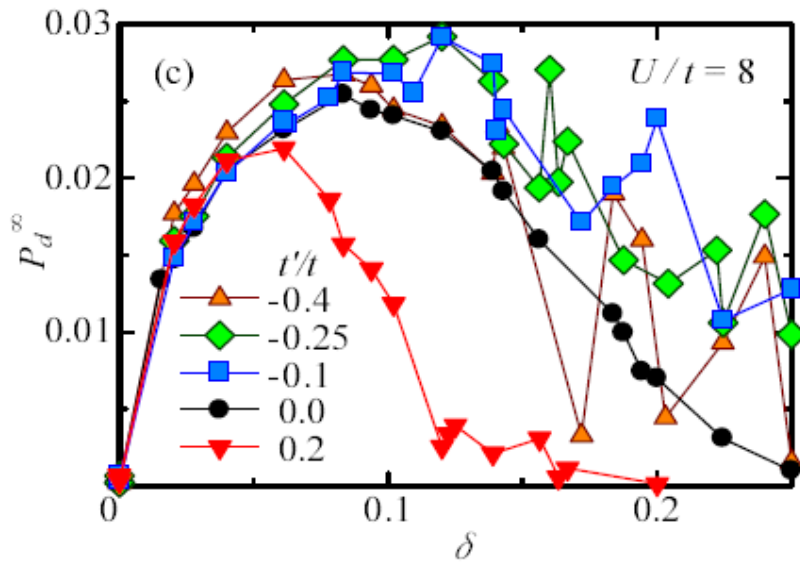
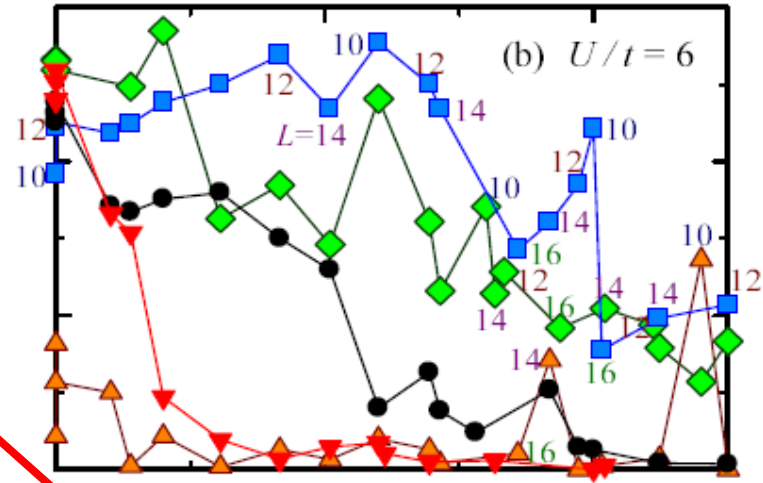
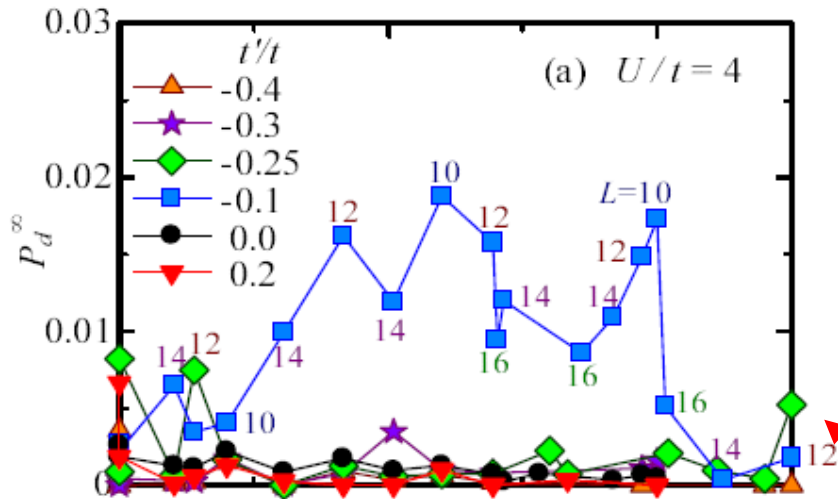
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# $t'$ -dependence



