

Fluctuation Theorem for a Small Engine and Magnetization Switching by Spin Torque

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YU, Tomohiro Taniguchi, PRL **114**, 186601, (2015)

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Outline

□ Introduction

- Full-counting statistics
- Fluctuation theorem & Small heat engine
- Current induced spin transfer torque & Magnetization switching

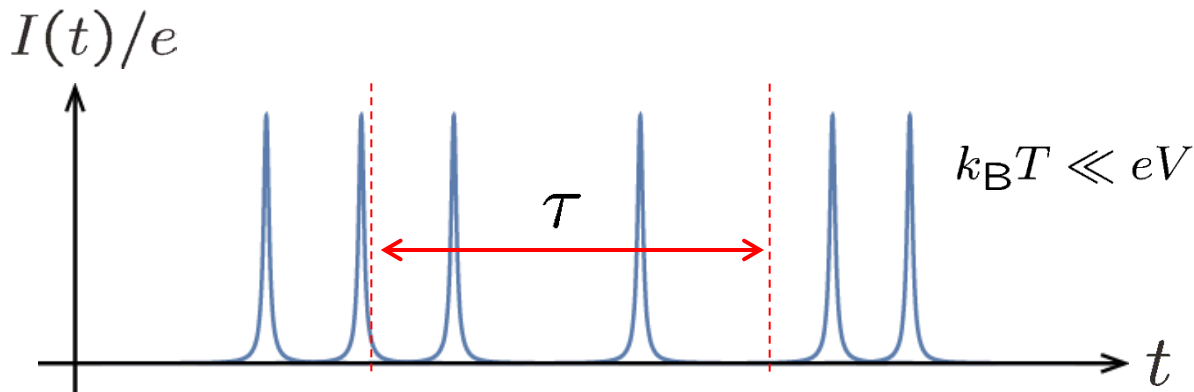
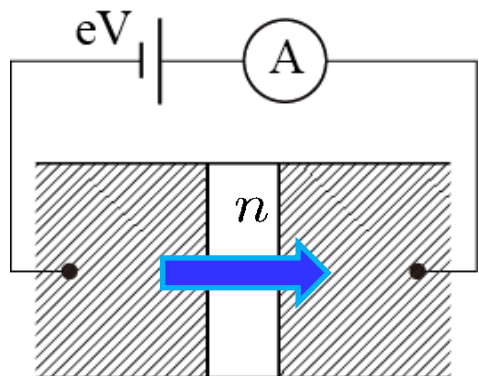
□ Magnetization switching by fluctuating spin torque

- Langevin equation in energy space
- Full-counting statistics under adiabatic spin-pumping
- Switching exponent & Threshold bias voltage:
Escape of a particle from a meta-stable state induced by fluctuating non-equilibrium & non-conservative force

□ Summary

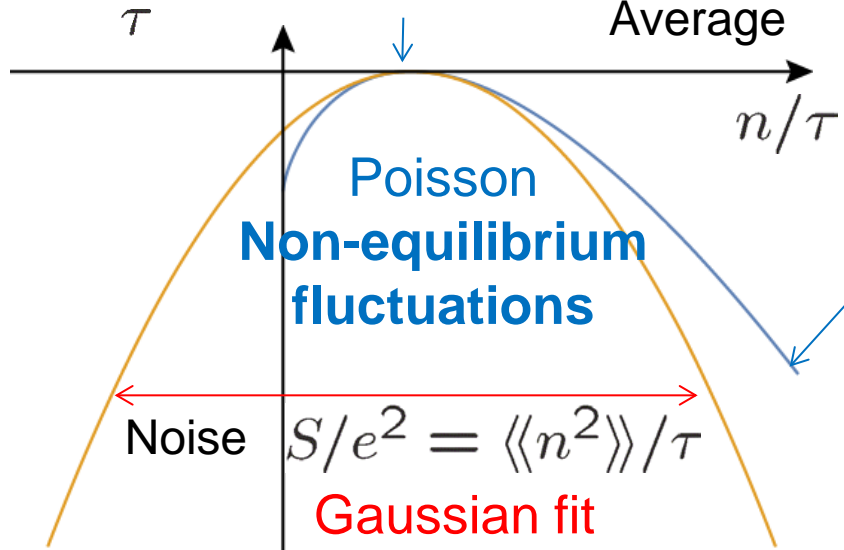
Full counting statistics

Levitov, H.-W. Lee, Lesovik, J. Math. Phys., 1996



Number of transmitted electrons $n = \int_t^{t+\tau} dt' I(t')/e$

$\frac{\ln P_\tau(n)}{\tau}$ Average $I/e = \langle\langle n \rangle\rangle / \tau$



Skewness $\langle\langle n^3 \rangle\rangle / \tau$

Large deviation from Gauss distribution

Cumulant generating function

$$\mathcal{F}_G(\lambda) = \ln \sum_n P_\tau(n) e^{in\lambda}$$

↑ Counting field

$$\langle\langle n^m \rangle\rangle = \left. \frac{\partial^m \mathcal{F}_G(\lambda)}{\partial (i\lambda)^m} \right|_{\lambda=0}$$

Equilibrium thermal fluctuations

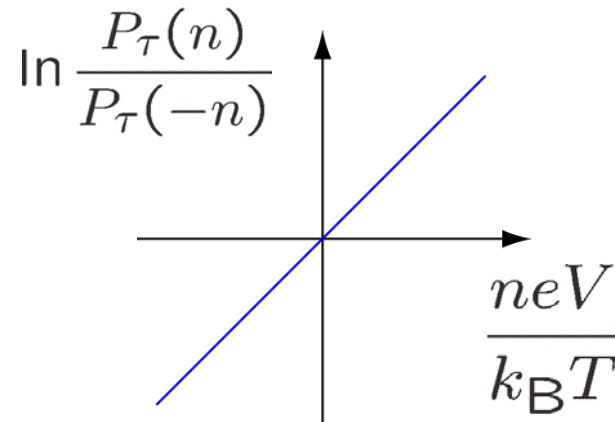
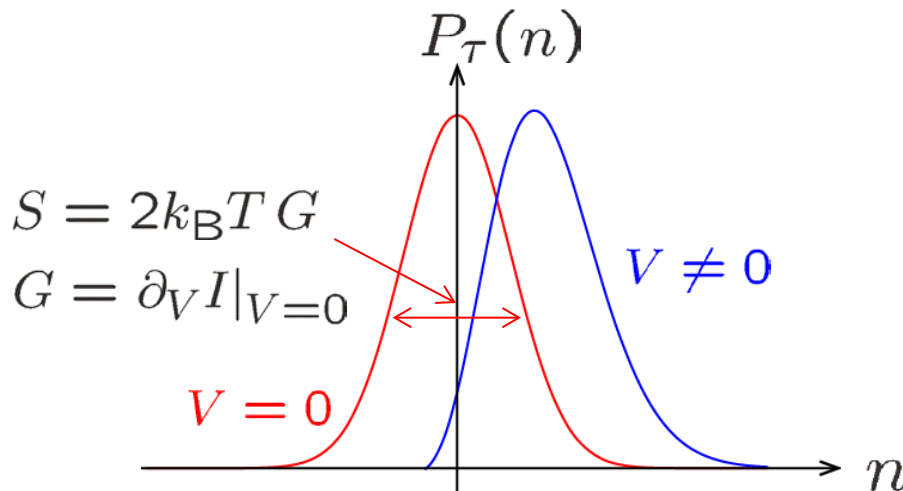
Fluctuation theorem

