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Real-time electron dynamics in solids under strong electromagnetic fields

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TDDFT: First-principles tool for electron dynamics simulation

$$i\hbar\frac{\partial}{\partial t}\psi_i(\vec{r},t) = \{h_{KS}[\rho(\vec{r},t)] + V_{ext}(\vec{r},t)\}\psi_i(\vec{r},t)$$

Linear response regime (Perturbation theory)

$$\rho(\vec{r},t) = \sum_{i} |\psi_i(\vec{r},t)|^2$$

Laser-solid interaction

Conductance

of nano-wire



Nonlinear, nonperturbative regime (Initial value problem)

CONTENTS

- A frontier in light matter interaction : intense and ultrashort
 Theories and computations required in current optical sciences -
- 2. Real-time and real-space calculation for electron dynamics in solid time-dependent band calculation, treatment of boundaries. -
- 3. Electron and phonon dynamics in solid for a given electric field - Dielectric function, coherent optical phonon, optical breakdown, ... -
- 4. Coupled Maxwell + TDDFT multiscale simulation
 - First-principles simulation for macroscopic electromagnetic field in intense regime, Dense electron-hole plasma induced by intense laser pulses -

Modern experiments on light-matter interactions

Requiring new theories and large-scale computations, beyond ordinary electromagnetism and quantum mechanics

- Nano-sized material too large to calculate microscopically, too small to calculate macroscopically.

 —> large-scale microscopic calculation
- Near field optics (resolution beyond diffraction limit)
- Meta-material (negative refractive index)
- Surface plasmon polariton (coupled light-electron dynamics)
 - \longrightarrow quantum effects (?)
- Intense and ultrashort laser pulses (extreme nonlinear electron dynamics)
 - requiring time-domain description,

coupled dynamics of electrons and electromagnetic fields

Frontiers in Optical Sciences: Intense field



FIG. 1: Maximum laser intensity as a function of time and fields of research accessible with these intensities.

G. Mourou Optik & Photonik December 2010 No. 4

Intense laser pulse on solid





External electric field by laser pulse ≈ Internal electric field by nuclei



NATUREJOBS Marine biology



Pump-Probe Measurement: Coherent Phonon



M. Hase, et al. Nature (London) 426, 51 (2003)

$$\frac{\Delta R(t)}{R} \propto e^{-\Gamma t} \sin(\Omega_{\rm ph} t + \phi)$$

Femto-technology: nonthermal machining by femtosecond laser pulses



R.R. Gattass, E. Mazur, Nature Photonics 2, 220 (2008).

Micromachining - waveguide-



Optical microscope image of waveguides written inside bulk glass by a 25-MHz train of 5-nJ sub-100-fs pulses, C.B. Schaffer et.al, OPTICS LETTERS 26, 93 (2001)



Ablation of a single mitochondrion in a living cell. N. Shen et.al, Mech. Chem. Biosystems, 2, 17 (2005).

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Calculation in real-time and real-space

Time-dependent Kohn-Sham equation

$$i\hbar \frac{\partial}{\partial t} \psi_i(\vec{r},t) = h_{KS} [n(\vec{r},t)] \psi_i(\vec{r},t) \qquad n(\vec{r},t) = \sum_i |\psi_i(\vec{r},t)|^2$$
$$h_{KS} [n(\vec{r},t)] = -\frac{\hbar^2}{2m} \nabla^2 + V_{ion}(\vec{r}) + \int d\vec{r} \cdot \frac{e^2}{|\vec{r}-\vec{r}'|} + \mu_{xc} [n(\vec{r},t)] + V_{ext}(\vec{r},t)$$

Norm-conserving pseudopotential

3D real-space grid representation, high order finite difference

$$-\frac{\hbar^{2}}{2m}\left[\sum_{n_{1}=-N}^{N}C_{n_{1}}\psi(x_{i}+n_{1}h,y_{j},z_{k})+\sum_{n_{2}=-N}^{N}C_{n_{2}}\psi(x_{i},y_{j}+n_{2}h,z_{k})+\sum_{n_{3}=-N}^{N}C_{n_{3}}\psi(x_{i},y_{j},z_{k}+n_{3}h)\right]$$

$$+\left[V_{\text{ion}}(x_{i},y_{j},z_{k})+V_{H}(x_{i},y_{j},z_{k})+V_{\text{xc}}(x_{i},y_{j},z_{k})\right]\psi(x_{i},y_{j},z_{k})=E\psi(x_{i},y_{j},z_{k})$$