# Doping-dependence of d-wave correlation function

$T_c$



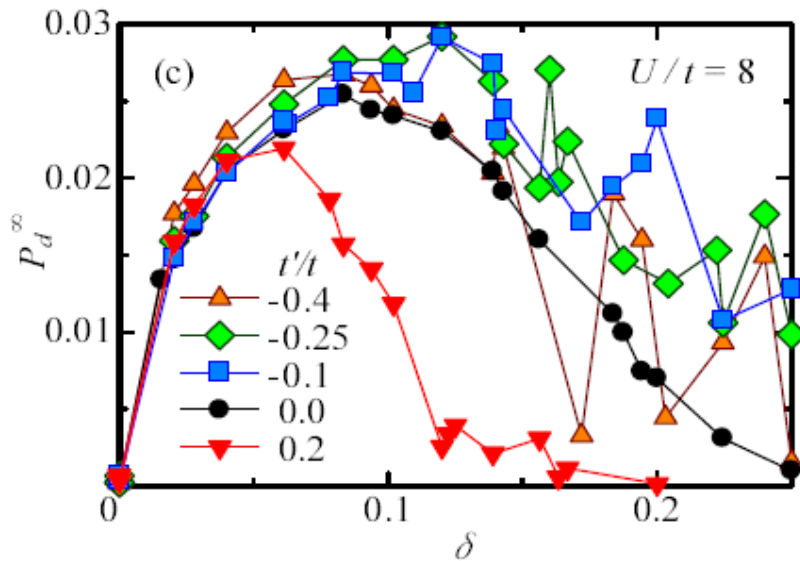
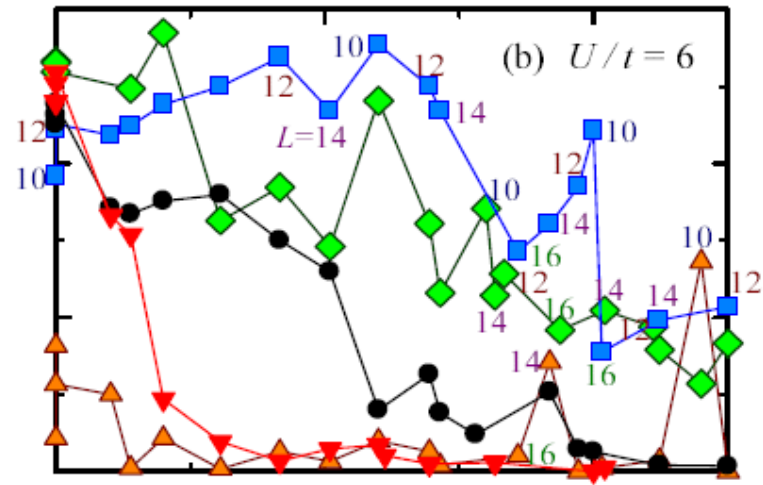
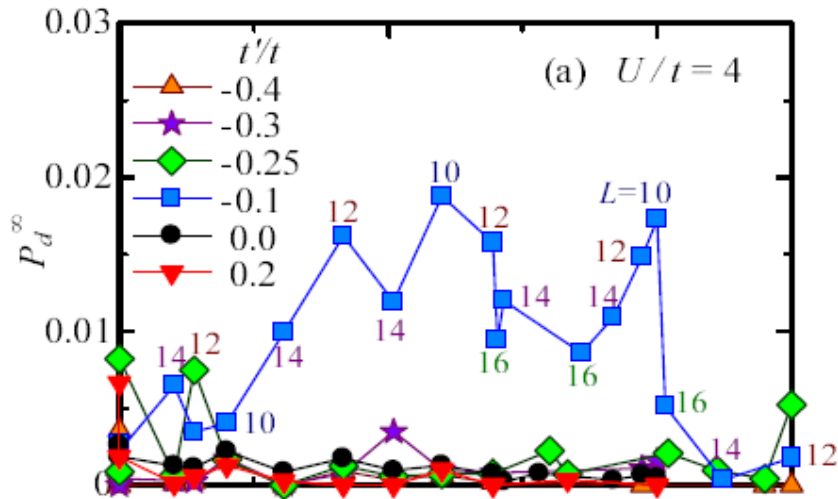
● Small  $U$  ( $U < U_{co}$ )  
 weak correlation:  
 weak superconductivity

—■—  $t' = -0.1$

Special case where van Hove singularity is located at the Fermi energy.

