

# **TDDFT beyond the linear regime: Analysis and control of electron dynamics**



**E. K. U. GROSS**  
**Freie Universität Berlin**

[www: http://www.physik.fu-berlin.de/~ag-gross](http://www.physik.fu-berlin.de/~ag-gross)

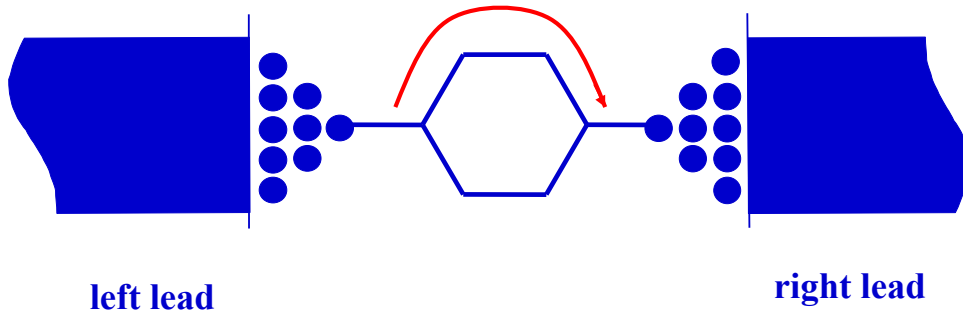
## **Electron dynamics happens on the femto-second time scale**

### **Questions:**

- **How much time does it take to break a bond in a laser field?**
- **How long takes an electronic transition from one state to another?**
- **Can we control the path of an electronic wave packet with lasers?**

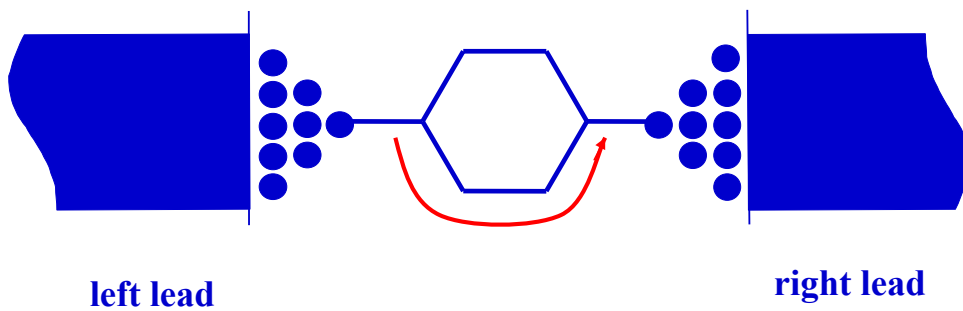
## Molecular Electronics

**Goal: Control the path of the current with laser**



## Molecular Electronics

**Goal: Control the path of the current with laser**



## OUTLINE

- Basics of TDDFT
- Analysis  
TD Electron Localization Function (TD-ELF): Movie of laser-induced  $\pi$ - $\pi^*$  transition
- Control:  
Optimal control of
  - the path in Hilbert space
  - the TD density/TD current in real space

## THANKS

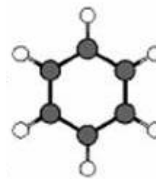
Erich Runge  
Martin Petersilka  
Ulrich Gossmann

Tobias Burnus  
Miguel Marques  
Alberto Castro

Jan Werschnik  
Ioana Serban  
Esa Räsänen

### Basics of TDDFT

Generic situation:  
Molecule in laser field



$$\hat{H}(\mathbf{t}) = \hat{T}_e + \hat{W}_{ee} + \sum_{j,\alpha} -\frac{Z_\alpha e^2}{|\mathbf{r}_j - \mathbf{R}_\alpha|} + \vec{E} \cdot \vec{r}_j \sin \omega t$$

Interacting many-electron system, driven by an external field

Time-dependent Schrödinger equation

$$i (\partial/\partial t) \Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N, t) = H(t) \Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N, t)$$

## Time-dependent density-functional formalism

**Hohenberg-Kohn-type theorem:** E. Runge, E.K.U.G., PRL 52, 997 (1984)

$v(\mathbf{r}, t) \xleftrightarrow{1-1} \rho(\mathbf{r}, t)$  The time-dependent density determines uniquely the time-dependent external potential for fixed initial state

**Kohn-Sham-type theorem:**

The time-dependent density of the interacting system of interest can be calculated as density

$$\rho(\mathbf{r}, t) = \sum_{j=1}^N \left| \phi_j(\mathbf{r}, t) \right|^2$$

of an auxiliary non-interacting (KS) system

$$i\hbar \frac{\partial}{\partial t} \phi_j(\mathbf{r}, t) = \left( -\frac{\hbar^2 \nabla^2}{2m} + v_{\text{KS}}[\rho](\mathbf{r}, t) \right) \phi_j(\mathbf{r}, t)$$

with the local potential

$$v_{\text{KS}}[\rho(\mathbf{r}', t')](\mathbf{r}, t) = v(\mathbf{r}, t) + \int d^3r' \frac{\rho(\mathbf{r}', t)}{|\mathbf{r} - \mathbf{r}'|} + v_{\text{xc}}[\rho(\mathbf{r}', t')](\mathbf{r}, t)$$

## Simplest possible approximation for $v_{\text{xc}}[\rho](\vec{\mathbf{r}}, t)$

**Adiabatic Local Density Approximation (ALDA)**

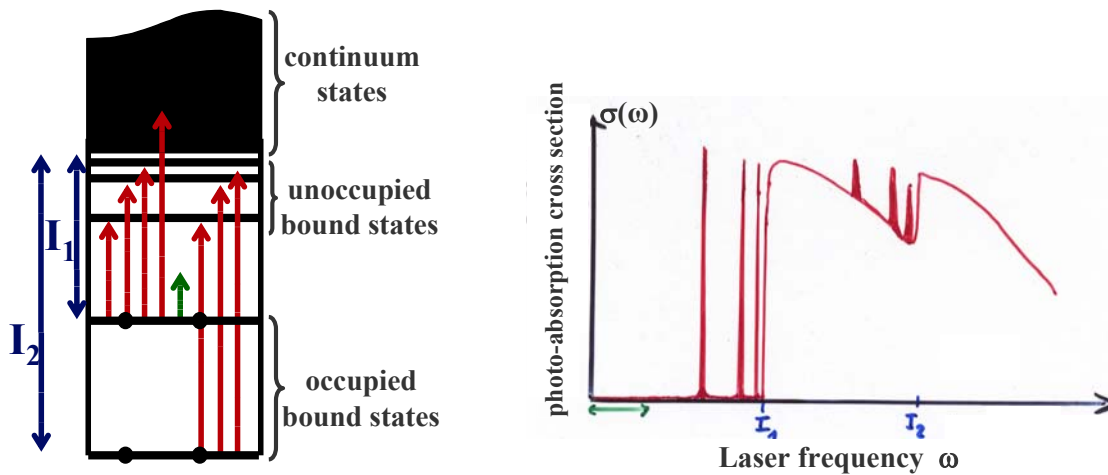
$$v_{\text{xc}}^{\text{ALDA}}(\vec{\mathbf{r}}, t) := v_{\text{xc,stat}}^{\text{hom}}(\mathbf{n}) \Big|_{\mathbf{n}=\rho(\vec{\mathbf{r}}, t)}$$

$v_{\text{xc,stat}}^{\text{hom}}$  = xc potential of static homogeneous e-gas

**Approximation with correct asymptotic  $-1/r$  behavior:  
time-dependent optimized effective potential**

**C. A. Ullrich, U. Gossmann, E.K.U.G., PRL 74, 872 (1995)**

## Standard application: Photo-absorption in weak lasers



Calculate 1. Linear density response  $\rho_1(\mathbf{r}, t)$

2. Dynamical polarizability

$$\alpha(\omega) = -\frac{e}{E} \int z \rho_1(\vec{r}, \omega) d^3r$$

3. Photo-absorption cross section  $\sigma(\omega) = -\frac{4\pi\omega}{c} \text{Im } \alpha$

### Standard linear response formalism

$H(t_0)$  = full static Hamiltonian at  $t_0$

$$H(t_0)|m\rangle = E_m|m\rangle \quad \leftarrow \text{exact many-body eigenfunctions and energies of system}$$

### full response function

$$\chi(r, r'; \omega) = \lim_{\eta \rightarrow 0^+} \sum_m \left( \frac{\langle 0|\hat{\rho}(r)|m\rangle \langle m|\hat{\rho}(r)|0\rangle}{\omega - (E_m - E_0) + i\eta} - \frac{\langle 0|\hat{\rho}(r')|m\rangle \langle m|\hat{\rho}(r')|0\rangle}{\omega + (E_m - E_0) + i\eta} \right)$$

$\Rightarrow$  The exact linear density response

$$\rho_1(\omega) = \hat{\chi}(\omega)v_1(\omega)$$

has poles at the exact excitation energies  $\Omega = E_m - E_0$

## Discrete excitation energies from TDDFT

**Goal:** Use exact TDDFT representation of linear density response to determine the poles of  $\rho_1(\omega)$  :

$$\rho_1(\omega) = \hat{\chi}_S(\omega) \left( v_1(\omega) + \hat{W}_C \rho_1(\omega) + \hat{f}_{xc}(\omega) \rho_1(\omega) \right)$$

“ $\wedge$ ” denotes integral operator, e.g.  $\hat{f}_{xc} \rho_1 \equiv \int f_{xc}(\vec{r}, \vec{r}') \rho_1(\vec{r}') d^3r'$

$$\text{where } \hat{\chi}_S(\vec{r}, \vec{r}'; \omega) = \sum_{j,k} \frac{M_{jk}(\vec{r}, \vec{r}')}{\omega - (\epsilon_j - \epsilon_k) + i\eta}$$

$$\text{with } M_{jk}(\vec{r}, \vec{r}') = (f_k - f_j) \phi_j(\vec{r}) \phi_j^*(\vec{r}') \phi_k(\vec{r}') \phi_k^*(\vec{r})$$

$$f_m = \begin{cases} 1 & \text{if } \phi_m \text{ is occupied in KS ground state} \\ 0 & \text{if } \phi_m \text{ is unoccupied in KS ground state} \end{cases}$$

$\epsilon_j - \epsilon_k$  KS excitation energy

$$\left( \hat{1} - \hat{\chi}_S(\omega) \left[ \hat{W}_C + \hat{f}_{xc}(\omega) \right] \right) \rho_1(\omega) = \hat{\chi}_S(\omega) v_1(\omega)$$

$\rho_1(\omega) \rightarrow \infty$  for  $\omega \rightarrow \Omega$  (exact excitation energy) but right-hand side remains finite for  $\omega \rightarrow \Omega$

$$\text{hence } \left( \hat{1} - \hat{\chi}_S(\omega) \left[ \hat{W}_C + \hat{f}_{xc}(\omega) \right] \right) \xi(\omega) = \lambda(\omega) \xi(\omega)$$

$\lambda(\omega) \rightarrow 0$  for  $\omega \rightarrow \Omega$

This condition rigorously determines the exact excitation energies, i.e.,

$$\left( \hat{1} - \hat{\chi}_S(\Omega) \left[ \hat{W}_C + \hat{f}_{xc}(\Omega) \right] \right) \xi(\Omega) = 0$$

## This leads to the (non-linear) eigenvalue equation

(See T. Grabo, M. Petersilka, E. K. U. G., J. Mol. Struc. (Theochem) 501, 353 (2000))

$$\sum_{q'} \left( A_{qq'}(\Omega) + \omega_q \delta_{qq'} \right) \beta_{q'} = \Omega \beta_q$$

where

$$A_{qq'} = \alpha_{q'} \int d^3r \int d^3r' \Phi_q(\mathbf{r}) \left( \frac{1}{|\mathbf{r} - \mathbf{r}'|} + f_{xc}(\mathbf{r}, \mathbf{r}', \Omega) \right) \Phi_{q'}(\mathbf{r}')$$

$$q = (j, a) \text{ double index} \quad \alpha_q = f_a - f_j$$

$$\Phi_q(\mathbf{r}) = \varphi_a^*(\mathbf{r}) \varphi_j(\mathbf{r}) \quad \omega_q = \varepsilon_a - \varepsilon_j$$