Evans, Cohen, Morris, 1993, Saito, Dhar, PRB 2007, Bochkov, Kuzovlev, 1977, etc.

$$\frac{P_{\tau}(-\Delta S)}{P_{\tau}(+\Delta S)} = \exp(-\Delta S) \quad \Delta S \text{ entropy production}$$

- ✓ Micro-reversibility \rightarrow FT is valid in far from equilibrium regime
- ✓ Second law of thermodynamics [Jarzynski PRL 1997, Crooks PRE 1999, etc.]
- ✓ Fluctuation-dissipation theorem & Onsager relations [Gallavotti PRL 1996]
- ✓ Extension to non-linear response regime [Tobiska, Nazarov, PRB 2005; Saito, YU PRB 2008]

$$\Delta S = \frac{neV}{k_B T} \quad \text{Joule heat} \quad \longrightarrow \quad \frac{P_{\tau}(-n)}{P_{\tau}(n)} = \exp\left(-\frac{neV}{k_B T}\right)$$

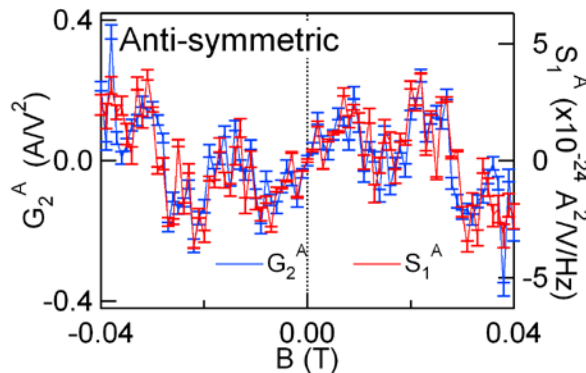
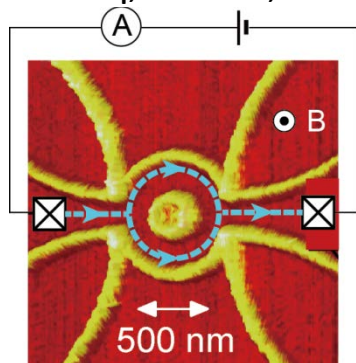


Unfolding-refolding cycles

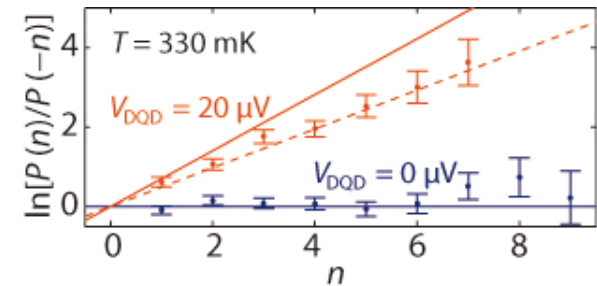
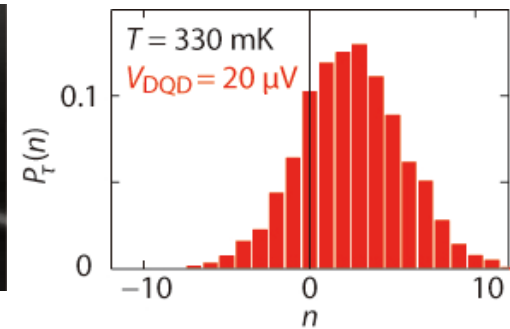
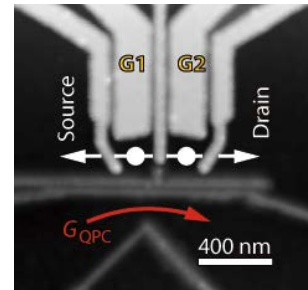
Liphardt, et al., Nature 2002, Collin, et al., Nature 2005

Nonlinear conductance & Linear response of noise

Nakamura, Yamauchi, Hashisaka, Chida, Kobayashi, Ono, Leturcq, Ensslin, Saito, YU, Gossard, PRL 2010, PRB 2011



Single-electron transport



YU, Golubev, Marthaler, Saito, Fujisawa, Gerd Schön, PRB 2010, Küng, Rossler, Beck, Marthaler, Golubev, YU, Ihn, Ensslin, PRX 2012

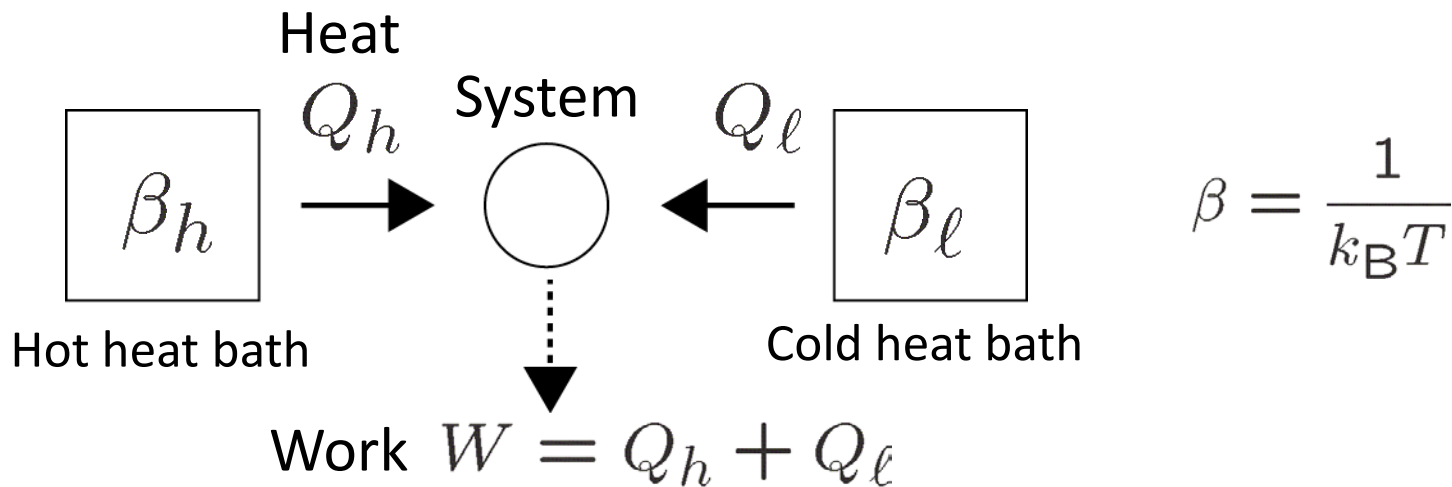
$$\frac{\partial S^A(B)}{\partial V} = 3k_B T \frac{\partial G^A(B)}{\partial V}$$