# Doping-dependence of d-wave correlation function

$T_c$



● Intermediate  $U$  ( $U \sim U_{co}$ )  
Crossover region

However,

$U/t = 6$  ( $U < U_{co}$ )

-----  $T_c$  will be max at  $\delta = 0$

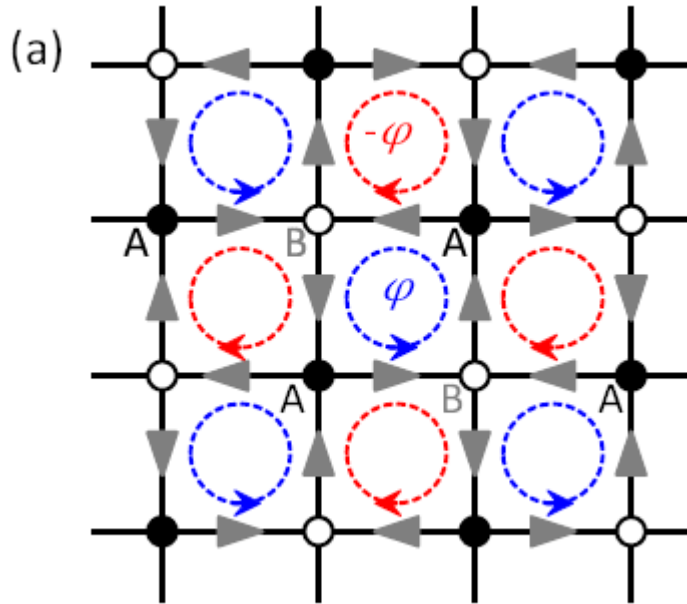
$U/t = 8$  ( $U > U_{co}$ )

----- dome-shaped  $T_c$

No superconductivity at  $\delta = 0$

(Mott insulator)

# Staggered Flux state (possible anomalous metallic state)

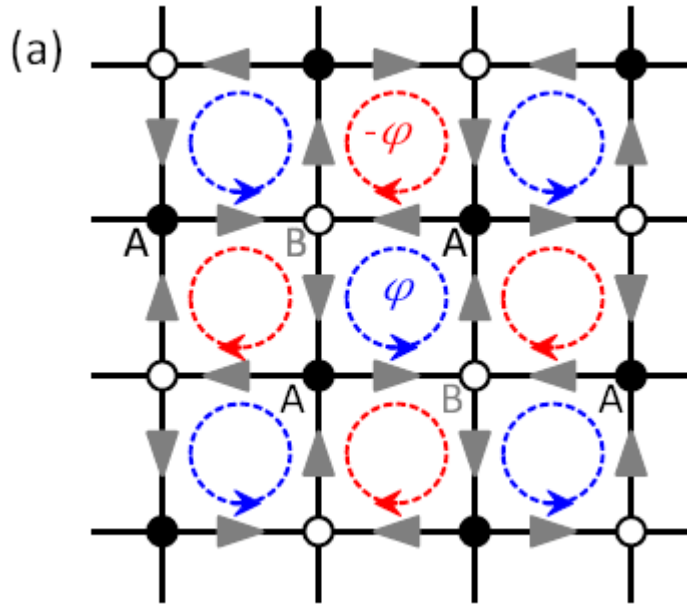


This is not the lowest variational state.  
But lower than the projected FS.

A candidate of  
“Symmetry-broken” normal state

$$\Phi_{SF}$$

# Staggered Flux state (possible anomalous metallic state)



For the t-J model, flux state was discussed.  
(mainly for the condensation energy)

Ivanov and Lee: PRB **68** (2003) 132501.