Time evolution: 4-th order Taylor expansion

$$\psi_i(t + \Delta t) = \exp\left[\frac{h_{KS}(t)\Delta t}{i\hbar}\right]\psi_i(t) \approx \sum_{k=0}^N \frac{1}{k!} \left(\frac{h_{KS}(t)\Delta t}{i\hbar}\right)\psi_i(t), \quad N = 4$$

Treatment of optical electric field

We assume a long wavelength limit, lattice const. << light wavelength



Electron dynamics in a unit cell under time-dependent, spatially uniform field

Two key issues

Use (spatially uniform) vector potential instead of (spatially linear) scalar potential to express macroscopic electric field. Boundary effect (macroscopic shape effect) needs to be considered from outside of the theory.

Electron dynamics in crystalline solid under spatially uniform field



Employing a vector potential replacing the scalar potential, one may recover the periodicity of the Hamiltonian

Time-dependent, spatially uniform electric field

$$\vec{E} = -\vec{\nabla}\phi - \frac{1}{c}\frac{\partial\vec{A}}{\partial t}$$
$$\phi = E(t)z \quad \Leftrightarrow \quad \vec{A}(t) = c\int^{t} dt' E(t')\hat{z}$$

$$i\hbar \frac{\partial}{\partial t} \psi(t) = \left[\frac{1}{2m} \left(\vec{p} - \frac{e}{c} \vec{A}(t) \right)^2 + V(\vec{r}, t) \right] \psi(t)$$
$$h(\vec{r} + \vec{a}) = h(\vec{r}) \qquad \psi_{nk}(\vec{r} + \vec{a}) = e^{i\vec{k}\vec{a}} \psi_{nk}(\vec{r})$$
Time-dependent Bloch function

Equation for time-dependent Bloch function

$$i\hbar\frac{\partial}{\partial t}u_{n\vec{k}}(\vec{r},t) = \left[\frac{1}{2m}\left(\vec{p}+i\vec{k}-\frac{e}{c}\vec{A}(t)\right)^2 + V(\vec{r},t)\right]u_{n\vec{k}}(\vec{r},t)$$
$$n(\vec{r},t) = \sum_{nk}\left|u_{n\vec{k}}(\vec{r},t)\right|^2$$

$$\psi_{nk}(\vec{r},t) = e^{i\vec{k}\cdot\vec{r}}u_{nk}(\vec{r},t)$$
$$u_{nk}(\vec{r}+\vec{a},t) = u_{nk}(\vec{r},t)$$

Electron dynamics in metallic clusters by TDDFT

K. Yabana, G.F. Bertsch, Phys. Rev. B54, 4484 (1996).

Na₁₄₇+



Li₁₄₇+







Collective electronic excitation: plasmon





Longitudinal plasmon

$$\omega_p = \left(\frac{4\pi e^2 n}{m}\right)^{1/2}$$

n: electron density

Mie plasmon (Surface plasmon of spherical nanoparticle)

$$\omega_{Mie} = \frac{\omega_p}{\sqrt{3}}$$

Choice of geometry (boundary condition)

- We solve electron dynamics in a unit cell inside a crystalline solid.
- The electric field in the unit cell depends on macroscopic geometry of the sample.
- We need to specify the 'boundary condition' in the calculation.



Optical response of thin film

Longitudinal geometry: Treatment of induced polarization

Bertsch, Iwata, Rubio, Yabana, Phys. Rev. B62(2000)7998.

"parallel-plate-capacitor with dielectrics".

$$A(t) = A_{extr}(t) + A_{polarization}(t)$$

Equation for polarization

$$\frac{d^{2}\vec{A}_{polarization}(t)}{dt^{2}} = \frac{4\pi}{\Omega} \int_{\Omega(cell)} d\vec{r} \ \vec{j} \ (\vec{r}, t)$$

$$\vec{A}(t) \qquad \vec{j} \ (\vec{r}, t)$$
Time-dependent Kohn-Sham equation

$$i\hbar \frac{\partial}{\partial t} \psi_{i} = \frac{1}{2m} \left(-i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right)^{2} \psi_{i} - e \phi \psi_{i} + \frac{\delta E_{xc}}{\delta n} \psi_{i}$$

$$n = \sum_{i} |\psi_{i}|^{2} \qquad \vec{j} = \frac{1}{2m} \sum_{i} \left(\psi_{i}^{*} \left(\vec{p} + \frac{e}{c} \vec{A} \right) \psi_{i} - c.c. \right)$$