$$S^A(B) = S(B) - S(-B)$$

→ Nonlinear Onsager relations

Fluctuation theorem for a small heat engine

[Sinitsyn, J. Phys. A 2011, Campisi, J. Phys. A 2014, Verley, Willaert, Van den Broeck, Esposito, Nat Commun 2014]



$$\frac{P_{R,\tau}(-Q_h, -W)}{P_\tau(Q_h, W)} = \exp[-Q_h(\beta_h - \beta_l) - \beta_l W]$$

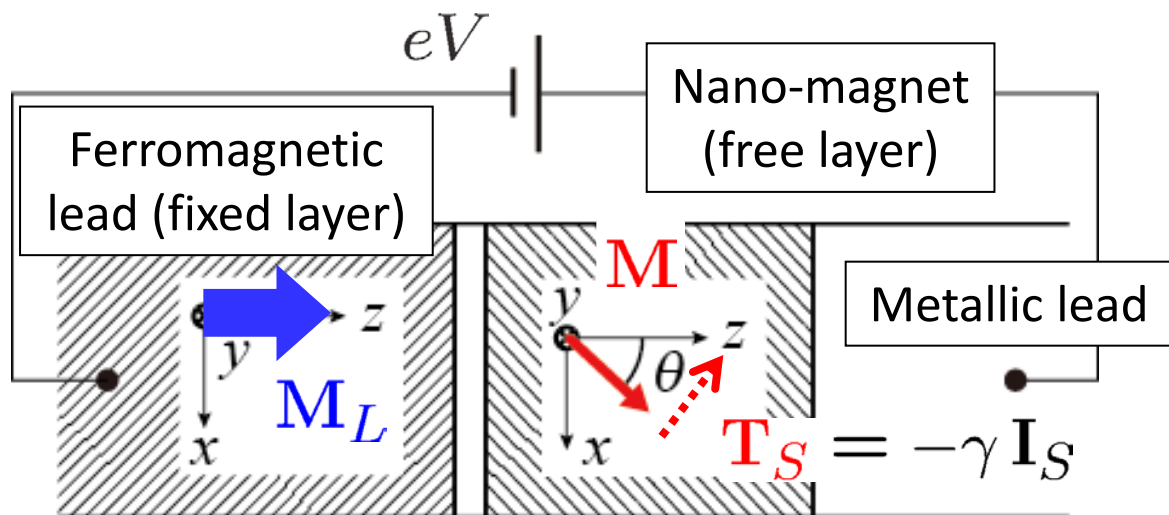
Jensen's inequality

$$\langle e^{-\Delta S} \rangle \geq e^{-\langle \Delta S \rangle} \quad \longrightarrow \quad \eta = \frac{\langle W \rangle}{\langle Q_h \rangle} \leq 1 - \frac{\beta_h}{\beta_l} \quad \text{Carnot theorem}$$

✓ FT for a small engine is applicable to various nano-systems

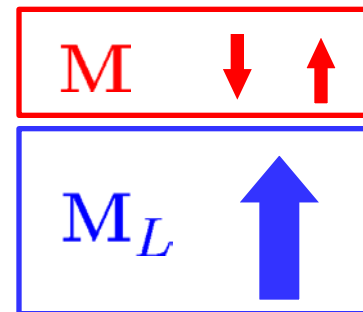
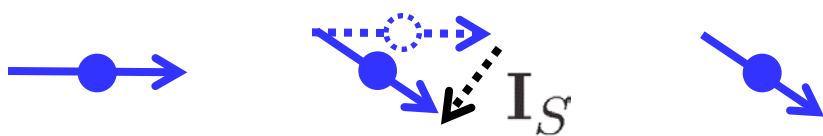
Spin-transfer torque in a ferromagnetic tunnel junction

[Slonczewski, J. Magn. Magn. Mater. 1996]

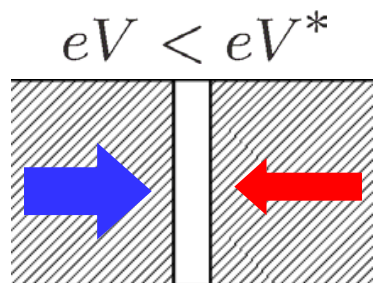


Uniaxial anisotropic energy

$$E = -\frac{H_K \mathcal{V}}{2M} (\mathbf{M} \cdot \mathbf{e}_z)^2$$

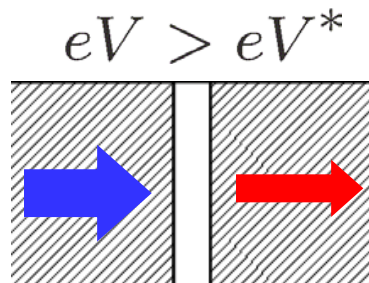


Magnetization switching above the critical voltage



M_L M

“AP”



M_L M

“p”