For the Hubbard model, flux state was not  
stabilized.

Also what is the property of this state?

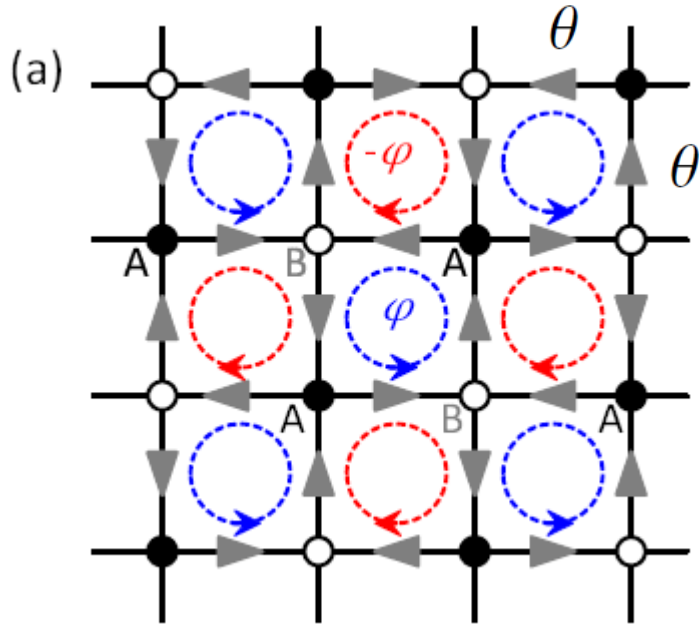
$$\mathcal{P}_Q \mathcal{P}_G \Phi_{SF}$$



We find that another Projection operator which introduces  
“configuration-dependent phase factor” is important.



# Staggered Flux state (possible anomalous metallic state)



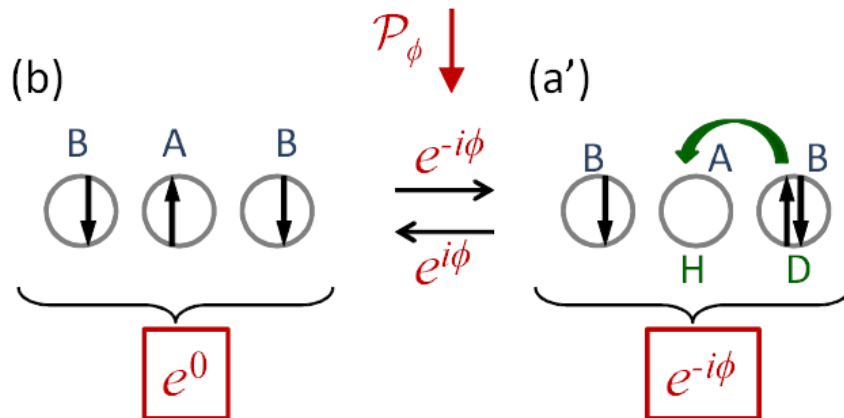
$$\mathcal{P}_Q \mathcal{P}_G \Phi_{SF}$$

This state is not stabilized  
In the Hubbard model.

$$\boxed{\mathcal{P}_\phi(\phi)} \mathcal{P}_Q \mathcal{P}_G \Phi_{SF}$$

----- stabilized !