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Linear response:

Two methods to calculate dielectric function from real-time electron dynamics

Transverse geometry

$$J(t) = \int^{t} dt' \,\sigma(t - t') \left(-\frac{1}{c} \frac{dA}{dt} \right)$$
$$\sigma(\omega) = \int dt e^{i\omega t} \sigma(t)$$
$$\varepsilon(\omega) = 1 + \frac{4\pi i \,\sigma(\omega)}{\omega}$$

Longitudinal geometry

$$A_{tot}(t) = A_{ext}(t) + A_{pol}(t)$$
$$\frac{d^2 A_{pol}(t)}{dt^2} = 4\pi J(t)$$
$$A_{tot}(t) = \int dt' \varepsilon^{-1}(t-t') A_{ext}(t')$$
$$\frac{1}{dt} = \int dt e^{i\omega t} A_{tot}(t)$$

 $\overline{\varepsilon(\omega)} = \frac{1}{\int dt \, e^{i\omega t} A_{ext}(t)}$

For an impulsive external field, $E(x) = \frac{1}{2}S(x) = \frac{1}{2}S(x)$

$$E(t) = k\sigma(t), \quad A(t) = -kc\theta(t)$$
$$E_{tot}(t) = -\frac{1}{c}\frac{dA_{tot}}{dt} = k\varepsilon^{-1}(t)$$

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Comparison of numerical results for dielectric function of Si by two methods

- Green : longitudinal
- Red : transverse

Spatial grid: 16³ K-points : 8³

Distortion strength: $A_0 = 0.01$ (with induced), 0.0005 (without)









LL

Dielectric function of Si in TDDFT (ALDA) Transverse calculation



Dielectric function by TDDFT (ALDA) is not very good. Too small bandgap (common problem with LDA).







G. Onida, L. Reining, A. Rubio, Rev. Mod. Phys. 74(2002)601.



PRL107, 186401 (2011), arXiv:1107.0199 S. Sharma, J.K. Dewhurst, A. Sanna, E.K.U. Gross, Bootstrap approx. for the exchange-correlation kernel of time-dependent density functional theory

Pump-Probe Measurement: Coherent Phonon



M. Hase, et al. Nature (London) 426, 51 (2003)

$$\frac{\Delta R(t)}{R} \propto e^{-\Gamma t} \sin(\Omega_{\rm ph} t + \phi)$$

The two mechanisms for coherent phonon generation



Coherent phonon measurements in Sb

- Most popular material for coherent phonon generation
- Observed two modes: A_{1g} , E_{g}





K. Ishioka, M Kitajima, and O. Misochko, J. Appl. Phys. 103, 123505 (2008)

Sb: crystal structure and phonon mode

- Semimetal
- Rhombohedral structure

Having C3 symmetry axis parallel to vertical line in following figure



Each atom have three bonds Only one bond on plane Other two bonds are out of plane There are two modes: A1g and Eg (doubly degenerate)

Electron density under laser pulse

Y. Shinohara et.al, submitted to J. Chem. Phys.



Including electric field Out of electric field

Increasing



Eg

During laser pulse: electrons moves atom to atom After laser pulse ends: bonding electrons are reduced

Density change and force



Coherent phonon measurements in Sb

- Most popular material for coherent phonon generation
- Observed two modes: A_{1g}, E_g





K. Ishioka, M Kitajima, and O. Misochko, J. Appl. Phys. 103, 123505 (2008)

However, calculation is not consistent with measurement. Experimentally, A_{1g} : displacive, E_g : impulsive.

TDDFT calculation for coherent phonon in Si

Y. Shinohara , et al., Phys. Rev. B. 82, 155110 (2010)



When laser frequency is below the direct gap, impulsive (Raman tensor) force is visible.

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Weak field regime: Standard approach for light-matter interaction

Electromagnetism:

Maxwell equation for macroscopic fields, *E*, *D*, *B*, *H*



Quantum Mechanics: Perturbation theory to calculate linear susceptibilities, $\varepsilon(\omega)$

Intense laser pulse induced nonlinear electron dynamics

Quantum mechanics is useful to calculate nonlinear susceptibilities as well.

$$D = D[E] = \varepsilon(\omega)E + \chi^{(2)}E^2 + \chi^{(3)}E^3 + \cdots$$

Further increase of laser pulse intensity

Perturbative expansion is no more useful. Dense electron-hole plasma, Optical breakdown, etc.