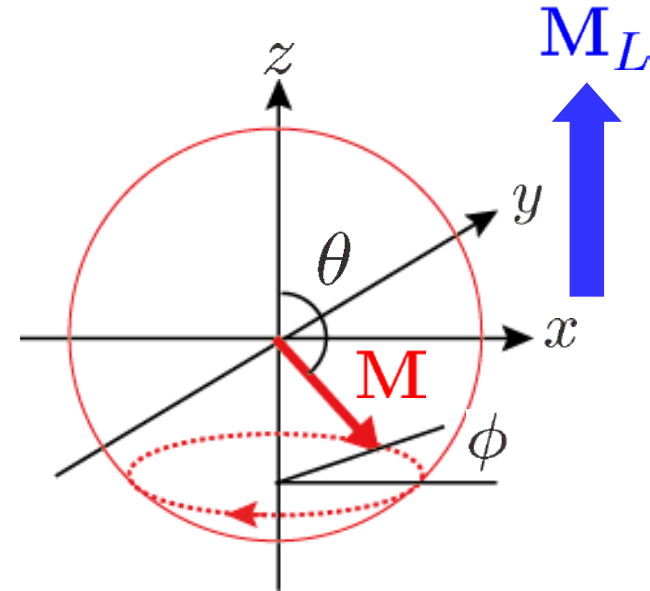
Yakushiji, Fukushima, Konoto, Yuasa,
Appl. Phys. Express. 2013

Fluctuation induced magnetization switching

Stochastic LLG equation [Apalkov, Visscher PRB 2005]

$$\dot{\mathbf{M}} = -\gamma \mathbf{M} \times (\mathbf{H}_{\text{eff}} + \mathbf{h}) + \frac{\alpha \mathbf{M} \times \dot{\mathbf{M}}}{\nu} - \frac{\gamma \mathbf{I}_S}{\nu}$$

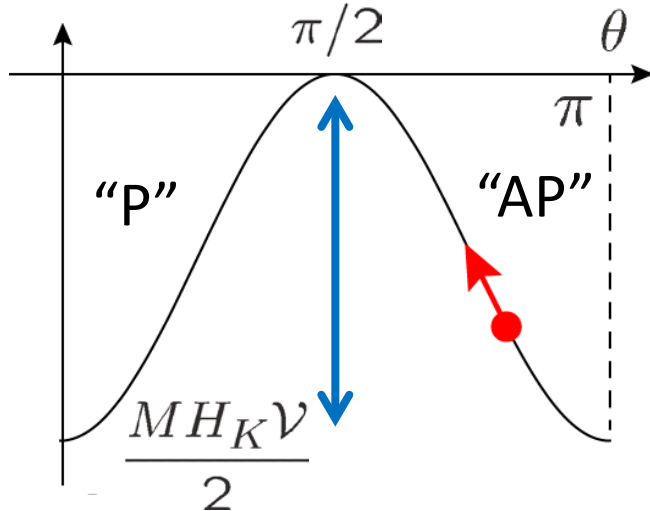
$$\mathbf{H}_{\text{eff}} = H_K \cos \theta \mathbf{e}_z$$



➤ Fluctuation-dissipation theorem → Gauss

$$\langle h_j(t) h_k(t') \rangle = 2\alpha k_B T \delta(t - t') \delta_{jk}$$

$$E = -\frac{MH_K \mathcal{V}}{2} (\cos \theta)^2$$



Switching rate $P \approx e^{-\Delta}$

$$V = 0 \quad \Delta = \frac{MH_K \mathcal{V}}{2k_B T} \quad \text{Arrhenius law} \quad [\text{Brown PR 1963}]$$

$$0 < eV < eV^* \quad \Delta = \frac{MH_K \mathcal{V}}{2k_B T} \left(1 - \frac{V}{V^*}\right)^2 \quad [\text{Taniguchi, Imamura, PRB 2011}]$$

➤ What happens when the spin-torque fluctuates?

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Langevin equation in the energy space

$$\dot{\mathbf{M}} = -\gamma \mathbf{M} \times \mathbf{H}_{\text{eff}} - \frac{\gamma \mathbf{I}_S}{\nu} \leftarrow \text{Weak spin torque} \quad \mathbf{H}_{\text{eff}} = H_K \cos \theta \mathbf{e}_z$$

Energy variation is small after the single precession

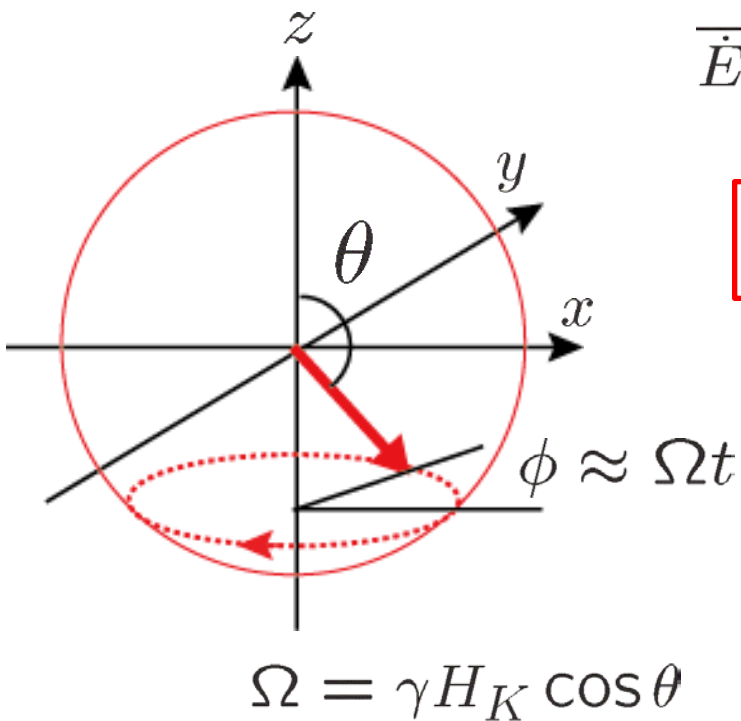
$$\overline{\dot{E}(t)} = -\nu \overline{\dot{\mathbf{M}} \cdot \mathbf{H}_{\text{eff}}} \approx \overline{\mathbf{I}_{S_z}(t)} \Omega(\theta(t))$$