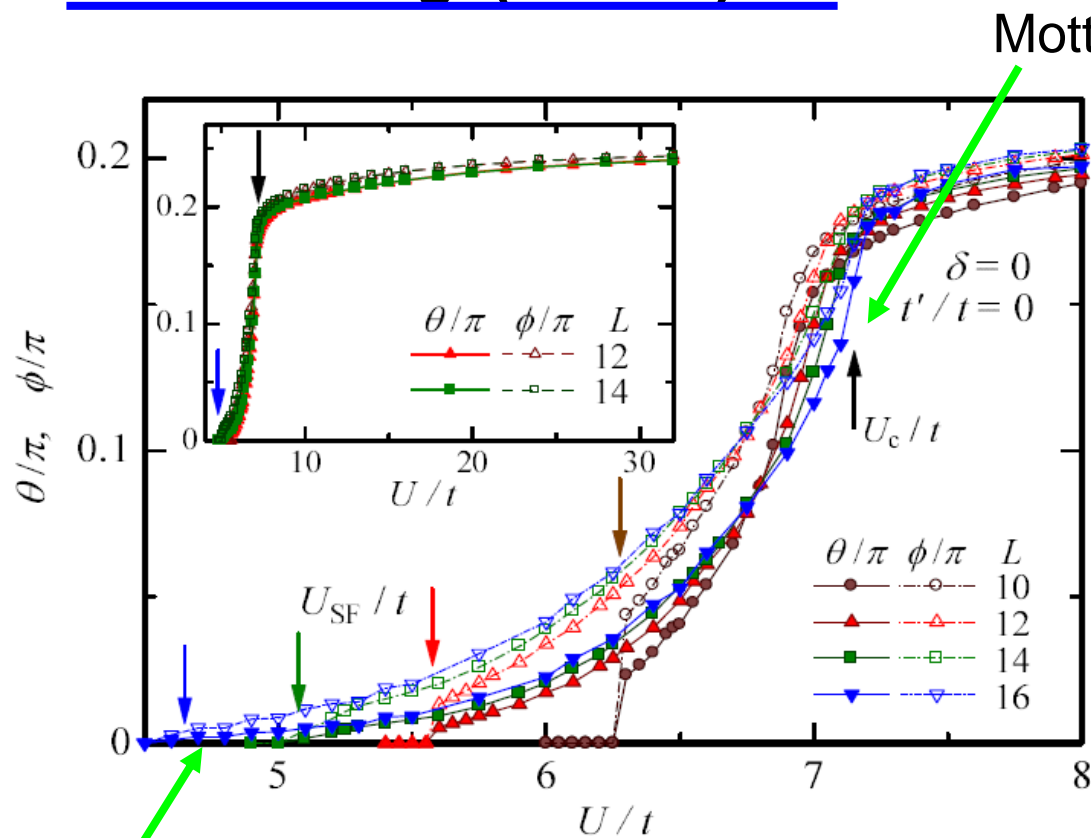
$$\mathcal{P}_\phi = \exp \left[ i\phi \sum_{\lambda=1}^2 (-1)^{\lambda+1} \sum_j d_{\lambda,j} \right] \times (h_{\lambda,j+x} + h_{\lambda,j-x} - h_{\lambda,j+y} - h_{\lambda,j-y})$$



This phase factor appears  
in the D-H creation processes.

# 1. Half-filling ( $t'/t = 0$ )

Staggered Flux



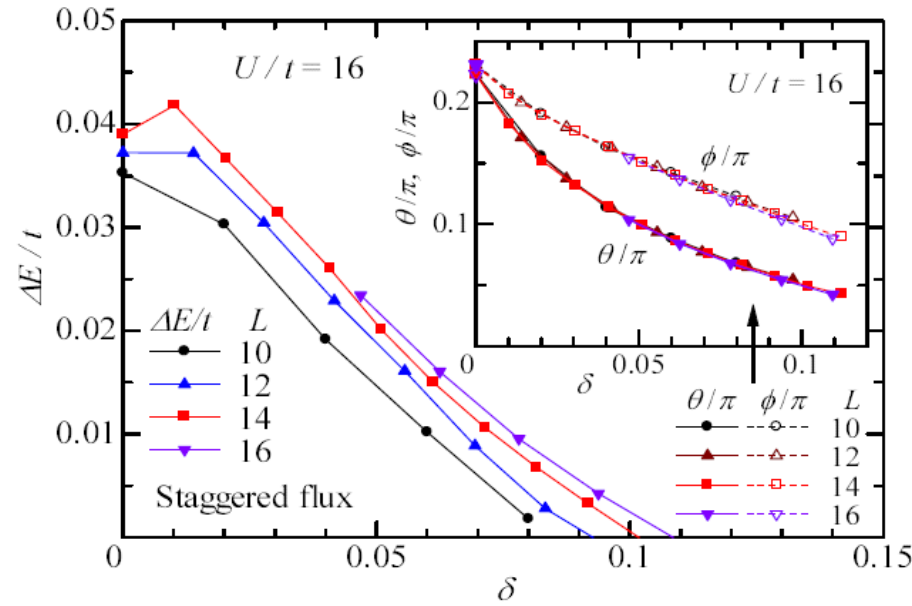
$\theta$  is nearly equal to  $\phi$  but staggered current flows.