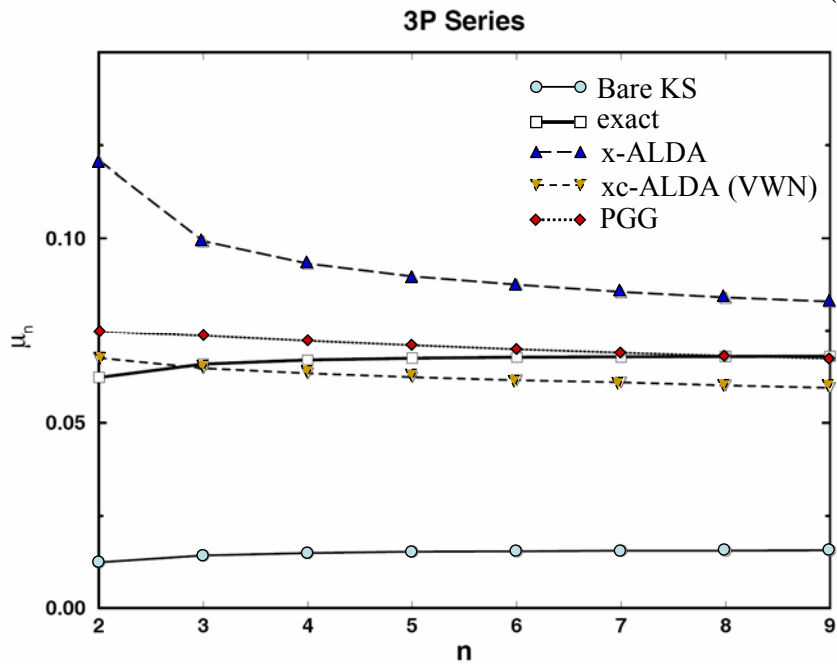
Atom	Experimental Excitation Energies $^1S \rightarrow ^1P$ (in Ry)	KS energy differences $\Delta \epsilon_{KS}$ (Ry)	$\Delta \epsilon_{KS} + K$
Be	0.388	0.259	0.391
Mg	0.319	0.234	0.327
Ca	0.216	0.157	0.234
Zn	0.426	0.315	0.423
Sr	0.198	0.141	0.210
Cd	0.398	0.269	0.391

from: M. Petersilka, U. J. Gossmann, E.K.U.G., PRL 76, 1212 (1996)

$$\Delta E = \underbrace{\Delta \epsilon_{KS}}_{\epsilon_j - \epsilon_k} + K$$

$$K = \int d^3r \int d^3r' \varphi_j(\mathbf{r}) \varphi_j^*(\mathbf{r}') \varphi_k(\mathbf{r}') \varphi_k^*(\mathbf{r}) \left( \frac{1}{|\mathbf{r} - \mathbf{r}'|} + f_{xc}(\mathbf{r}, \mathbf{r}') \right)$$

Quantum defects in Helium  $E_n = -\frac{1}{2(n - \mu_n)^2}$  [a.u.]



M. Petersilka, U.J. Gossmann, E.K.U.G., in: *Electronic Density Functional Theory: Recent Progress and New Directions*, J.F. Dobson, G. Vignale, M.P. Das, ed(s), (Plenum, New York, 1998), p 177 - 197.

**To study the dynamics of electrons we have to propagate the TDKS equations**

$$i\hbar \frac{\partial}{\partial t} \varphi_j(\mathbf{r}, t) = \left( -\frac{\hbar^2 \nabla^2}{2m} + v_{\text{KS}}[\rho](\mathbf{r}, t) \right) \varphi_j(\mathbf{r}, t)$$

**in real time.**



# Time-Dependent Electron Localization Function

How can one give a mathematical meaning to intuitive chemical concepts such as

- Single, double, triple bonds
- Lone pairs

**Note:**

- Density  $\rho_\sigma(\mathbf{r})$  is not useful!
- Orbitals are ambiguous (w.r.t. unitary transformations)

$$D_\sigma(\vec{r}, \vec{r}') = \sum_{\sigma_3 \sigma_4 \dots \sigma_N} \int d^3r_3 \dots \int d^3r_N |\Psi(\vec{r}\sigma, \vec{r}'\sigma, \vec{r}_3\sigma_3, \dots, \vec{r}_N\sigma_N)|^2$$

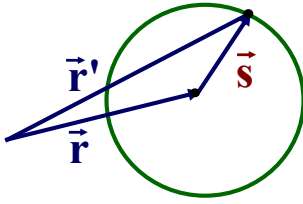
= diagonal of two-body density matrix

= probability of finding an electron with spin  $\sigma$  at  $\vec{r}$  and another electron with the same spin at  $\vec{r}'$ .

$$P_\sigma(\vec{r}, \vec{r}') := \frac{D_{\sigma\sigma}(\vec{r}, \vec{r}')}{\rho_\sigma(\vec{r})}$$

= conditional probability of finding an electron with spin  $\sigma$  at  $\vec{r}'$  if we know with certainty that there is an electron with the same spin at  $\vec{r}$ .

## Coordinate transformation



If we know there is an electron with spin  $\sigma$  at  $\vec{r}$ , then  $P_\sigma(\vec{r}, \vec{r} + \vec{s})$  is the (conditional) probability of finding another electron at  $\vec{s}$ , where  $\vec{s}$  is measured from the reference point  $\vec{r}$ .

Spherical average 
$$p_\sigma(\vec{r}, |\vec{s}|) = \frac{1}{4\pi} \int_0^\pi \sin \theta d\theta \int_0^{2\pi} d\phi P_\sigma(\vec{r}, |\vec{s}|, \theta, \phi)$$

If we know there is an electron with spin  $\sigma$  at  $\vec{r}$ , then  $p_\sigma(\vec{r}, s)$  is the conditional probability of finding another electron at the distance  $s$  from  $\vec{r}$ .

Expand in a Taylor series:

$$p_\sigma(\vec{r}, s) = \underbrace{p_\sigma(\vec{r}, 0)}_0 + \underbrace{\left. \frac{dp_\sigma(\vec{r}, s)}{ds} \right|_{s=0}}_0 \cdot s + \frac{1}{3} C_\sigma(\vec{r}) s^2$$

The first two terms vanish.

$C_\sigma(\vec{r})$  is a measure of electron localization.

**Why?**  $C_\sigma(\vec{r})$ , being the  $s^2$ -coefficient, gives the probability of finding a second like-spin electron very near the reference electron. If this probability very near the reference electron is low then this reference electron must be very localized.

$C_\sigma(\vec{r})$  small means strong localization at  $\vec{r}$

$C_\sigma$  is always  $\geq 0$  (because  $p_\sigma$  is a probability) and  $C_\sigma(\vec{r})$  is not bounded from above.

Define as a useful visualization of localization  
**(A.D. Becke, K.E. Edgecombe, JCP 92, 5397 (1990))**

$$ELF = \frac{1}{1 + \left( \frac{C_\sigma(\vec{r})}{C_\sigma^{\text{uni}}(\vec{r})} \right)^2}$$

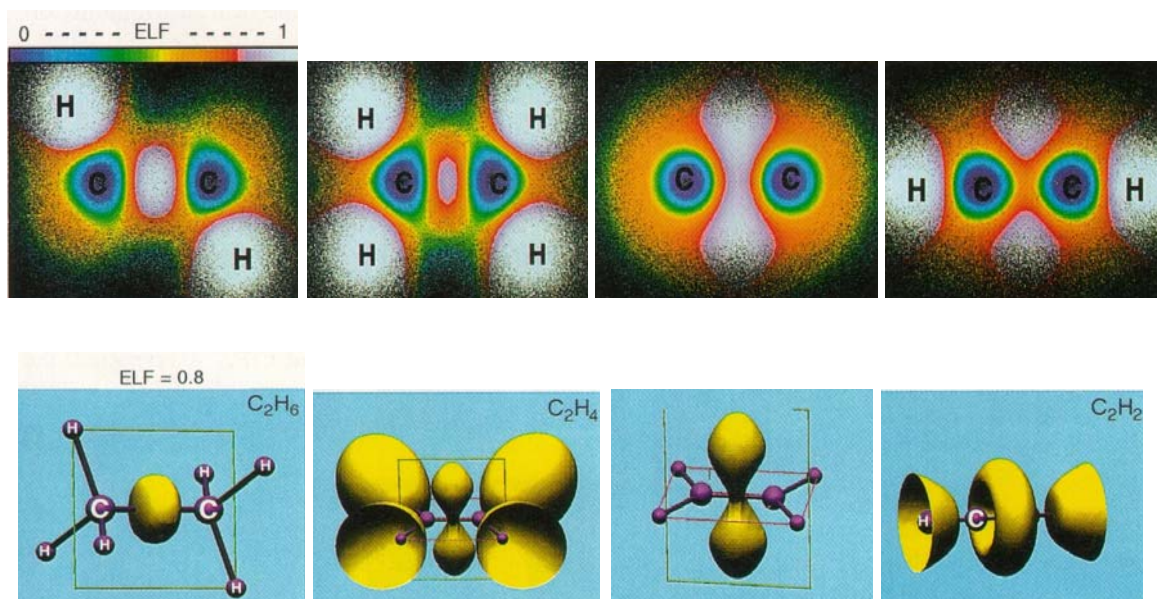
where

$$C_\sigma^{\text{uni}}(\vec{r}) = \frac{3}{5} (6\pi^2)^{2/3} \rho_\sigma^{5/3}(\vec{r}) = \tau_\sigma^{\text{uni}}(\vec{r})$$

is the kinetic energy density of the uniform gas.

**Advantage: ELF is dimensionless and  $0 \leq ELF \leq 1$**

## ELF



**A. Savin, R. Nesper, S. Wengert, and T. F. Fässler, Angew. Chem. Int. Ed. 36, 1808 (1997)**

**For a determinantal wave function one obtains**  
in the static case:

$$C_{\sigma}^{\text{det}}(\vec{r}) = \sum_{i=1}^{N_{\sigma}} |\nabla \phi_{i\sigma}(\vec{r})|^2 - \frac{1}{4} \frac{(\nabla \rho_{\sigma}(\vec{r}))^2}{\rho_{\sigma}(\vec{r})}$$

(A.D. Becke, K.E. Edgecombe, JCP 92, 5397 (1990))

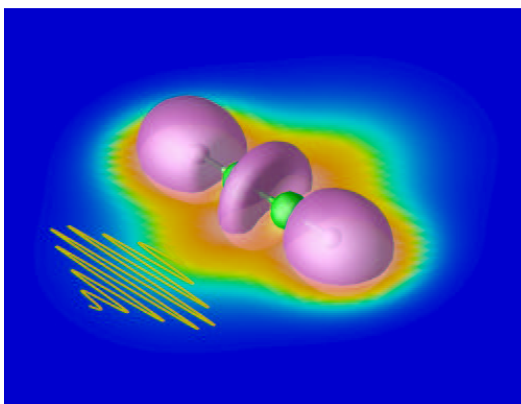
in the time-dependent case:

$$C_{\sigma}^{\text{det}}(\vec{r}, t) = \sum_{i=1}^{N_{\sigma}} |\nabla \phi_{i\sigma}(\vec{r}, t)|^2 - \frac{1}{4} \frac{(\nabla \rho_{\sigma}(\vec{r}, t))^2}{\rho_{\sigma}(\vec{r}, t)} - j_{\sigma}(\vec{r}, t)^2 / \rho_{\sigma}(\vec{r}, t)$$

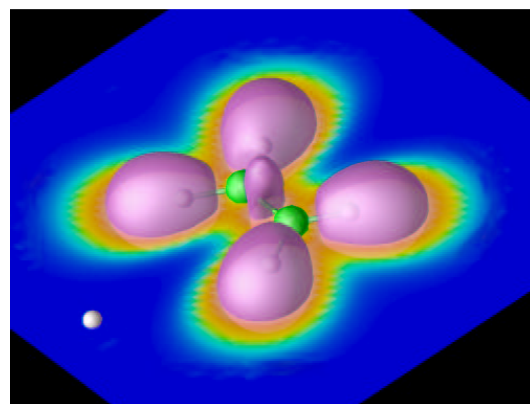
T. Burnus, M. Marques, E.K.U.G., PRA (Rapid Comm) 71, 010501 (2005)

J. Dobson, J. Chem. Phys. 98, 8870 (1993)

Acetylene in laser field  
( $\hbar\omega = 17.15$  eV,  $I = 1.2 \times 10^{14}$  W/cm<sup>2</sup>)