$$\overline{E}(t + \Delta t) - \overline{E}(t) = w \equiv s \hbar \Omega$$

Fluctuating work done by spin-torque

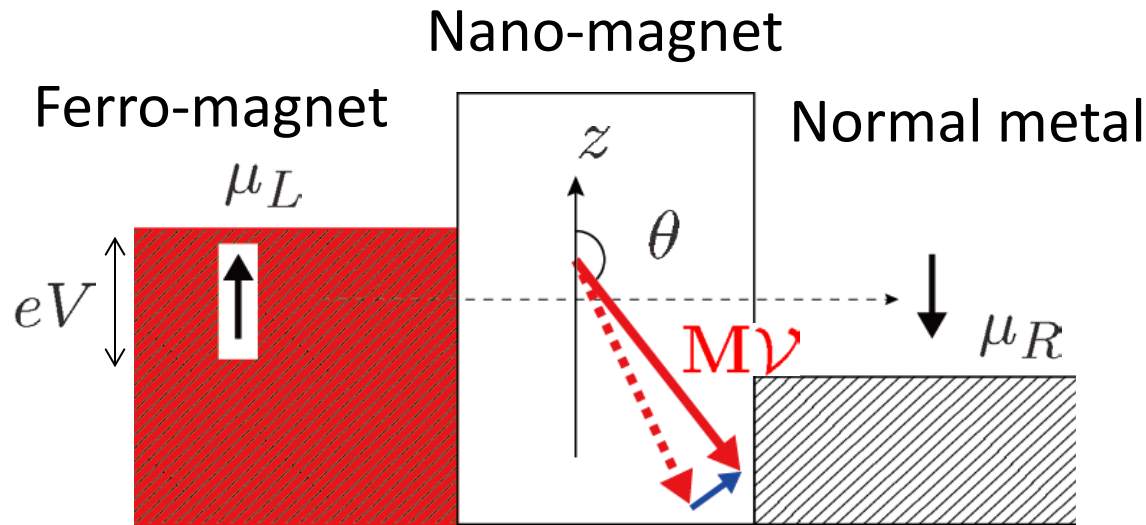
$$s = \int_t^{t+\Delta t} dt' \overline{\mathbf{I}_{S_z}(t')} / \hbar$$

Number of flipped spins



Full-counting statistics under adiabatic spin-pumping

[Andreev, Kamenev, PRL 2000]



4×4 S-matrix \rightarrow Scattering by the nano-magnet

$$S = \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}$$

$$r = \begin{pmatrix} r_{\uparrow\uparrow} & r_{\uparrow\downarrow} \\ r_{\downarrow\uparrow} & r_{\downarrow\downarrow} \end{pmatrix}$$

$$S(\phi, \theta) = e^{-i\Omega t \sigma_z / 2} S(\theta) e^{i\Omega t \sigma_z / 2} \rightarrow \text{Adiabatic spin pumping by precession motion}$$

[Brataas, Tserkovnyak, Bauer, PRL 2008]

$$\ln \sum_{n,s} P_{\Delta t}(n, s; \Omega) e^{i\lambda n + i\chi s} = \mathcal{F}_G(\lambda, \chi; \Omega) \Delta t$$

$$s = \int_t^{t+\Delta t} dt' \overline{I_{S_z}(t')} / \hbar \quad n = \int_t^{t+\Delta t} dt I(t) / e$$

Number of flipped spins

Number of transmitted electrons

Ferromagnetic insulating nano-magnet

$$\begin{aligned}
 \mathcal{F}_G(\lambda, \chi; \Omega) = & \sum_{\nu=\pm} \sin^2 \theta G_{L\uparrow, R\downarrow} \frac{\nu(eV - \hbar\Omega)}{1 - e^{-\nu\beta(eV - \hbar\Omega)}} (e^{i\nu(\lambda+\chi)} - 1) \\
 & + \sum_{\nu=\pm} \sin^2 \theta G_{L\downarrow, R\uparrow} \frac{\nu(eV + \hbar\Omega)}{1 - e^{-\nu\beta(eV + \hbar\Omega)}} (e^{i\nu(\lambda-\chi)} - 1) \\
 & + \sum_{\pm} \Gamma_{\pm} (e^{\pm i\lambda} - 1) \quad \text{Tunneling}
 \end{aligned}
 \left. \vphantom{\mathcal{F}_G} \right\} \text{Spin mixing tunneling}$$

→ Spintronic fluctuation theorem [YU, Imamura, J. Phys. Conf. Ser. 2010; López, Lim, Sánchez, PRL 2012, Wang, Feldman, arXiv:1502.06572]

$$P_{R, \Delta t}(-n, s; \Omega) = P_{\Delta t}(n, s; -\Omega) e^{-\beta(\underbrace{neV}_q + \underbrace{s\hbar\Omega}_w)}$$

Joule heat q w Work

$$\frac{P_{R, \Delta t}(-q, -w)}{P_{\Delta t}(q, w)} = \exp[-\beta q - \beta w] \quad \longrightarrow \quad \frac{\langle w \rangle}{\langle q \rangle} \leq 1 \quad \text{“Carnot theorem”}$$

→ FT for a small engine

Critical bias voltage

$$\frac{\langle\langle w \rangle\rangle}{\Delta t} = \hbar\Omega \frac{\partial \mathcal{F}_G(\lambda = 0, \chi; \Omega)}{\partial(i\chi)} \Big|_{\chi=0} = \Omega I_{S_z}^{\Omega=0} - p_{\text{pump}} = 0$$

Power gain by spin-torque

Power dissipation by spin-pumping

$$\left\{ \begin{array}{l} I_{S_z}^{\Omega=0} = (G_{L\uparrow,R\downarrow} - G_{L\downarrow,R\uparrow}) \hbar \sin^2 \theta eV \quad [\text{Slonczewski, JMMM 1996}] \\ p_{\text{pump}} = (G_{L\downarrow,R\uparrow} + G_{L\uparrow,R\downarrow}) \sin^2 \theta (\hbar\Omega)^2 \end{array} \right.$$

$$|\Omega^*| > \max[\gamma H_K \cos \theta]$$

Critical voltage

$$\hbar\Omega^* = \frac{G_{L\uparrow,R\downarrow} - G_{L\downarrow,R\uparrow}}{G_{L\uparrow,R\downarrow} + G_{L\downarrow,R\uparrow}} eV \longrightarrow \frac{eV^*}{2\mu_B H_K} = \frac{G_{L\downarrow,R\uparrow} + G_{L\uparrow,R\downarrow}}{|G_{L\downarrow,R\uparrow} - G_{L\uparrow,R\downarrow}|}$$

Spin-torque shot noise induces probabilistic switching below the critical voltage

→ Path-integral & Optimal-path approximation [Sukhorukov, Jordan PRL 2007]

$$P = \int \mathcal{D}\xi \int_{E(0)}^{E(\tau)} \mathcal{D}E e^{i\mathcal{S}} \approx e^{-\Delta}$$