\bigcirc

We need to solve couple Maxwell + Schrodinger dynamics.

We solve coupled Maxwell + Schroedinger equations.

Maxwell equation describing electromagnetic field

Time-dependent density-functional theory describing electron dynamics

$$E(\vec{r},t), \quad B(\vec{r},t)$$
polarization
$$\psi_i(\vec{r},t)$$
polarization
$$[\mu m]$$
polarization
$$[\mu m]$$
polarization
$$[\mu m]$$
polarization
$$[\mu m]$$
polarization
$$[n m]$$
Time-dependent Kohn-Sham eq.
$$i\hbar \frac{\partial}{\partial t} \psi_i = \frac{1}{2m} \left(-i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right)^2 \psi_i - e\phi \psi_i + \frac{\delta E_{xc}}{\delta n} \psi_i$$

$$n = \sum_i |\psi_i|^2 \qquad \vec{j} = \frac{1}{2im} \sum_i \psi_i^* \left(-i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right) \psi_i - c.c.$$
Maxwell eq.
$$\frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} - \vec{\nabla}^2 \vec{A} = \frac{4\pi}{c} \vec{j} \qquad \vec{\nabla}^2 \phi = -4\pi \{en_{ion} - en_e\}$$

Multiscale simulation introducing two spatial grids

Laser pulse irradiation normal on bulk Si

Maxwell eq.

$$\frac{\varepsilon(z)}{c^2}\frac{\partial^2}{\partial t^2}A(z,t) - \frac{\partial^2}{\partial z^2}A(z,t) = 0$$

Propagation z-direction, polarization x-direction $\vec{A}(\vec{r},t) = A(z,t)\hat{x}$





Macroscopic grid

Index of refraction $n = \sqrt{\varepsilon}$

Reflectance

$$R = \left(\frac{1-n}{1+n}\right)^2$$

Velocity of wave

$$v = \frac{c}{n}$$
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Multiscale simulation

Macroscopic grid points (µm)

1

K. Yabana et.al, Phys. Rev. B85, 045134 (2012).

At each macroscopic grid point,

We consider a unit cell and prepare microscopic grid.



to describe macroscopic vector potential a^2 a^2

$$\frac{1}{c^2}\frac{\partial}{\partial t^2}A(Z,t) - \frac{\partial}{\partial Z^2}A(Z,t) = \frac{4\pi}{c}J(Z,t)$$

J(Z,t)

Exchange of information by macroscopic current and A(Z,t)macroscopic vector potential.

$$J(Z,t) = \int_{\Omega} d\vec{r} \, \vec{j}_{e,Z}$$
$$\vec{j}_{e,Z} = \frac{\hbar}{2mi} \sum_{i} \left(\psi_{i,Z}^* \vec{\nabla} \, \psi_{i,Z} - \psi_{i,Z} \vec{\nabla} \, \psi_{i,Z}^* \right) - \frac{e}{4\pi c} n_{e,Z} \vec{A}$$

At each macroscopic points, Kohn-Sham orbitals $\Psi_{i,Z}$ are prepared, and described in microscopic grids.

$$i\hbar\frac{\partial}{\partial t}\psi_{i,Z} = \frac{1}{2m}\left(-i\hbar\vec{\nabla} + \frac{e}{c}\vec{A}\right)^{2}\psi_{i,Z} - e\phi_{Z}\psi_{i,Z} + \frac{\delta E_{xc}}{\delta n}\psi_{i,Z}$$
$$\vec{\nabla}^{2}\phi_{Z} = -4\pi\left\{en_{ion} - en_{e,Z}\right\}$$

Efficient parallelization



Each CPU calculates electron dynamics at different macroscopic position. $J_{Z\pm 1}(t)$ (We also parallelize with repect to k-points)



Macroscopic vector potential requires A and j of nearby points to evolve in time. (only tens of bytes exchanged)

Each CPU calculates nonlinear electron dynamics independently. 38

Propagation of weak pulse

(Linear response regime, separate dynamics of electrons and E-M wave)

$I = 10^{10} W/cm^2$



Propagation of weak pulse

(Linear response regime, separate dynamics of electrons and E-M wave)

$I = 10^{10} W/cm^2$



Maxwell+TDDFT vs Maxwell only (constant ε)



More intense laser pulse Maxwell and TDKS equations no more separate.