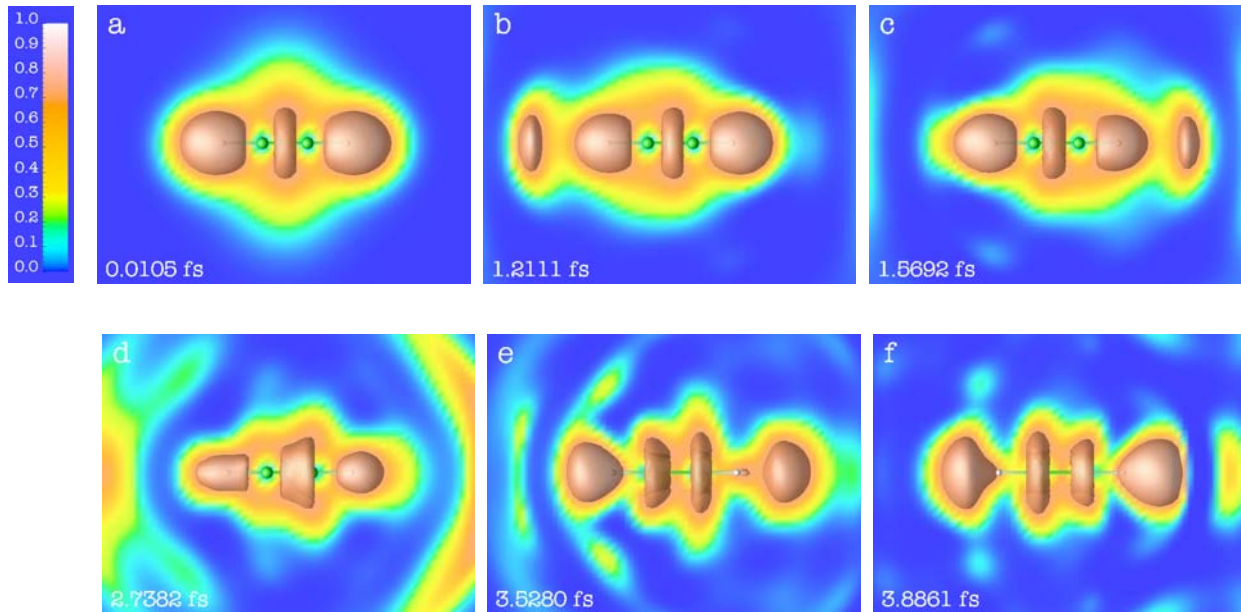


Scattering of a proton from ethylene  
( $E_{\text{kin}}(\text{proton}) = 2$  keV)



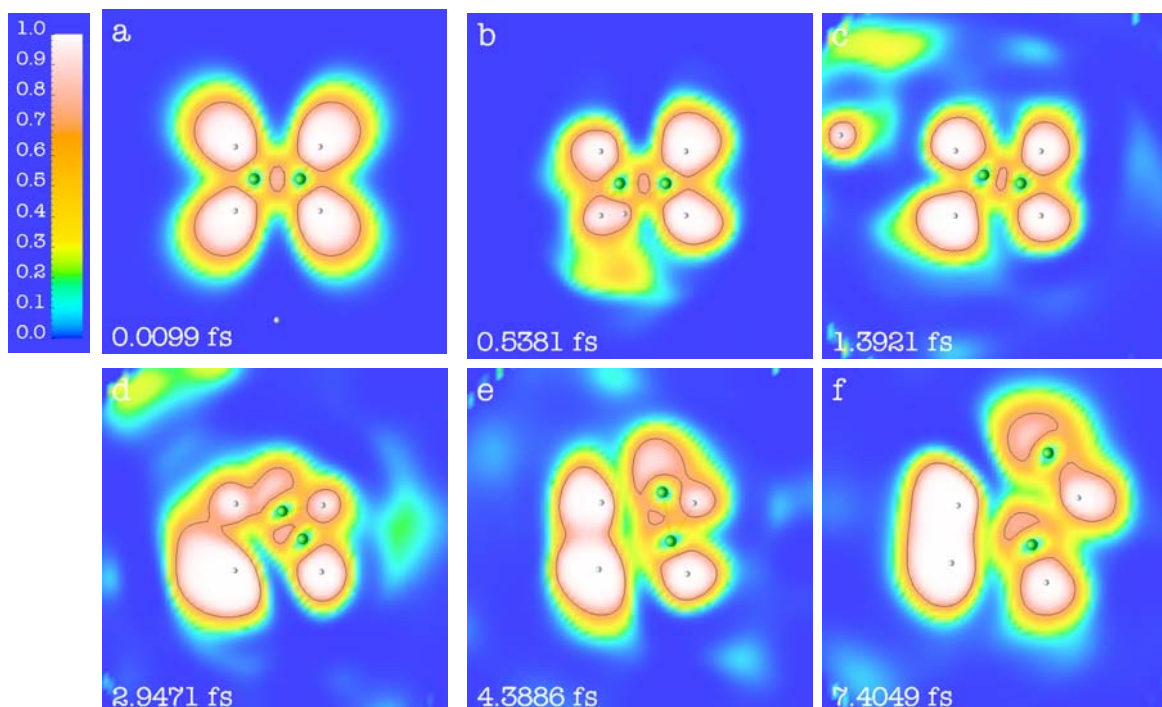
# TD-ELF Examples

Ethyne (acetylene) in a strong laser field

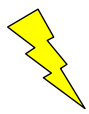


# TD-ELF Examples

Scattering of a proton from ethylene



## INFORMATION ACCESSIBLE THROUGH TDELFF



**How long does it take to break a bond in a laser field?**



**Which bond breaks first, which second, etc, in a collision process?**



**Are there intermediary (short-lived) bonds formed during a collision, which are not present any more in the collision products ?**

Use TD Kohn-Sham equations (E. Runge, EKUG, PRL **52**, 997 (1984))

$$i\hbar \frac{\partial}{\partial t} \varphi_j(\mathbf{r}t) = \left( -\frac{\hbar^2 \nabla^2}{2m} + v_{\text{KS}}[\rho](\mathbf{r}t) \right) \varphi_j(\mathbf{r}t)$$

$$v_{\text{KS}}[\rho(\mathbf{r}'t')](\mathbf{r}t) = v(\mathbf{r}t) + \int d^3r' \frac{\rho(\mathbf{r}'t)}{|\mathbf{r} - \mathbf{r}'|} + v_{\text{xc}}[\rho(\mathbf{r}'t')](\mathbf{r}t)$$

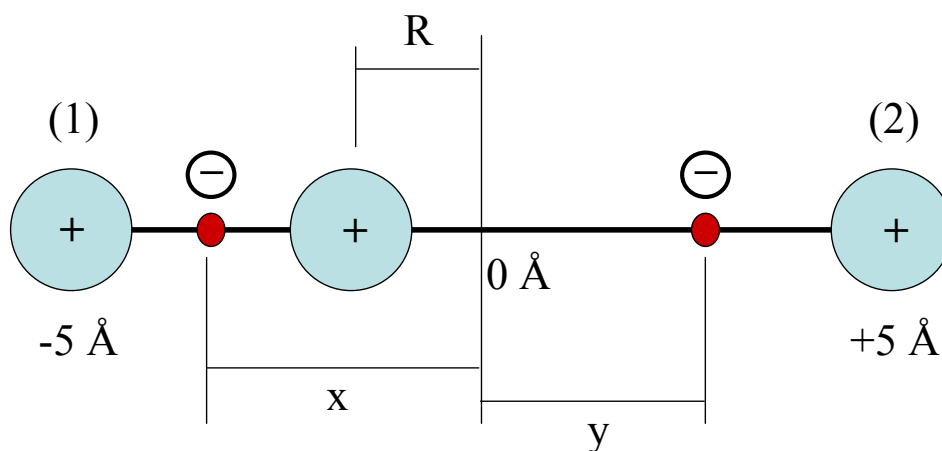
propagated numerically on real-space grid using **octopus** code

[www.tddft.org](http://www.tddft.org)

- more TDELFF movies
- download **octopus**

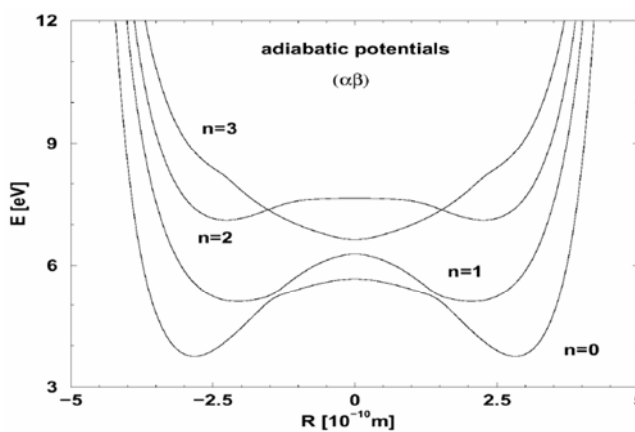
*octopus: a tool for the application of time-dependent density functional theory, A. Castro, M.A.L. Marques, H. Appel, M. Oliveira, C.A. Rozzi, X. Andrade, F. Lorenzen, E.K.U.G., A. Rubio, Physica Status Solidi 243, 2465 (2006).*