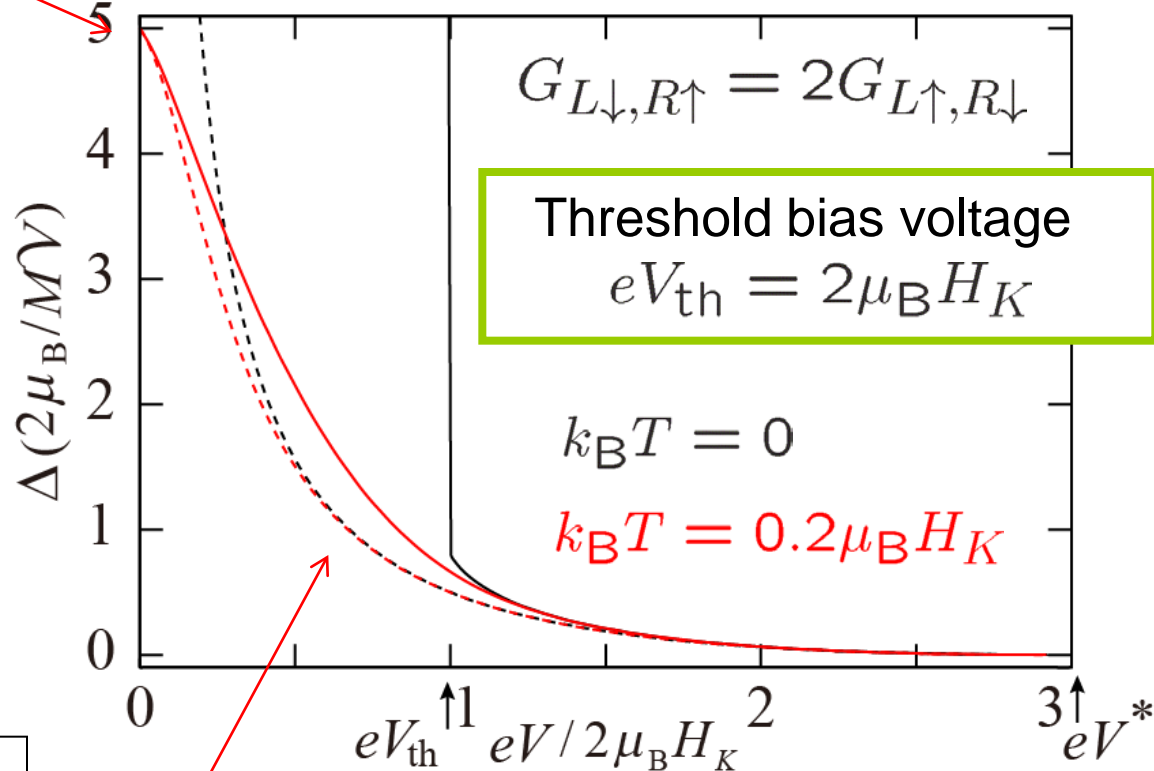
$$i\mathcal{S} = - \int_0^\tau dt \left[i\xi(t) \dot{E}(t) - \mathcal{F}_G(\lambda = 0, \xi \hbar\Omega(E(t)); \Omega(E(t))) \right]$$

Switching exponent

Switching probability $P \approx e^{-\Delta}$

$$\Delta = \frac{MH_K\mathcal{V}}{2k_B T}$$

Arrhenius law



Arrhenius-like law

$$\Delta = \frac{MH_K\mathcal{V}}{2k_B T_{\text{eff}}} \left(1 - \frac{V}{V^*}\right)^2$$

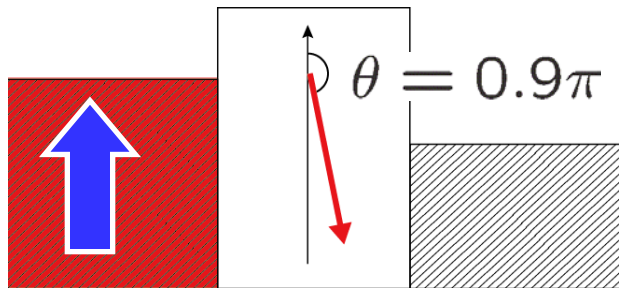
[Taniguchi, Imamura PRB 2011]

Spin-torque shot noise

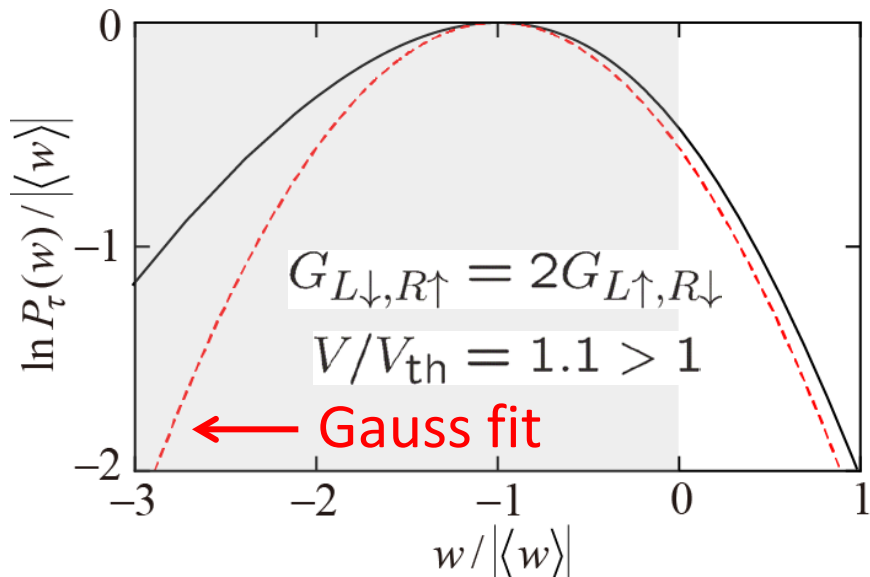
$$T_{\text{eff}} \approx \frac{2G_{L\uparrow,R\downarrow}G_{L\downarrow,R\uparrow}}{(G_{L\uparrow,R\downarrow} + G_{L\downarrow,R\uparrow})^2} eV$$

[Nunez, Duine PRB 2008]