Phase transition from projected FS  $\rightarrow$  staggered flux (SF)

## 2. Doping case ( $t'/t = 0$ )

Staggered Flux

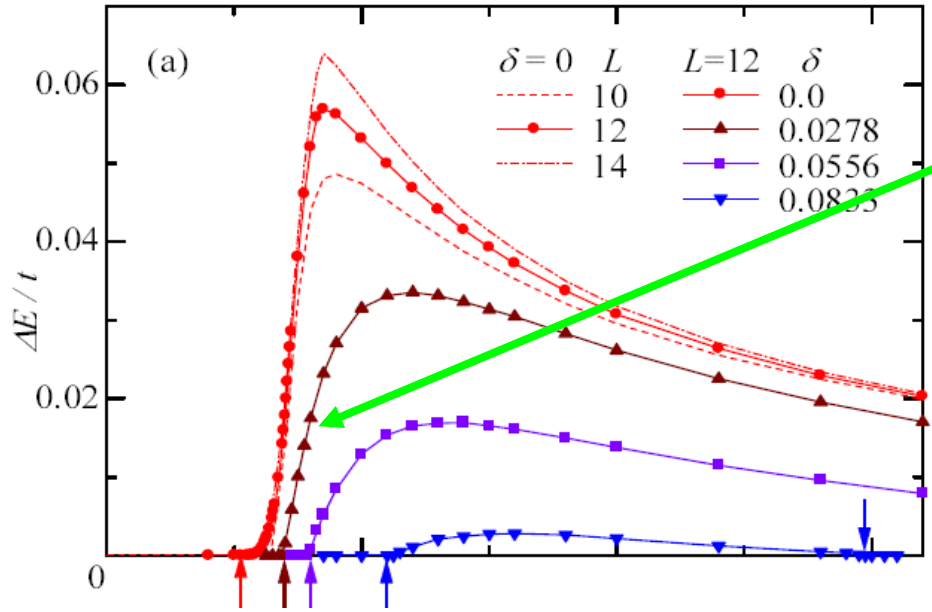
Phase diagram: SF state is a candidate for under-doped region.



$\phi$  is larger than  $\theta$

## 2. Doping case ( $t'/t = 0$ )

Staggered Flux



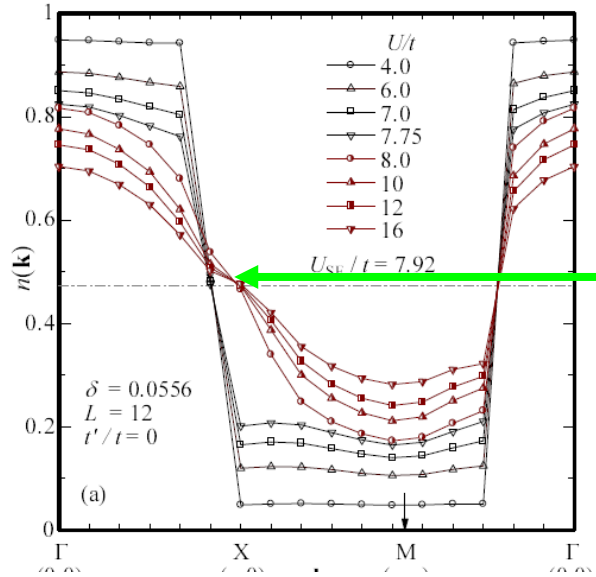
There is a sharp crossover near the Mott transition at  $\delta=0$

Very close to d-wave SC.