## MODEL

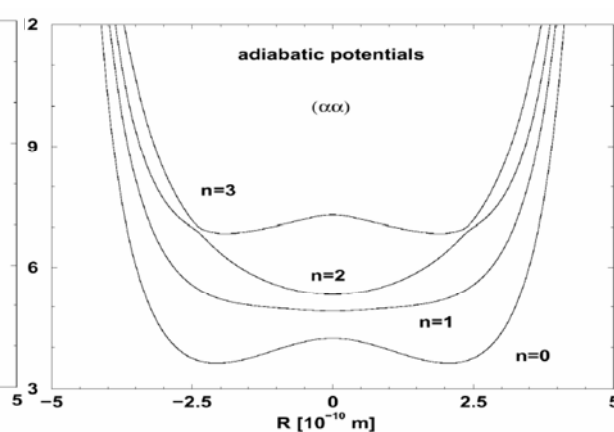


**Nuclei (1) and (2) are heavy: Their positions are fixed**

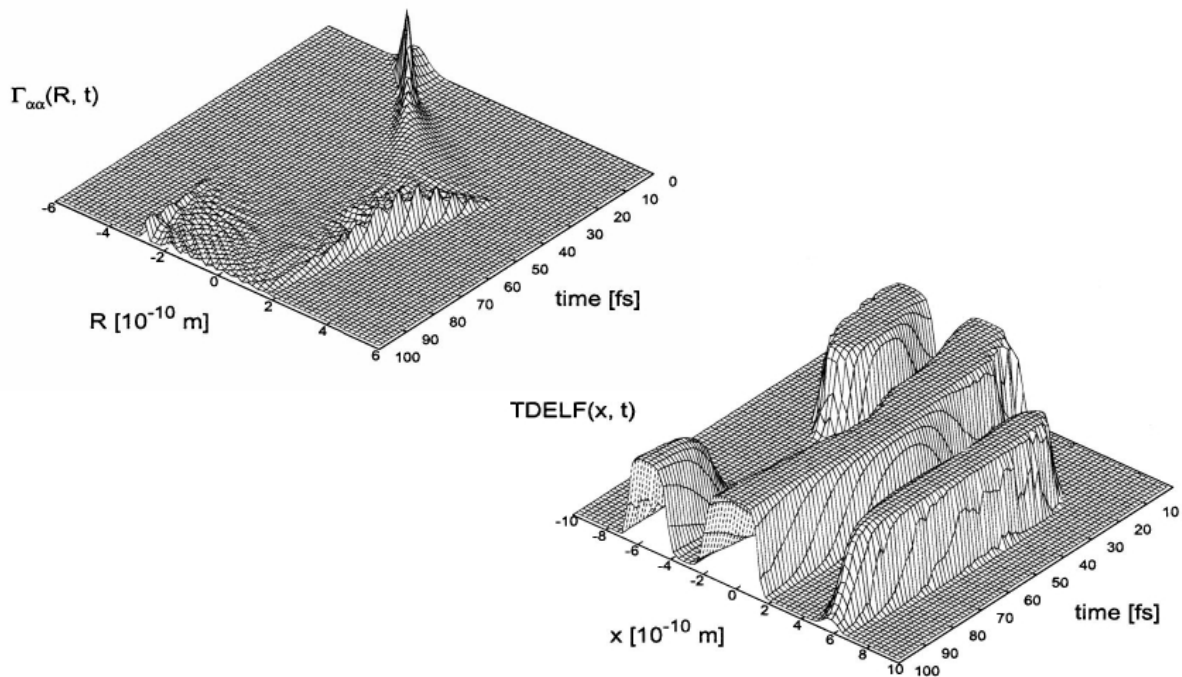
Anti-parallel spins



Parallel spins

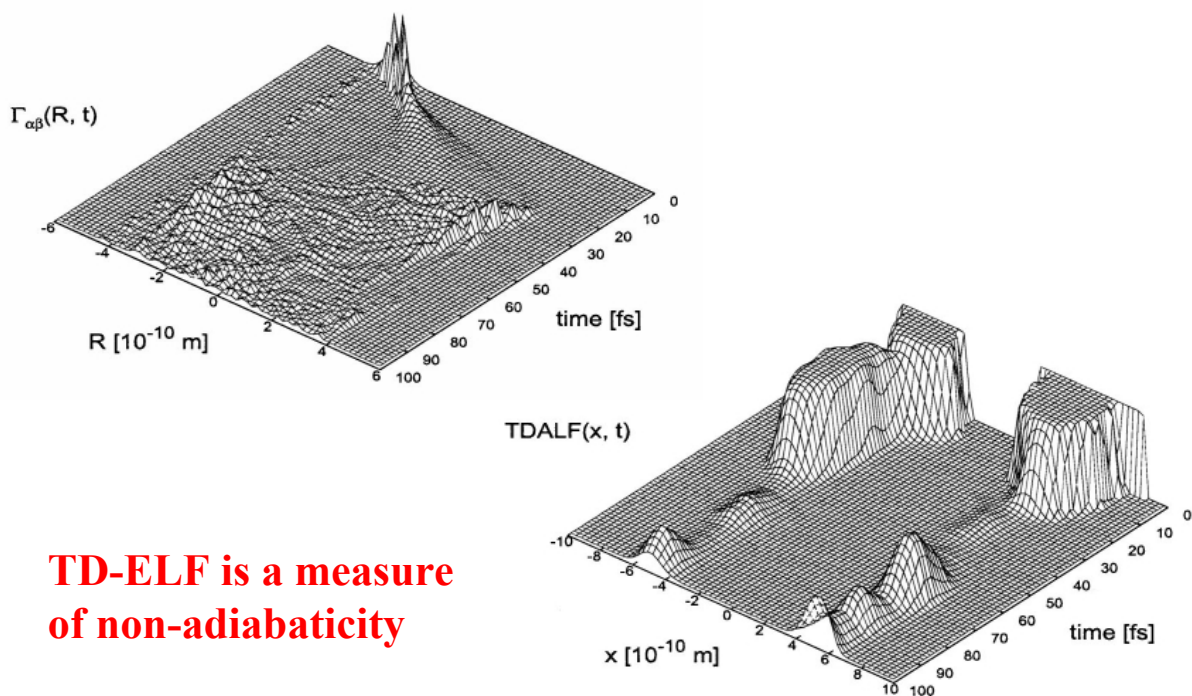


# Parallel spins



M. Erdmann, E.K.U.G., V. Engel, JCP 121, 9666 (2004)

# Anti-parallel spins



**TD-ELF is a measure of non-adiabaticity**



## Optimal Control Theory (OCT)

### Normal question:

What happens if a system is exposed to a given laser pulse?

### Inverse question (solved by OCT):

Which is the laser pulse that achieves a prescribed goal?

- possible goals:
- a) system should end up in a given final state  $\phi_f$  at the end of the pulse
  - b) wave function should follow a given trajectory in Hilbert space
  - c) density should follow a given classical trajectory  $r(t)$

Optimal control of static targets  
(standard formulation)

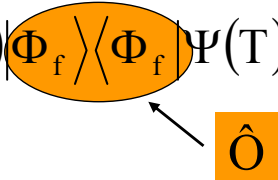
**Optimal control of static targets  
(standard formulation)**

For given target state  $\Phi_f$  , maximize the functional:

$$J_1 = \left| \langle \Psi(T) | \Phi_f \rangle \right|^2 = \langle \Psi(T) | \Phi_f \rangle \langle \Phi_f | \Psi(T) \rangle = \langle \Psi(T) | \hat{O} | \Psi(T) \rangle$$

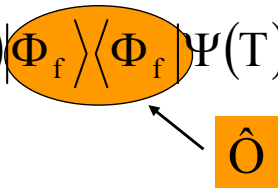
**Optimal control of static targets  
(standard formulation)**

For given target state  $\Phi_f$  , maximize the functional:

$$J_1 = \left| \langle \Psi(T) | \Phi_f \rangle \right|^2 = \langle \Psi(T) | \Phi_f \rangle \langle \Phi_f | \Psi(T) \rangle = \langle \Psi(T) | \hat{O} | \Psi(T) \rangle$$
A diagram consisting of an orange oval containing the expression  $\langle \Phi_f | \Psi(T) \rangle$ . An arrow points from this oval to a yellow square containing the symbol  $\hat{O}$ .

## Optimal control of static targets (standard formulation)

For given target state  $\Phi_f$  , maximize the functional:

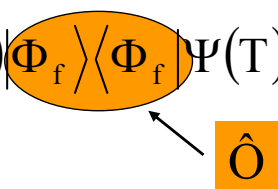
$$J_1 = \left| \langle \Psi(T) | \Phi_f \rangle \right|^2 = \langle \Psi(T) | \Phi_f \rangle \langle \Phi_f | \Psi(T) \rangle = \langle \Psi(T) | \hat{O} | \Psi(T) \rangle$$


with the constraints:

$$J_2 = -\alpha \left[ \int_0^T dt \varepsilon^2(t) - E_0 \right] \quad \mathbf{E}_0 = \text{given fluence}$$

## Optimal control of static targets (standard formulation)

For given target state  $\Phi_f$  , maximize the functional:

$$J_1 = \left| \langle \Psi(T) | \Phi_f \rangle \right|^2 = \langle \Psi(T) | \Phi_f \rangle \langle \Phi_f | \Psi(T) \rangle = \langle \Psi(T) | \hat{O} | \Psi(T) \rangle$$


with the constraints:

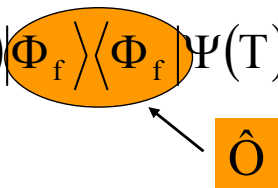
$$J_2 = -\alpha \left[ \int_0^T dt \varepsilon^2(t) - E_0 \right] \quad \mathbf{E}_0 = \text{given fluence}$$

$$J_3[\varepsilon, \Psi, \chi] = -2 \operatorname{Im} \int_0^T dt \langle \chi(t) | -i\partial_t - [\hat{T} + \hat{V} - \mu\varepsilon(t)] | \Psi(t) \rangle$$

## Optimal control of static targets (standard formulation)

For given target state  $\Phi_f$  , maximize the functional:

$$J_1 = \left| \langle \Psi(T) | \Phi_f \rangle \right|^2 = \langle \Psi(T) | \Phi_f \rangle \langle \Phi_f | \Psi(T) \rangle = \langle \Psi(T) | \hat{O} | \Psi(T) \rangle$$



with the constraints:

$$J_2 = -\alpha \left[ \int_0^T dt \varepsilon^2(t) - E_0 \right] \quad \mathbf{E}_0 = \text{given fluence}$$

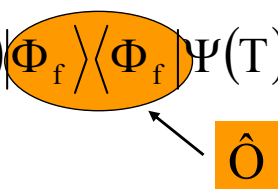
$$J_3[\varepsilon, \Psi, \chi] = -2 \operatorname{Im} \int_0^T dt \langle \chi(t) | \left[ -i\partial_t - [\hat{T} + \hat{V} - \mu\varepsilon(t)] | \Psi(t) \rangle \right.$$

TDSE

## Optimal control of static targets (standard formulation)

For given target state  $\Phi_f$  , maximize the functional:

$$J_1 = \left| \langle \Psi(T) | \Phi_f \rangle \right|^2 = \langle \Psi(T) | \Phi_f \rangle \langle \Phi_f | \Psi(T) \rangle = \langle \Psi(T) | \hat{O} | \Psi(T) \rangle$$



with the constraints:

$$J_2 = -\alpha \left[ \int_0^T dt \varepsilon^2(t) - E_0 \right] \quad \mathbf{E}_0 = \text{given fluence}$$

$$J_3[\varepsilon, \Psi, \chi] = -2 \operatorname{Im} \int_0^T dt \langle \chi(t) | \left[ -i\partial_t - [\hat{T} + \hat{V} - \mu\varepsilon(t)] | \Psi(t) \rangle \right.$$

TDSE

**GOAL: Maximize  $\mathbf{J} = \mathbf{J}_1 + \mathbf{J}_2 + \mathbf{J}_3$**

Set the total variation of  $J = J_1 + J_2 + J_3$  equal to zero:

## Control equations

1. Schrödinger equation with **initial** condition:

$$\delta_\chi J = 0 \rightarrow \boxed{i\partial_t \psi(t) = \hat{H}(t)\psi(t), \quad \psi(0) = \phi}$$

2. Schrödinger equation with **final** condition:

$$\delta_\psi J = 0 \rightarrow \boxed{i\partial_t \chi(t) = \hat{H}(t)\chi(t), \quad \chi(T) = \hat{O}\psi(T)}$$

3. Field equation:

$$\delta_\varepsilon J = 0 \rightarrow \boxed{\varepsilon(t) = \frac{1}{\alpha} \text{Im} \langle \chi(t) | \hat{\mu} | \psi(t) \rangle}$$

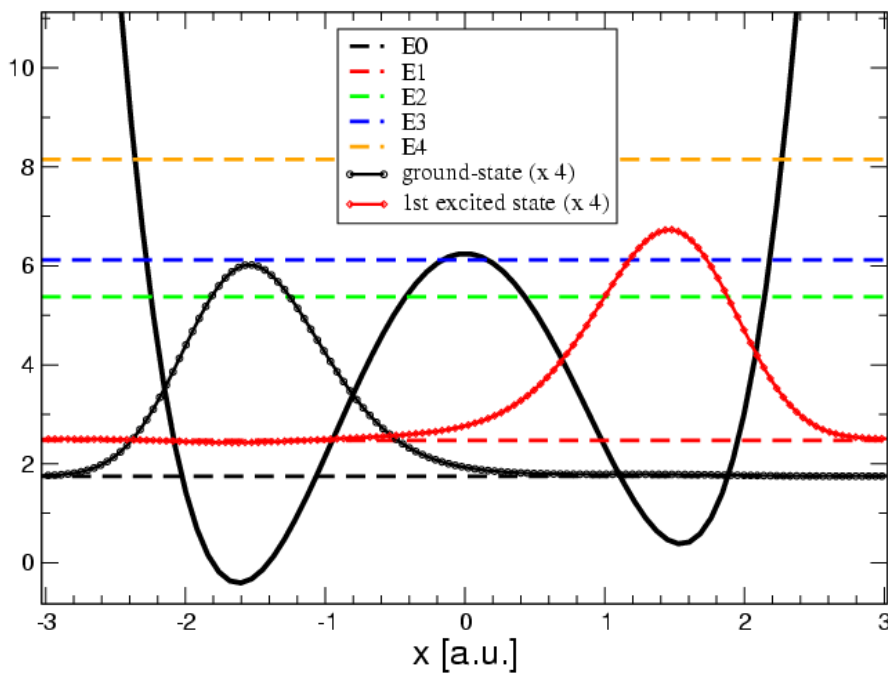
## Algorithm

Forward propagation

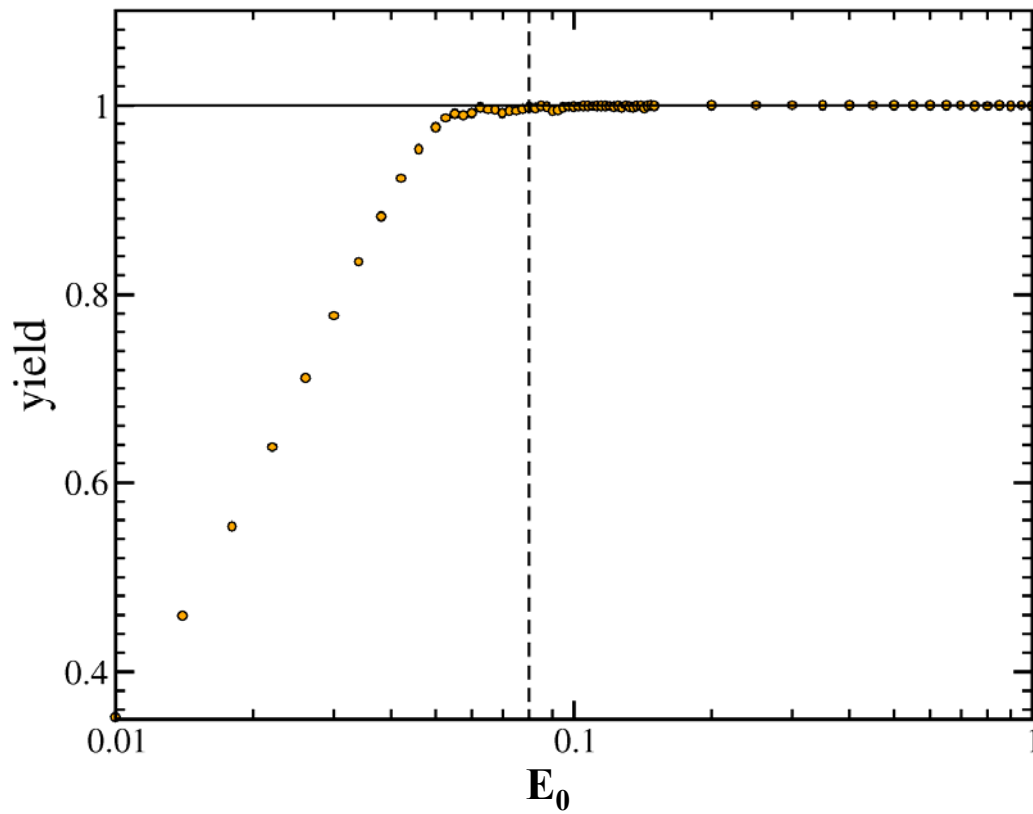
Backward propagation

New laser field

Algorithm monotonically convergent: **W. Zhu, J. Botina, H. Rabitz,**  
**J. Chem. Phys. 108, 1953 (1998)**