Probability distribution of work near anti-parallel alignment



$$eV_{\text{th}} < eV < eV^*$$

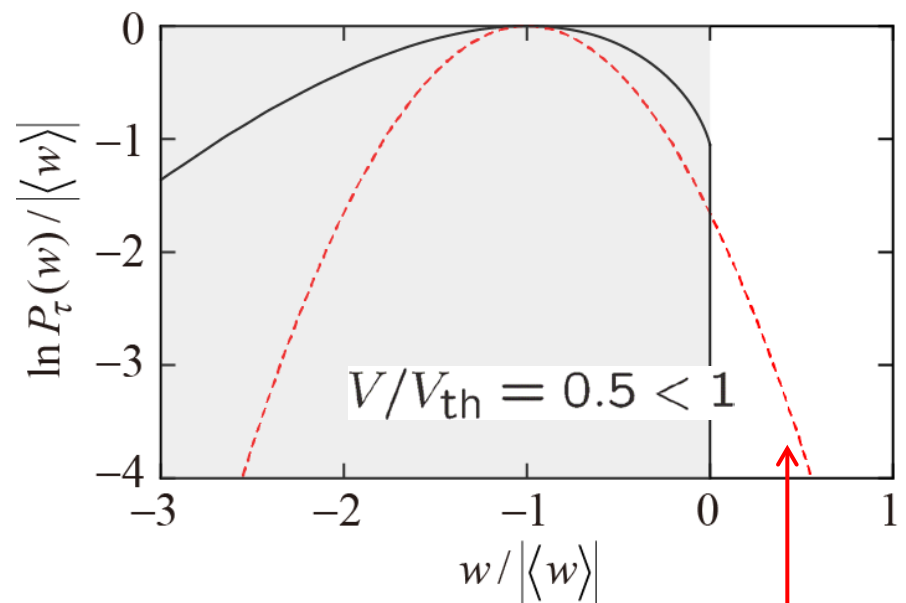


$$\langle \delta w^2 \rangle_{\text{Gauss}} = \hbar \Omega^2 I_{S_z}^{\Omega=0}$$

[Brataas, Tserkovnyak, Bauer, PRL 2008]

$$\langle w \rangle = -0.21\tau G_{L\uparrow, R\downarrow} (2\mu_B H_K)^2$$

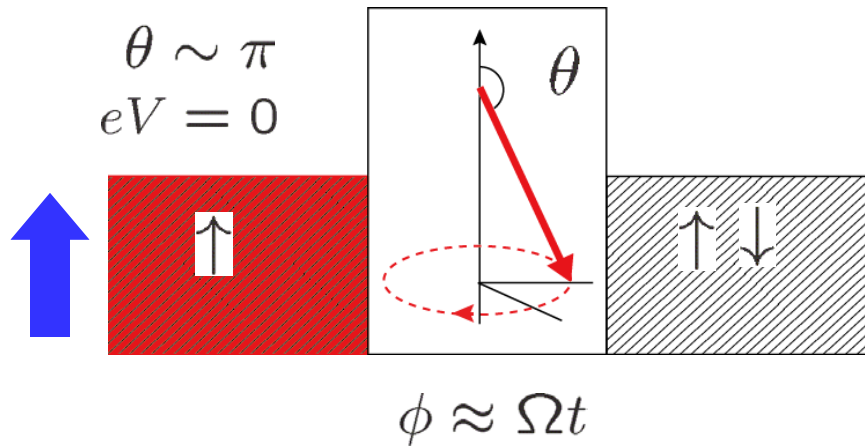
$$eV < eV_{\text{th}} < eV^*$$



Artificial switching events

$$\langle w \rangle = -0.15\tau G_{L\uparrow, R\downarrow} (2\mu_B H_K)^2$$

Backaction by the adiabatic spin-pumping



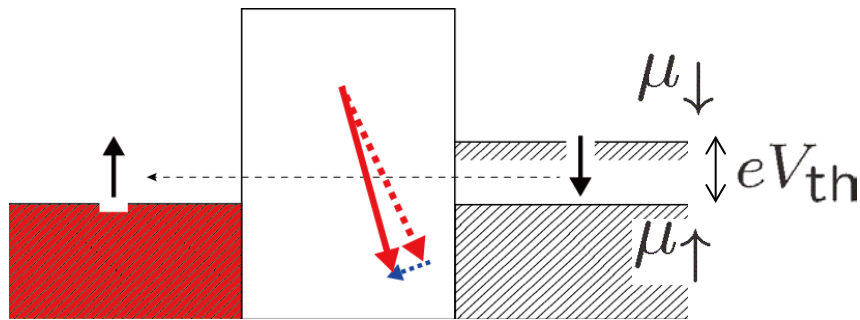
Wave functions in the leads on the rotating frame

$$\begin{cases} \psi_{L,R\uparrow} \rightarrow e^{+i\Omega t/2} \psi_{L,R\uparrow} \\ \psi_{L,R\downarrow} \rightarrow e^{-i\Omega t/2} \psi_{L,R\downarrow} \end{cases}$$