 $I = 5 \times 10^{12} W/cm^2$



More intense pulse (2-photon absorption dominates)

 $I = 5 x 10^{12} W/cm^2$



Vector potential and electronic excitation energy After the laser pulse splits into reflected and transmitted waves



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Energy Conservation

We can derive conserved energy which is a sum of

Our multiscale equations

can be derived from

the Lagrangian.

- energy of EM field
- energy of electrons
- interaction energy between them.

$$H = \int dZ \left[\sum_{i} \int_{\Omega(z)} \frac{1}{2m} \left| \left(-i\hbar \vec{\nabla}_r + \frac{e}{c} \vec{A}_Z(t) \right) \psi_{i,Z}(\vec{r},t) \right|^2 + \int_{\Omega(Z)} d\vec{r} \left\{ \frac{e}{2} (n_{ion} - n_{e,Z}(\vec{r},t)) \phi_Z(\vec{r},t) + E_{xc} [n_Z(\vec{r},t)] \right\}$$

$$+\frac{\Omega}{8\pi c^2} \left(\frac{\partial \vec{A}_Z(t)}{\partial t}\right)^2 + \frac{\Omega}{8\pi} \left(\vec{\nabla}_Z \times \vec{A}_Z(t)\right)^2 \left[$$

Energy conservation well satisfied in practical calculations.



Computational aspect



Time step (commom) = 16,000

Computational costs:

1024 cores (MPI only), 10 hours at SGI Altix ICE 3800EX (ISSP, Univ. Tokyo)

20,480 cores (MPI+openmp), 20 min. at K-Computer

Dense electron-hole plasma generation at the surface modifies dielectric properties at the surface.

 λ =625nm, 100fs pulse



Strong pump-pulse excites electrons at the surface, forming dense electron-hole plasma

K. Sokoowski-Tinten, D. von der Linde, Phys. Rev. B61, 2643 (2000)



Probe-pulse measures change of Dielectric properties.

Drude model fit

$$\varepsilon(n_{ph}) = \varepsilon_{gs} - \frac{4\pi e^2 n_{ph}}{m^*} \frac{1}{\omega\left(\omega + \frac{i}{\tau}\right)}$$

m*=0.18, \tau=1fs

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Our Pump-Probe "Numerical Experiment"



We can calculate

excited electron density at the surface by pump-pulse / reflectivity of probe pulse



We may extract "dielectric function of excited surface" from numerical pump-probe calculation

$$A(t) = A_i(t) + A_r(t)$$
$$R(\omega) = \frac{\int dt \, e^{i\omega t} A_r(t)}{\int dt \, e^{i\omega t} A_i(t)}$$

Decompose vector potential at the surface into incident and reflected components.

Ratio of two Fourier components gives us a reflectivity as a function of frequency.



Dielectric function at the surface when irradiated by 10¹³W/cm² pulse



Well described by Drude model (at least for real part).

Change of dielectric function as we increase the pump-pulse intensity.

Increase of electron-hole density enhances metallic response.





Computational Aspects and Future Prospects

Large computational resources required for multi-scale calculation



At present

Normal incidence of linearly polarized pulse on Si crystal:

1,000 cores, 10 hours

30,000 cores, 20 min (K-computer, Kobe)

More resources to achieve

•More complex dielectrics (SiO₂, TiO₂, ZrO₂, ...) x 10

- •Oblique incidence (2-dim) x 50
- •Self-focusing(3-dim) x 1000
- •Circular polarization(3-dim) x 1000

. . .

K-Computer, Japan

- 640,000 cores, ¥100,000,000,000

Summary

Electron dynamics in bulk periodic solid by real-time TDDFT

- First-principles description of electron dynamics in femto- and atto-second time scale
- Applications to
 - dielectric function (linear response)
 - coherent phonon generation
 - optical breakdown

Coupled Maxwell + TDDFT multi-scale simulation

- Promising tool to investigate laser-matter interaction
- Requires large computational resources, a computational challenge
- Further developments necessary Surface treatment, longitudinal electromagnetic wave, 2D,3D propagation, collision effects (e-e, e-v)