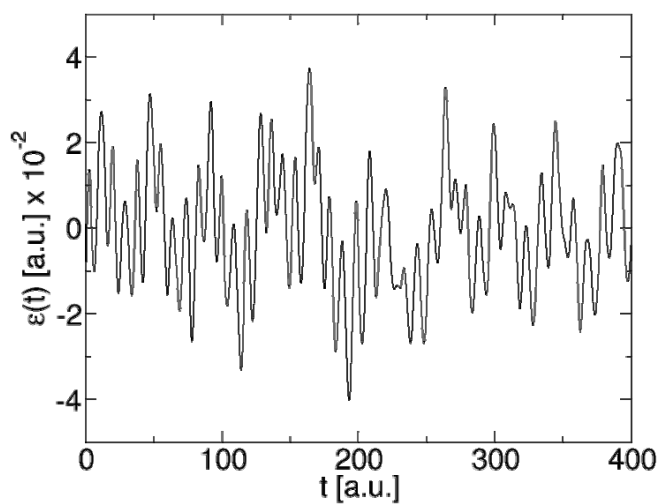


target state:  $\phi_f =$  first excited state  
 (lives in the well on the right-hand side)

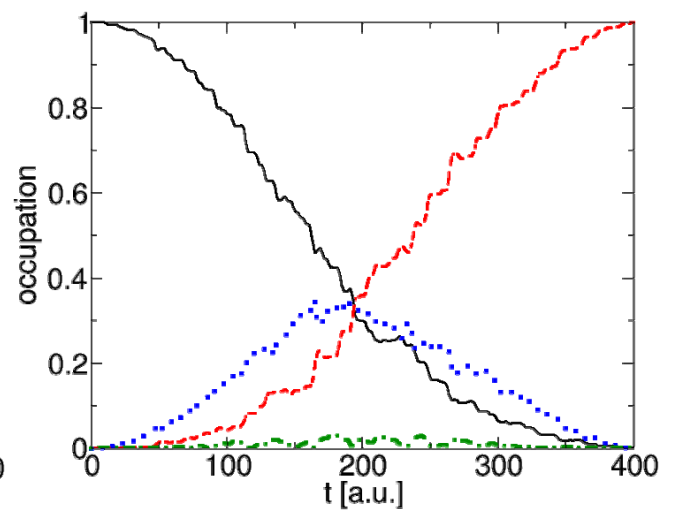


## Optimization results

Optimized pulse

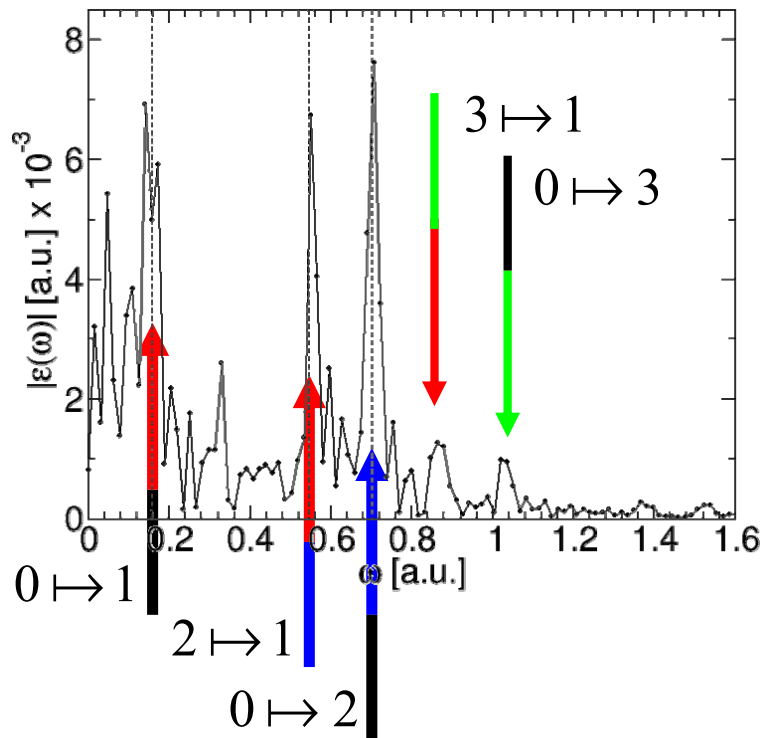


Occupation numbers

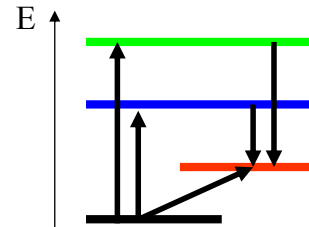


$$|\langle 1 | \psi(T) \rangle|^2 = 99.91\%$$

# Spectrum



OCT finds a combination of several transition processes



**algorithm**

Forward propagation of TDSE  $\Rightarrow \Psi^{(k)}$

Backward propagation of TDSE  $\Rightarrow \chi^{(k)}$

new field: 
$$\tilde{\epsilon}^{(k+1)}(t) = -\frac{1}{\alpha} \text{Im} \langle \chi^{(k)}(t) | \hat{\mu} | \Psi^{(k)}(t) \rangle$$

(W. Zhu, J. Botina, H. Rabitz, J. Chem. Phys. 108, 1953 (1998))

# algorithm

Forward propagation of TDSE  $\Rightarrow \Psi^{(k)}$

Backward propagation of TDSE  $\Rightarrow \chi^{(k)}$

new field: 
$$\tilde{\varepsilon}^{(k+1)}(t) = -\frac{1}{\alpha} \text{Im} \langle \chi^{(k)}(t) | \hat{\mu} | \Psi^{(k)}(t) \rangle$$

(W. Zhu, J. Botina, H. Rabitz, *J. Chem. Phys.* **108**, 1953 (1998))

**With spectral constraint:**

$$\varepsilon^{(k+1)}(t) := \mathcal{F} [f(\omega) \times \mathcal{F} [\tilde{\varepsilon}^{(k+1)}(t)]]$$

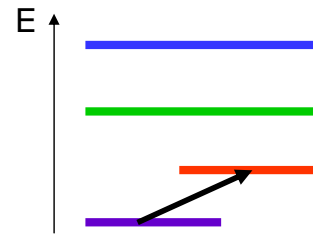
filter function:  $f(\omega) = \exp[-\gamma(\omega - \omega_0)^2] + \exp[-\gamma(\omega + \omega_0)^2]$

or  $f(\omega) = 1 - \exp[-\gamma(\omega - \omega_0)^2] - \exp[-\gamma(\omega + \omega_0)^2]$

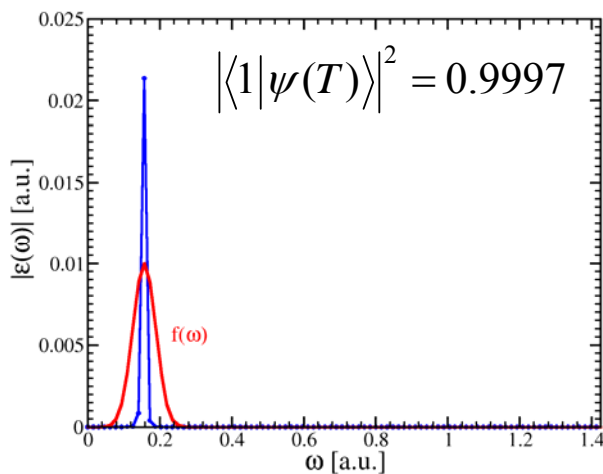
J. Werschnik, E.K.U.G., *J. Opt. B* **7**, S300 (2005)

**Frequency constraint:**

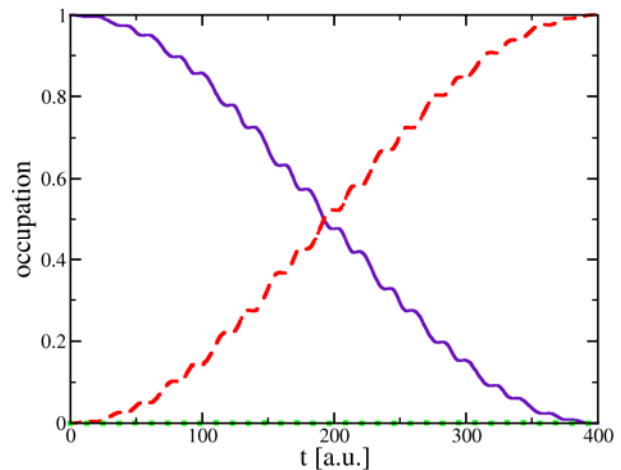
**Only direct transition frequency  $\omega_0$  allowed**



**Spectrum of optimized pulse**

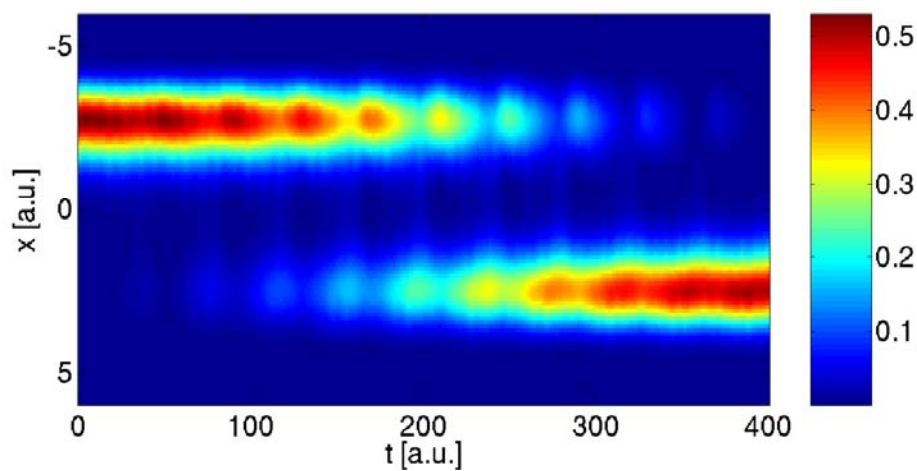


**occupation numbers**





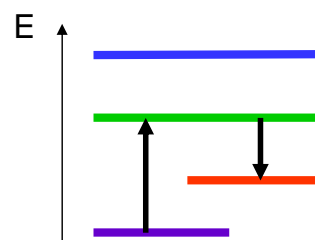
## Time-Dependent Density



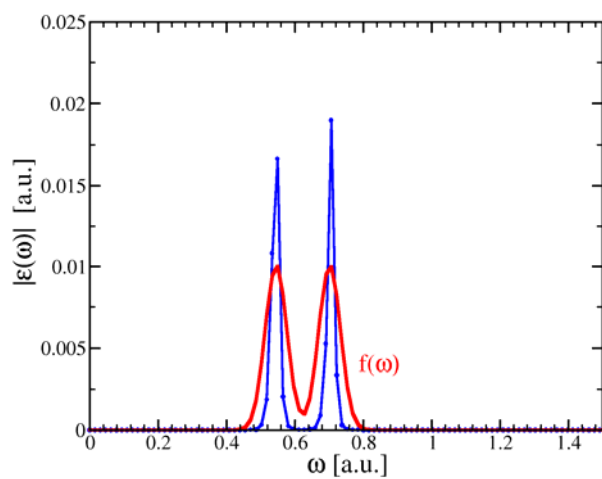
**Frequency constraint:**

Selective transfer via intermediate state  $|2\rangle$

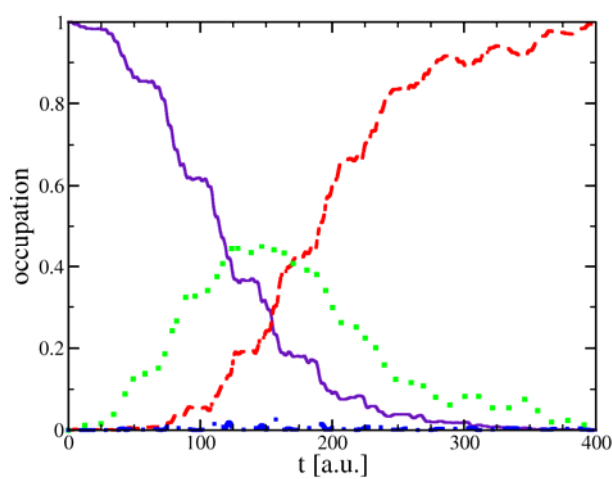
$$|0\rangle \xrightarrow{\omega_{02}} |2\rangle \xrightarrow{\omega_{21}} |1\rangle$$