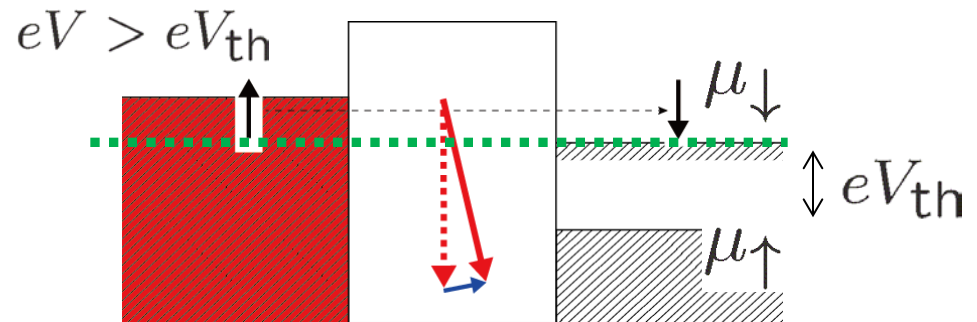


$$\mu_{\downarrow} - \mu_{\uparrow} = |\hbar\Omega| = eV_{th} = 2\mu_B H_K$$

Spin splitting of chemical potential



Damping



Spin-torque

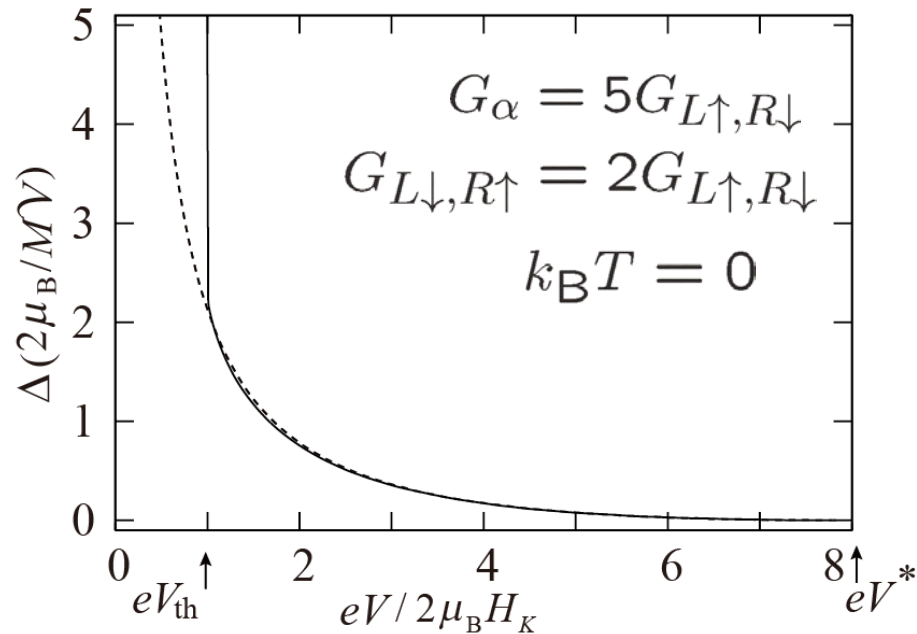
- ✓ Backaction (spin-pumping) is crucial to maintain the consistency with FT

Switching exponent with finite Gilbert damping & thermal noise

$$\bar{E}(t + \Delta t) - \bar{E}(t) = w - \int_t^{t+\Delta t} dt' \bar{p}_\alpha(t')$$

$$\langle \bar{p}_\alpha \rangle = G_\alpha (\hbar \Omega)^2 \sin^2 \theta \quad G_\alpha = \pi \alpha M \mathcal{V} / h \mu_B$$

$$\langle \overline{\delta p_\alpha(t)} \overline{\delta p_\alpha(t')} \rangle = 2k_B T \langle \bar{p}_\alpha \rangle \delta(t - t') \quad \rightarrow \text{Fluctuation dissipation theorem}$$



$$\frac{eV^*}{2\mu_B H_K} = \frac{G_{L\downarrow, R\uparrow} + G_{L\uparrow, R\downarrow} + G_\alpha}{|G_{L\downarrow, R\uparrow} - G_{L\uparrow, R\downarrow}|}$$

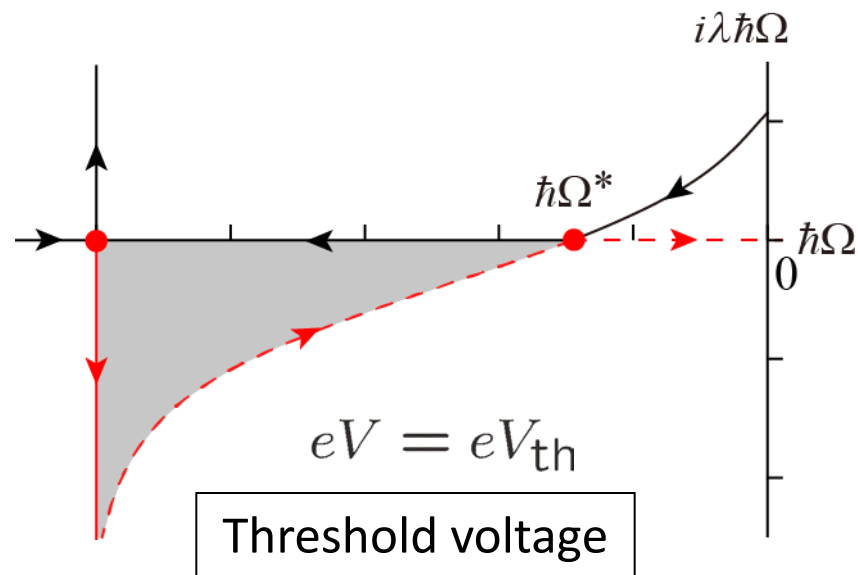
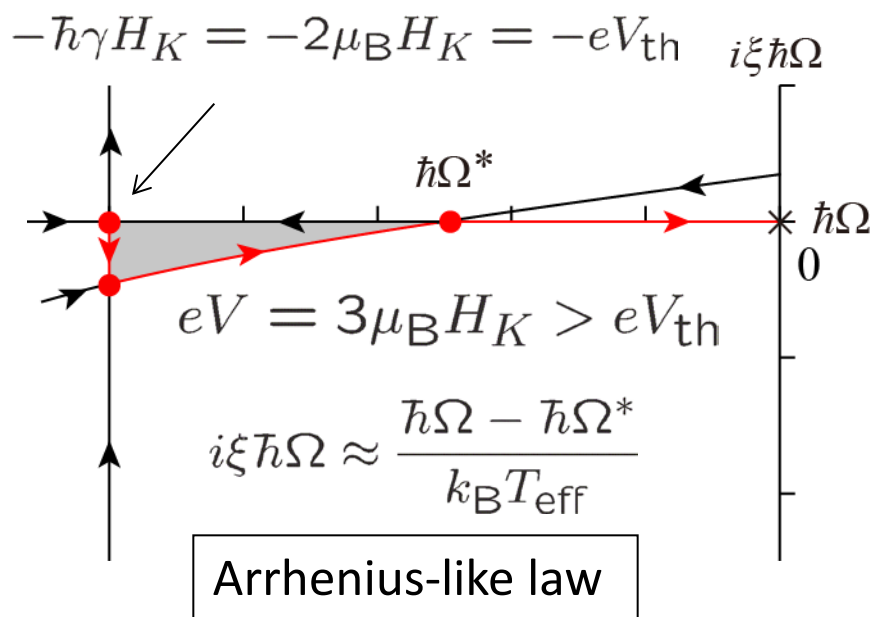
Optimal-path approximation

$$P = \int \mathcal{D}\xi \int_{E(0)}^{E(\tau)} \mathcal{D}E e^{i\mathcal{S}} \approx e^{-\Delta} \quad \text{“Hamiltonian”}$$

$$i\mathcal{S} = - \int_0^\tau dt \left[i\xi(t)\dot{E}(t) - \mathcal{F}_G(\lambda = 0, \xi\hbar\Omega(E(t)); \Omega(E(t))) \right]$$

“canonical momentum” “coordinate”

$$\Omega(E) = -\sqrt{-2\gamma^2 H_K E / (MV)}$$



Action along the optimal path

$$\Delta = -i\mathcal{S}^* = \frac{MV}{2\mu_B \hbar\gamma H_K} \times (\text{area of shaded region})$$

Summary

1. We calculated the switching probability induced by fluctuating non-conservative & non-equilibrium spin-torque.
2. The backaction (spin pumping) is crucial to maintain the consistency with the fluctuation theorem for a small engine.
3. We found the threshold voltage, which is the onset of probabilistic switching events by spin-torque shot noise