But the variational energies are d-wave SC < SF < projected FS

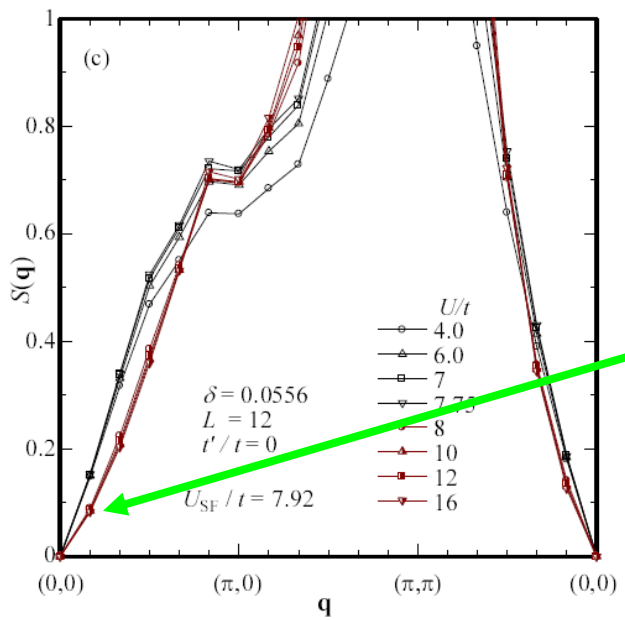
## 2. Doping case ( $t'/t = 0$ )

Staggered Flux



Momentum distribution function:  
close to d-wave SC (Fermi arc-like)

No Fermi surface near  $(0, \pi)$

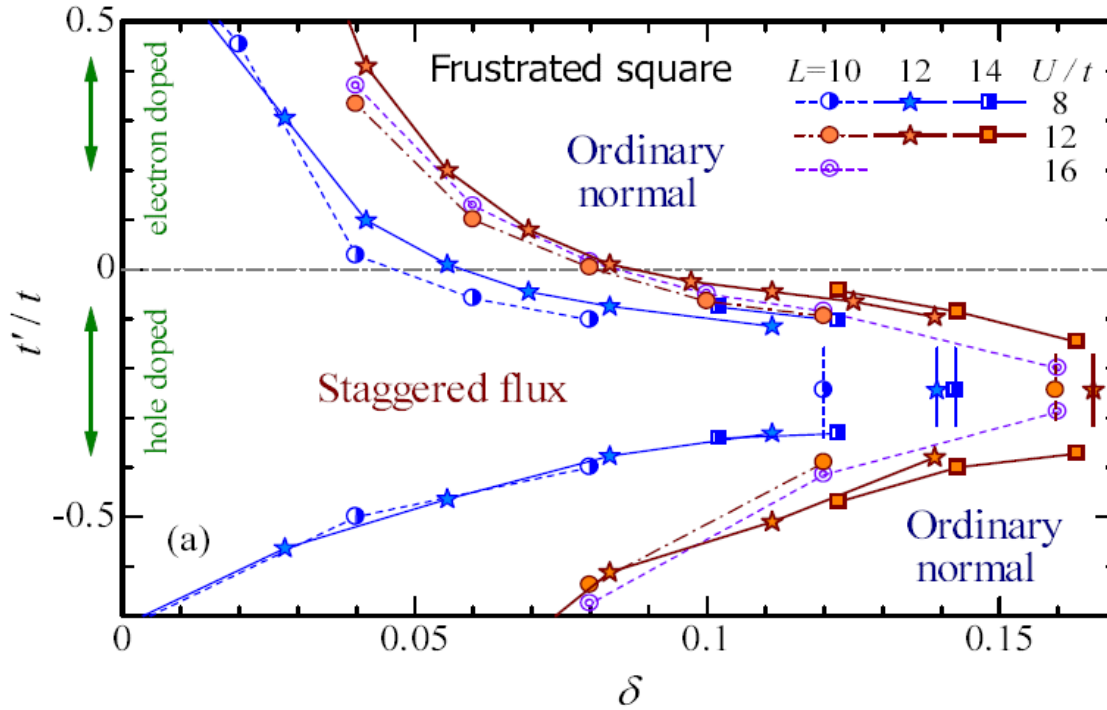


Spin correlation function:  $S(q)$   
 $q^2$  near  $q=0$  in the SF state.