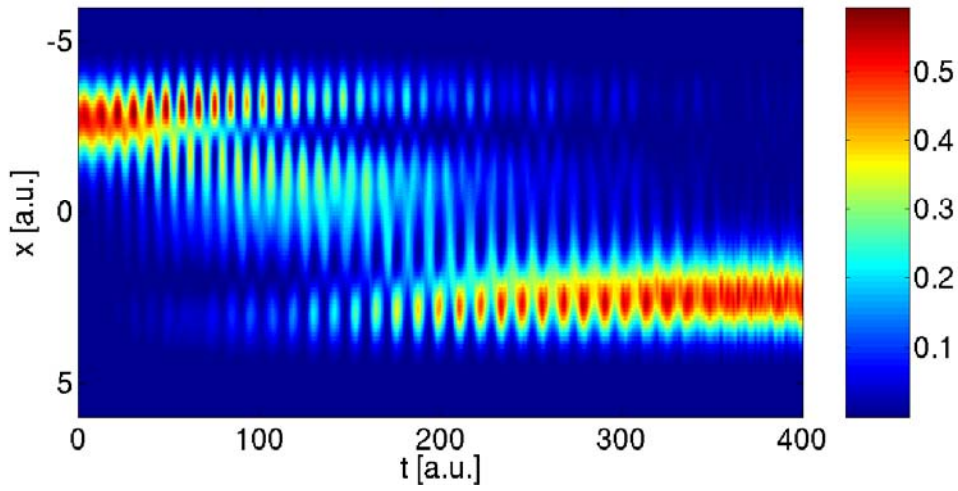
**Spectrum of optimized pulse**



**occupation numbers**

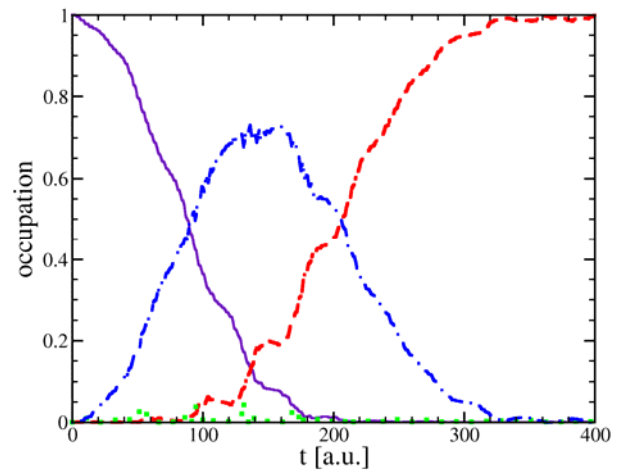
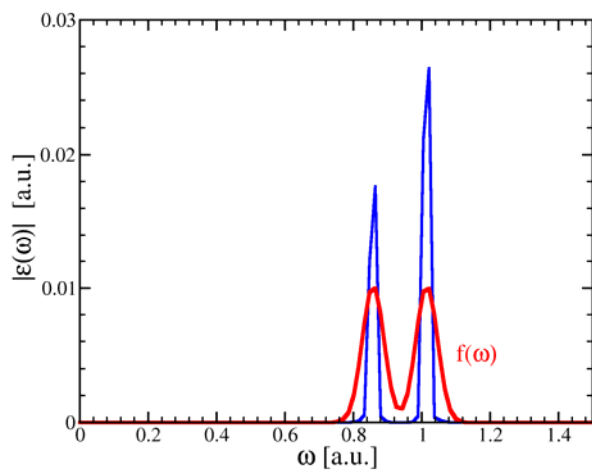
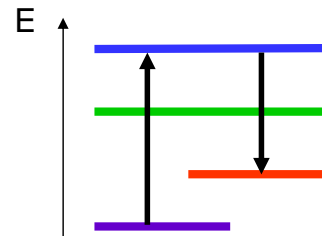


## Time-Dependent Density

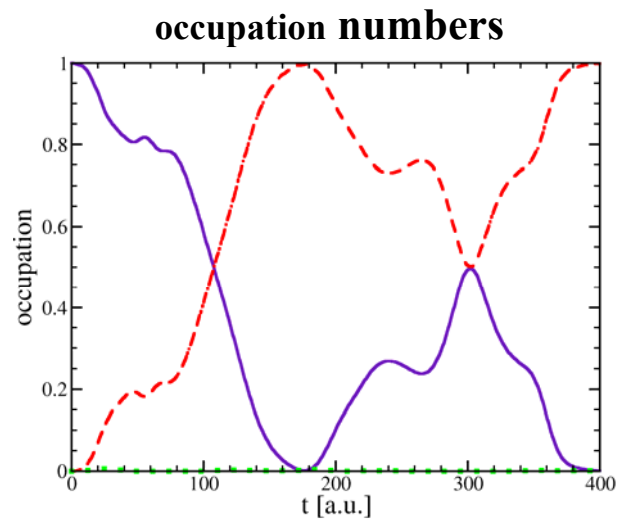
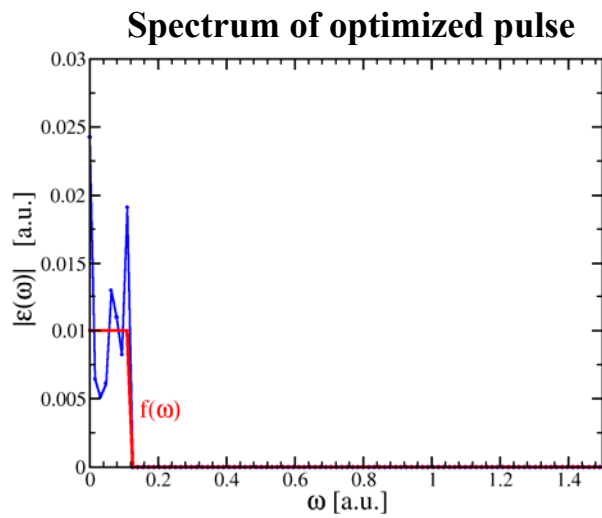


**Frequency constraint:**  
**Selective transfer via intermediate state  $|3\rangle$**

$$|0\rangle \xrightarrow{\omega_{03}} |3\rangle \xrightarrow{\omega_{31}} |1\rangle$$



## Frequency constraint: All resonances excluded

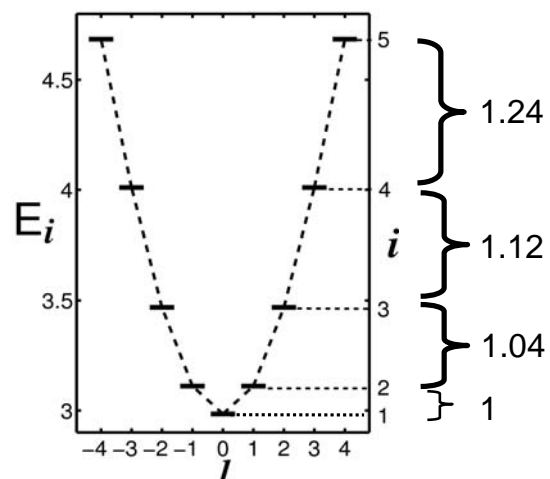
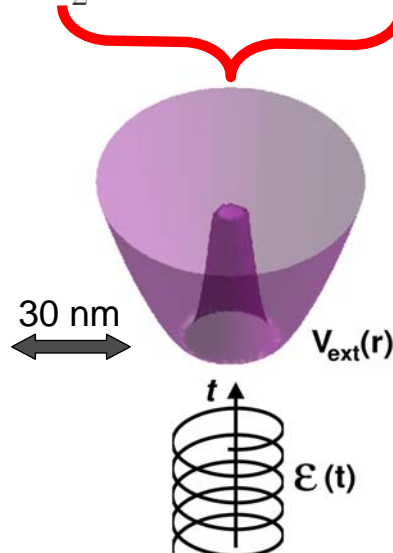


## Quantum ring: Control of circular current

• TD-SE: 
$$i\hbar \frac{\partial}{\partial t} \Psi(\mathbf{r}, t) = [\hat{H}_0 + e \mathbf{r} \cdot \boldsymbol{\epsilon}(t)] \Psi(\mathbf{r}, t)$$

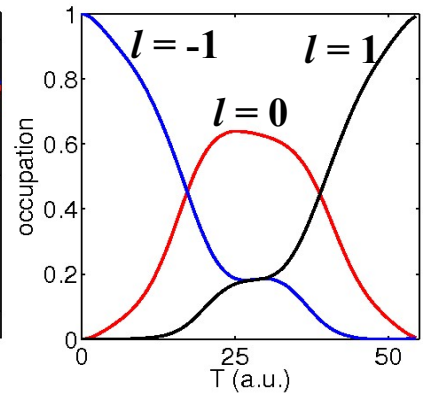
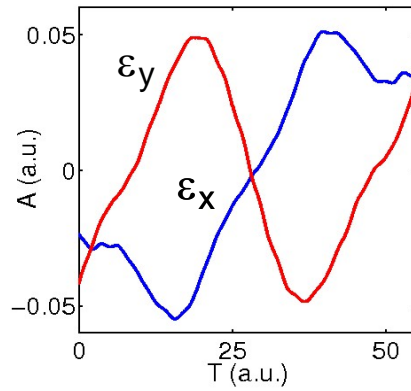
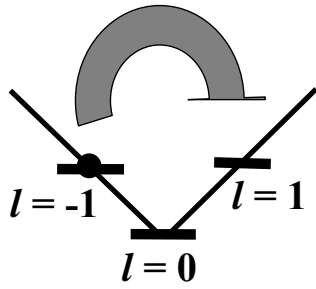
$$\hat{H}_0 = -\frac{\hbar^2}{2m^*} \nabla^2 + \frac{1}{2} m^* \omega_0^2 r^2 + V_0 e^{-r^2/d^2}$$

$$\boldsymbol{\epsilon}(t) = (\epsilon_x(t), \epsilon_y(t))$$

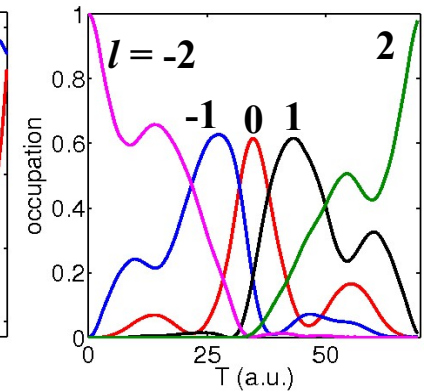
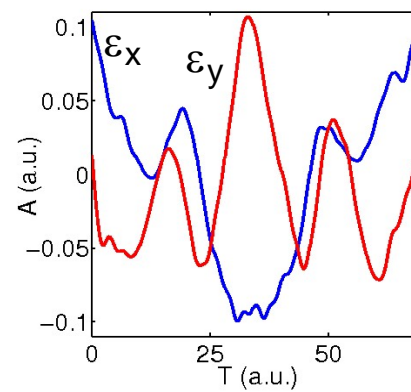
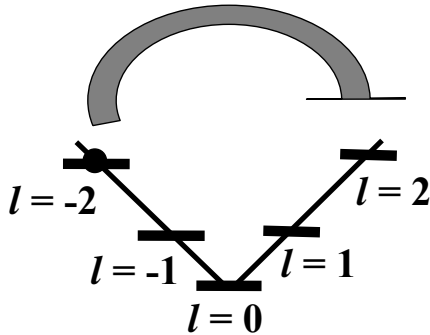


# Change in chirality

E. Räsänen, A. Castro, J. Werschnik, A. Rubio, E.K.U.G.  
PRL 98, 157404 (2007)



Optimized pulse and occupation numbers for  $l = -1 \Rightarrow l = 1$



Optimized pulse and occupation numbers for  $l = -2 \Rightarrow l = 2$

## OPTIMAL CONTROL OF TIME-DEPENDENT TARGETS

Maximize  $J = J_1 + J_2 + J_3$

$$J_1[\Psi] = \frac{1}{T} \int_0^T dt \langle \Psi(t) | \hat{O}(t) | \Psi(t) \rangle$$

$$J_2 = -\alpha \left[ \int_0^T dt \epsilon^2(t) - E_0 \right]$$

$$J_3[\epsilon, \Psi, \chi] = -2 \text{Im} \int_0^T dt \langle \chi(t) | -i\partial_t - [\hat{T} + \hat{V} - \mu\epsilon(t)] | \Psi(t) \rangle$$