Gap-like behavior in the spin sector!  
~ pseudo-gap

### 3. Doping case (finite $t'/t$ )

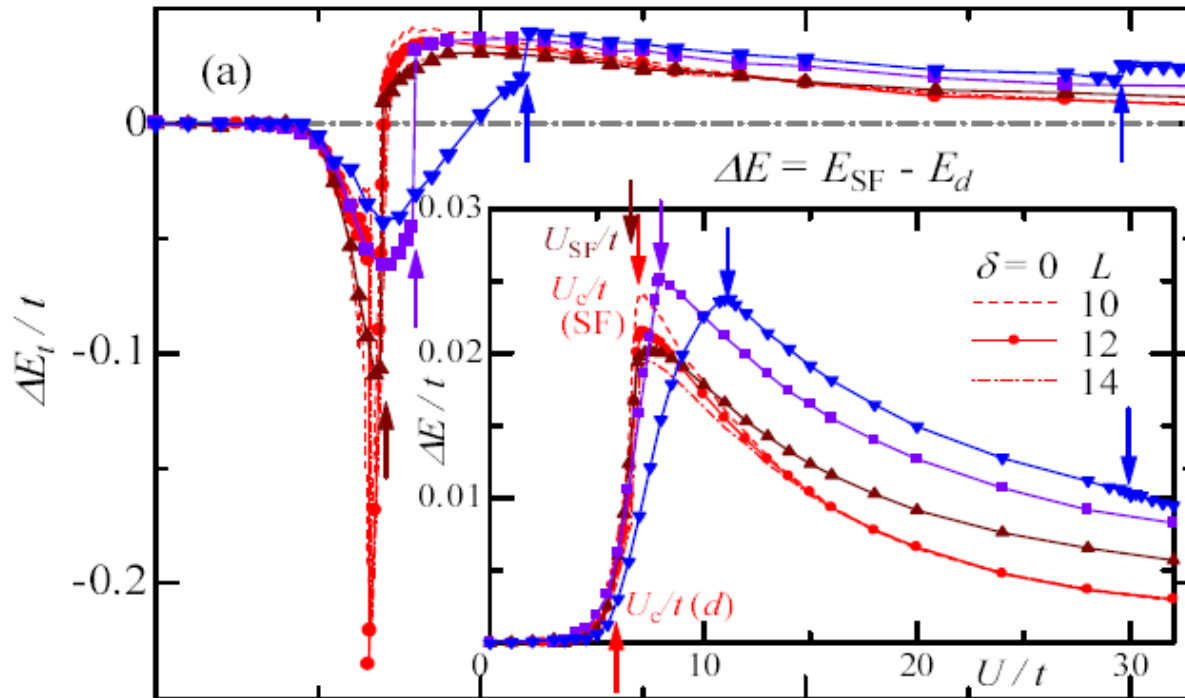
Staggered Flux



SF state is favorable for  $t'/t < 0$  (hole-doped case)

## 4. Kinetic energy gain

Staggered Flux



Kinetic energy gain in the Large  $U$  region ( $t$ - $J$  region)

Kinetic energy  
d-wave SC < SF < projected FS

# Conclusions

Yokoyama, Ogata et al, J. Phys. Soc. Japan **75**, 114706 (2006)

J. Phys. Soc. Japan **82**, 014707 (2013)

- Modified variational state    **doublon-holon bound state is important**

- small  $U$  (BCS-like)    **(weak-coupling region)**

- large  $U$  (non-BCS)    **( $t$ - $J$  region)**

**“Doped Mott insulator”**

doublon-holon bound state + free holons

- Flux state as a possible anomalous metallic state

Energy: d-wave SC < SF < projected FS

Gap-like behavior in the spin sector !

~ pseudo-gap

Fermi arc

Kinetic energy gain

Staggered flux state is a typical sym. breaking state in strongly correlated region.