Set the total variation of  $J = J_1 + J_2 + J_3$  equal to zero:

## Control equations

1. Schrödinger equation with **initial** condition:

$$\delta_\chi J = 0 \rightarrow \boxed{i\partial_t \psi(t) = \hat{H}(t)\psi(t), \quad \psi(0) = \phi}$$

2. Schrödinger equation with **final** condition:

$$\delta_\psi J = 0 \rightarrow \boxed{\text{Inhomogenous TDSE : } [i\partial_t - \hat{H}(t)]\chi(t) = -\frac{i}{T}\hat{O}(t)\psi(t), \quad \chi(T) = 0}$$

3. Field equation:

$$\delta_\varepsilon J = 0 \rightarrow \boxed{\varepsilon(t) = \frac{1}{\alpha} \text{Im} \langle \chi(t) | \hat{\mu} | \psi(t) \rangle}$$

## Algorithm

Forward propagation

Backward propagation

New laser field

Y. Ohtsuki, G. Turinici, H. Rabitz, JCP **120**, 5509 (2004)  
I. Serban, J. Werschnik, E.K.U.G. Phys. Rev. A **71**, 053810 (2005)

## Control of path in Hilbert space

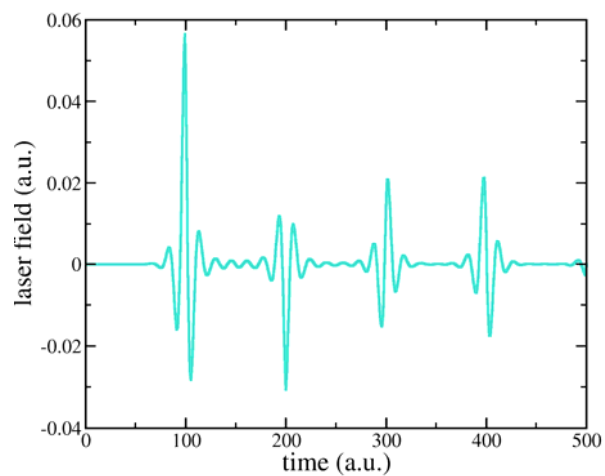
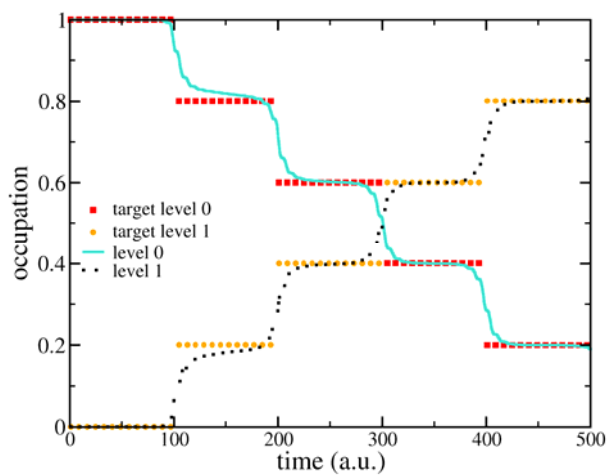
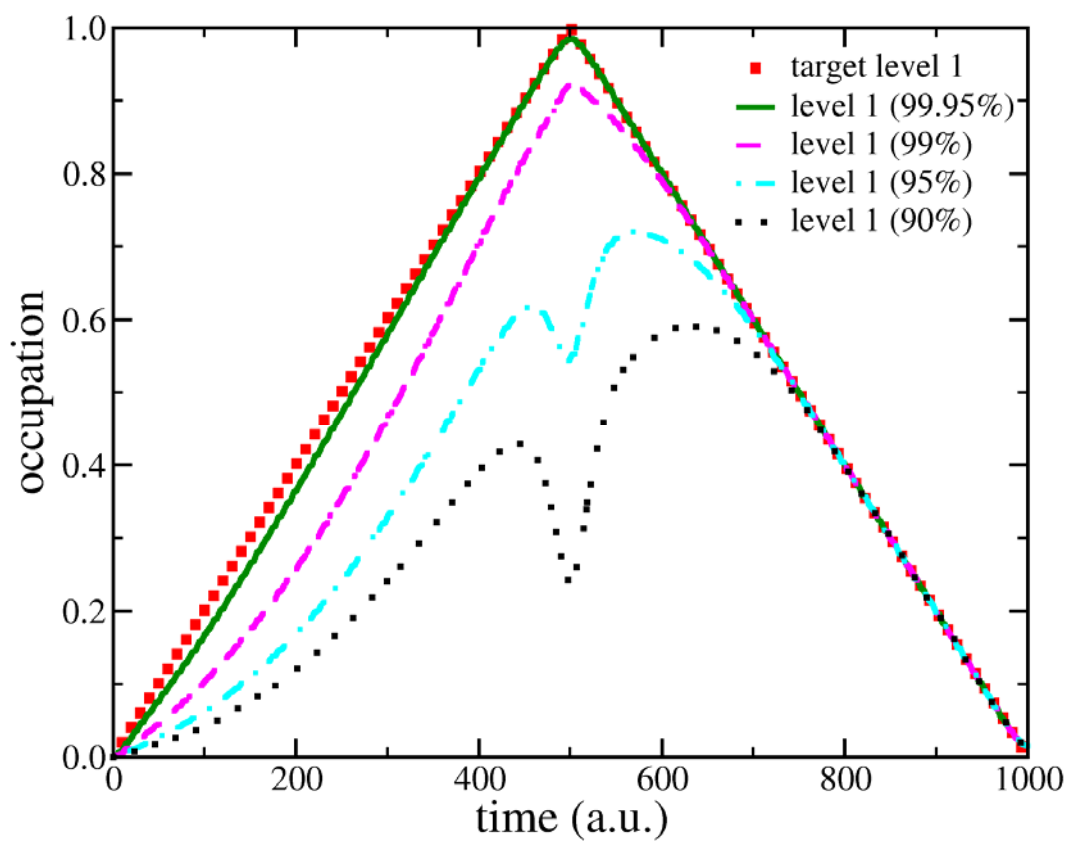
I. Serban, J. Werschnik, E.K.U.G. Phys. Rev. A **71**, 053810 (2005)

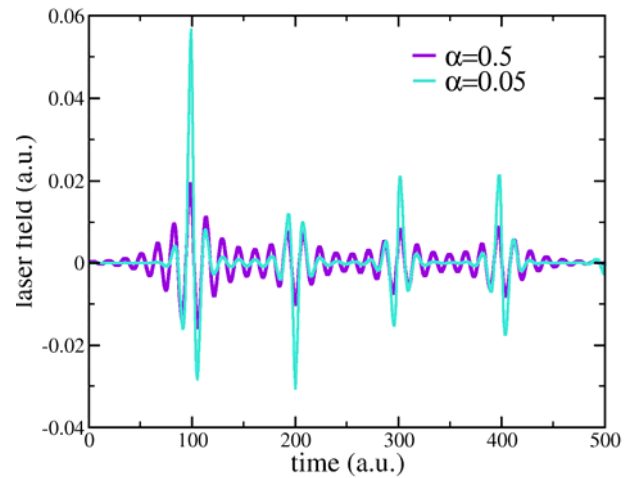
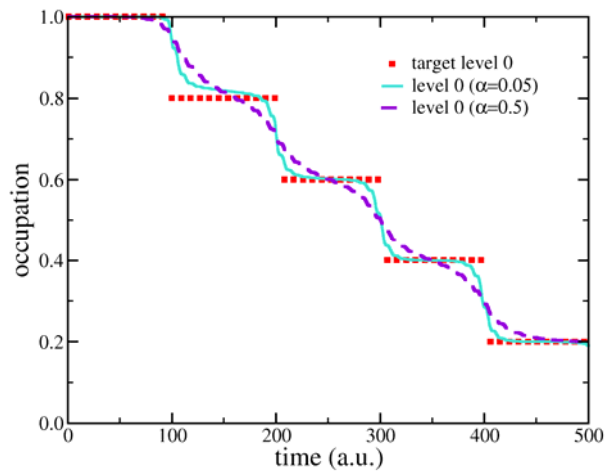
$$\hat{O}(t) = |\Phi(t)\rangle \langle \Phi(t)|$$

$$\text{with } |\Phi(t)\rangle = \alpha_0(t)e^{-i\varepsilon_0 t}|0\rangle + \alpha_1(t)e^{-i\varepsilon_1 t}|1\rangle$$

$$|\alpha_0(t)|^2 \quad \text{given target occupation, and} \quad |\alpha_1(t)|^2 = 1 - |\alpha_0(t)|^2$$

**Goal: Find laser pulse that reproduces  $|\alpha_0(t)|^2$**





## Control path in real space

$$\hat{O}(t) = \delta(r - r_0(t)) \approx \frac{1}{\sqrt{2\pi\sigma^2}} e^{-(r-r_0(t))^2/2\sigma^2}$$

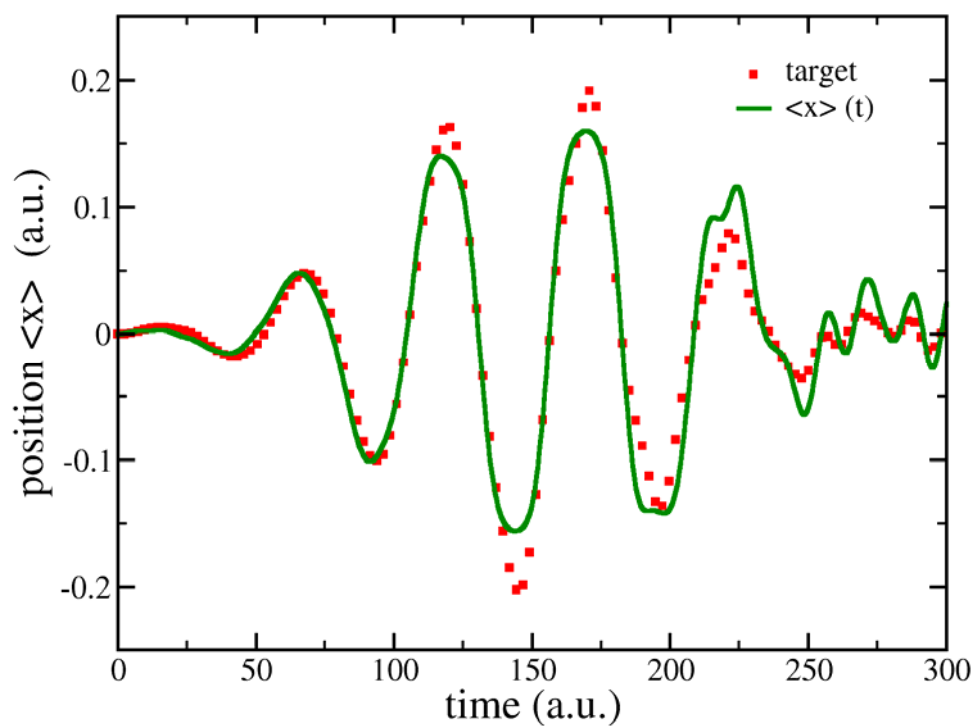
with given trajectory  $r_0(t)$ .

Algorithm maximizes the density along the path  $r_0(t)$ :

**I. Serban, J. Werschnik, E.K.U.G. Phys. Rev. A 71, 053810 (2005)**

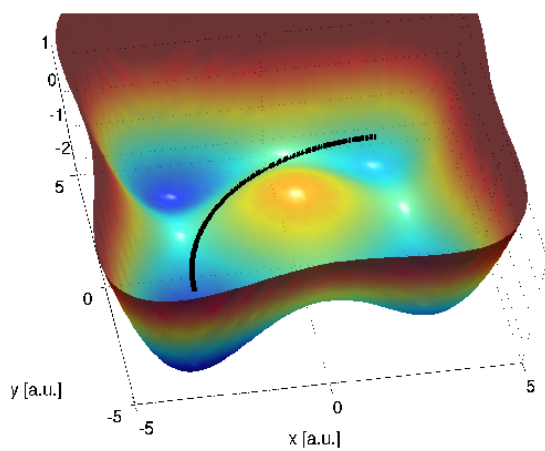
**J. Werschnik and E.K.U.G., in: Physical Chemistry of Interfaces and Nanomaterials V, M. Spitler and F. Willig, eds, Proc. SPIE 6325, 63250Q(1-13) (ISBN: 9780819464040, doi: 10.1117/12.680065); also on arXiv:0707.1874**

## Control of time-dependent density of hydrogen atom in laser pulse

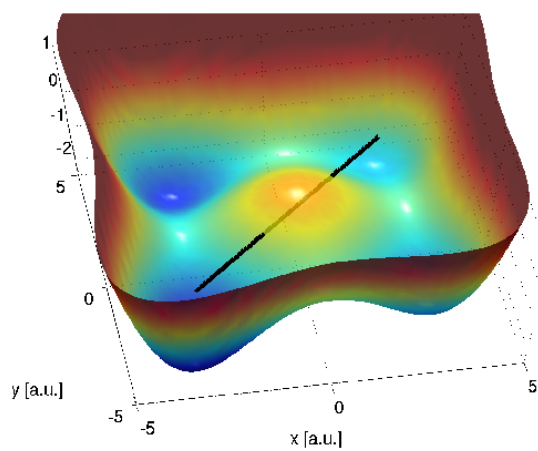


## Control of charge transfer along selected pathways

Trajectory 1

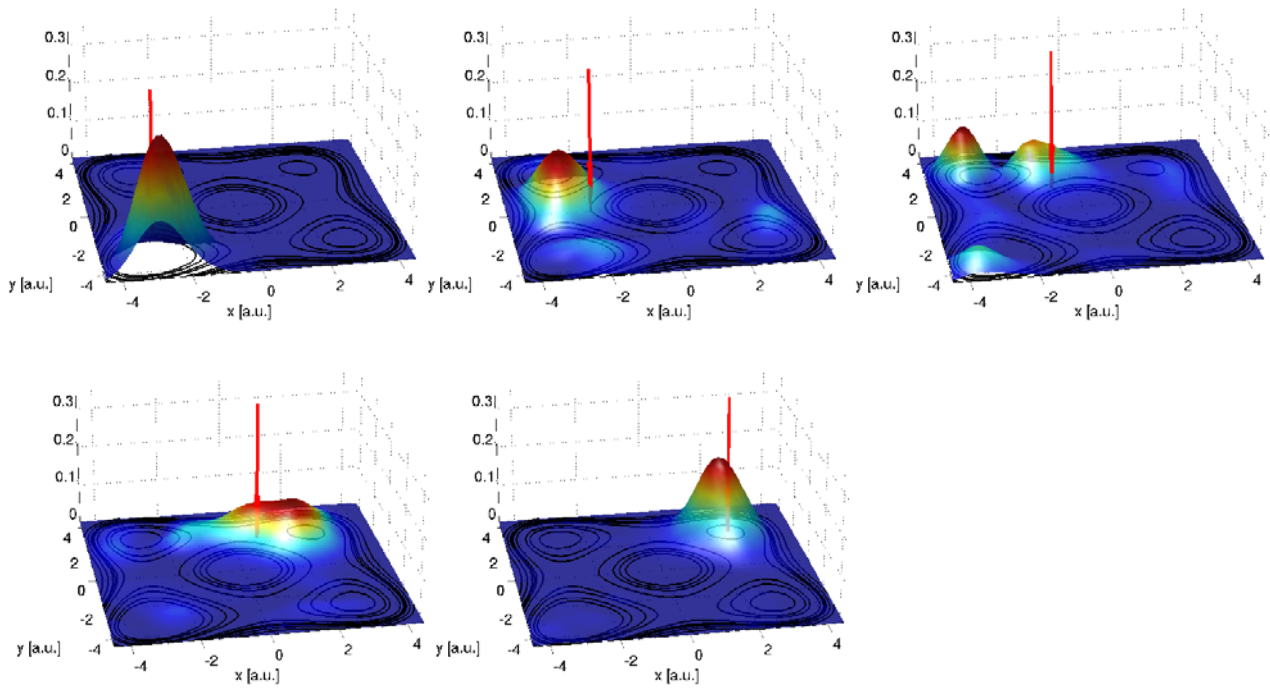


Trajectory 2

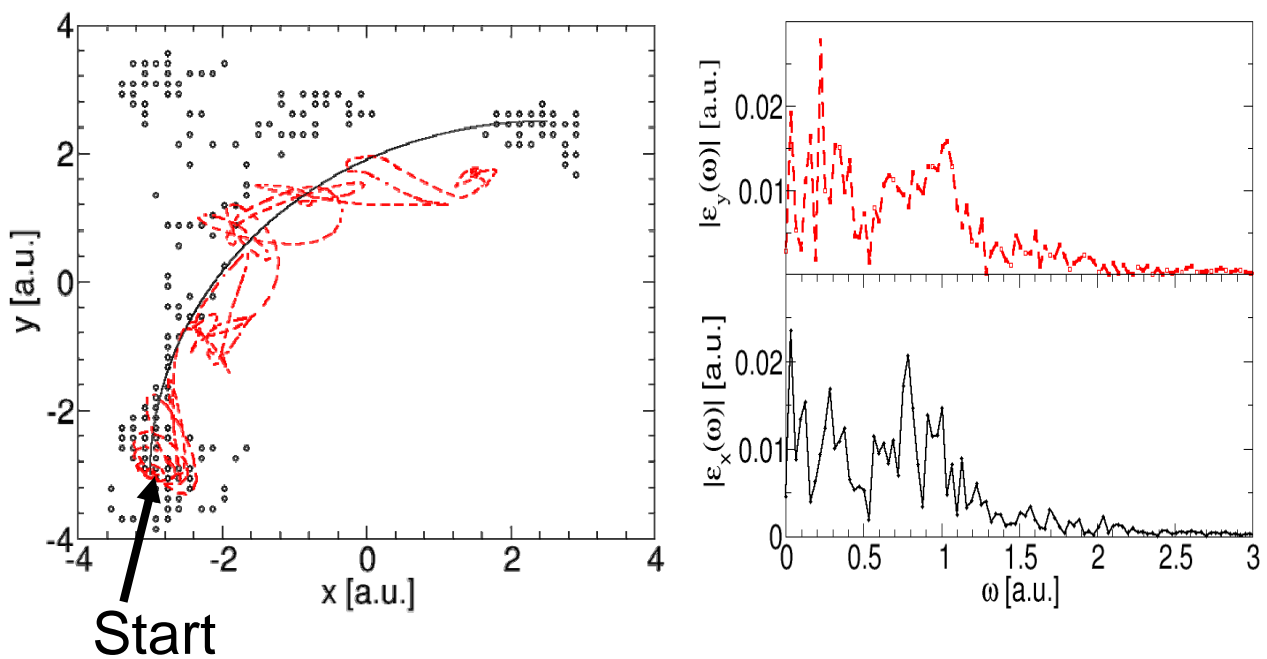




## Time-evolution of wavepacket with the optimal laser pulse for trajectory 1

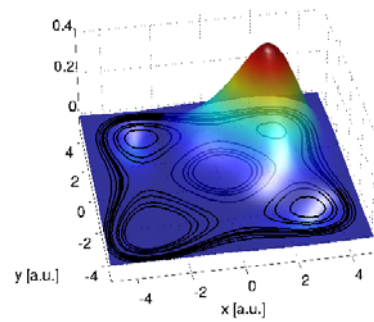
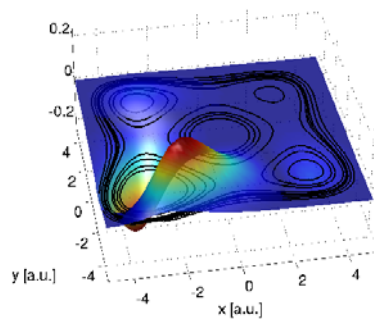
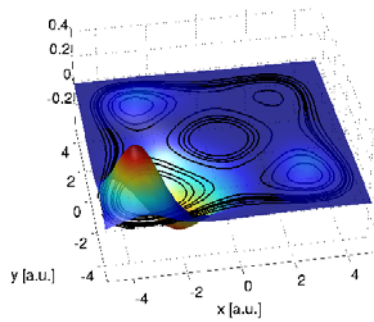
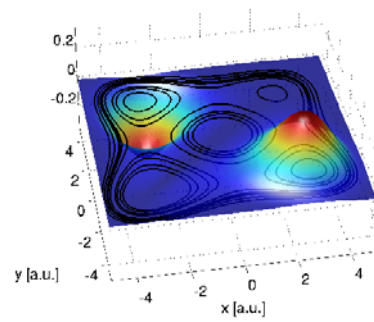
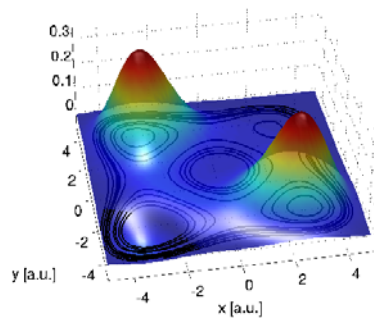
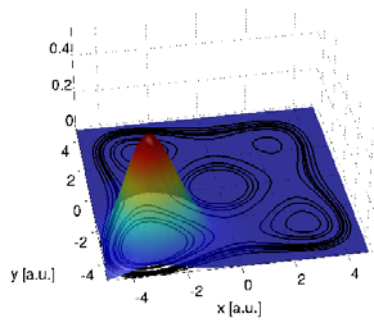


## Trajectory 1: Results

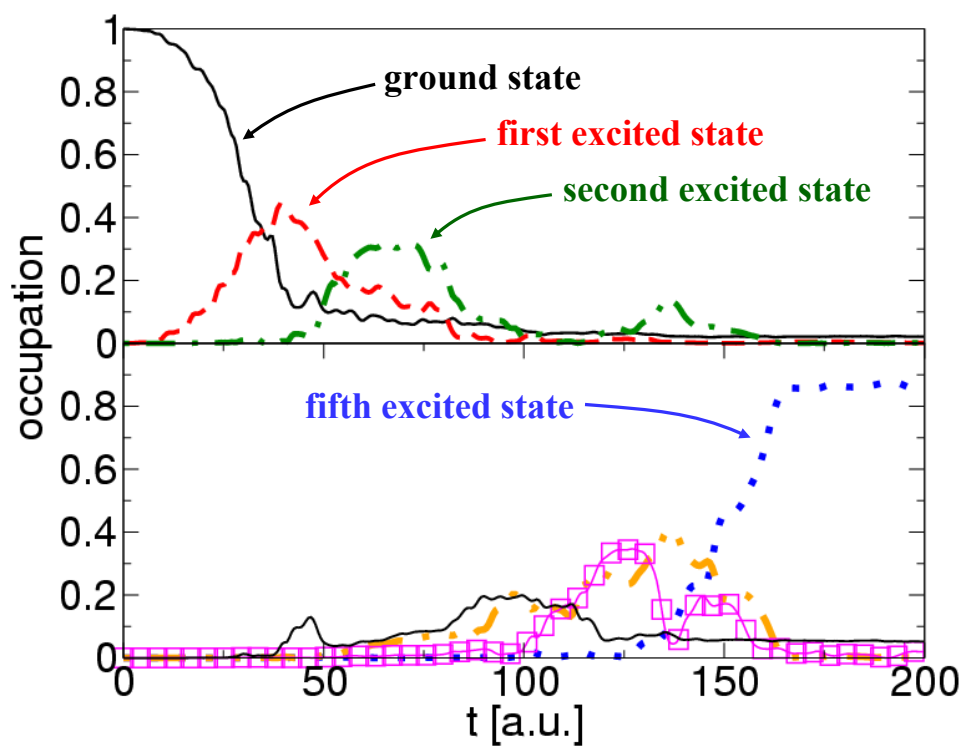




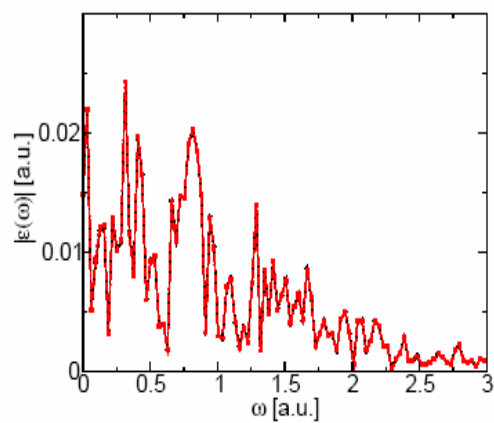
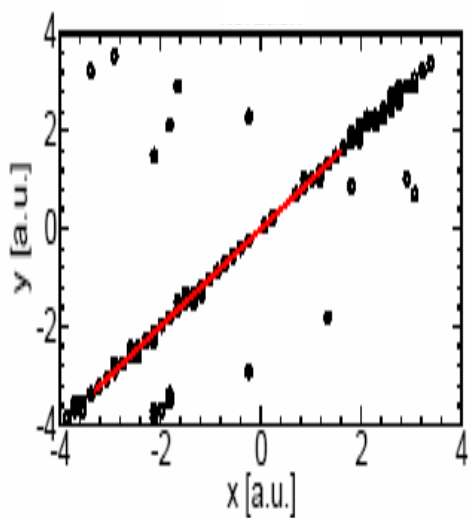
## Lowest six eigenstates



## Populations of eigenstates



## Trajectory 2



Thanks !