

Time-dependent density functional theory



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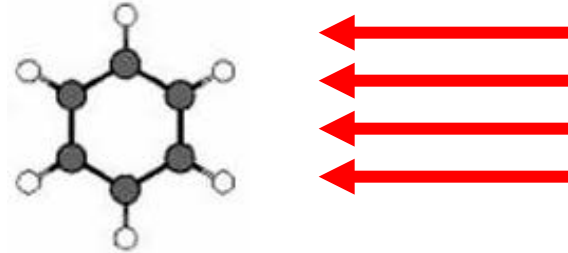


OUTLINE

- **Phenomena to be described by TDDFT**
- **Basic theorems of TDDFT**
- **Approximate xc functionals: “Exact adiabatic” approximation**
- **TDDFT in the linear-response regime:**
 - **Optical excitation spectra of molecules**
 - **Excitonic effects in the optical spectra of solids**
 - **Charge-transfer excitations and the discontinuity of the xc kernel**

Time-dependent systems

Generic situation:
Molecule in laser field



$$\hat{H}(\mathbf{t}) = \hat{T}_e + \hat{W}_{ee} + \sum_{j,\alpha} -\frac{Z_\alpha e^2}{|\mathbf{r}_j - \mathbf{R}_\alpha|} + \vec{E} \cdot \vec{r}_j \sin \omega t$$

Strong laser ($v_{\text{laser}}(\mathbf{t}) \geq v_{\text{en}}$):

Non-perturbative solution of full TDSE required

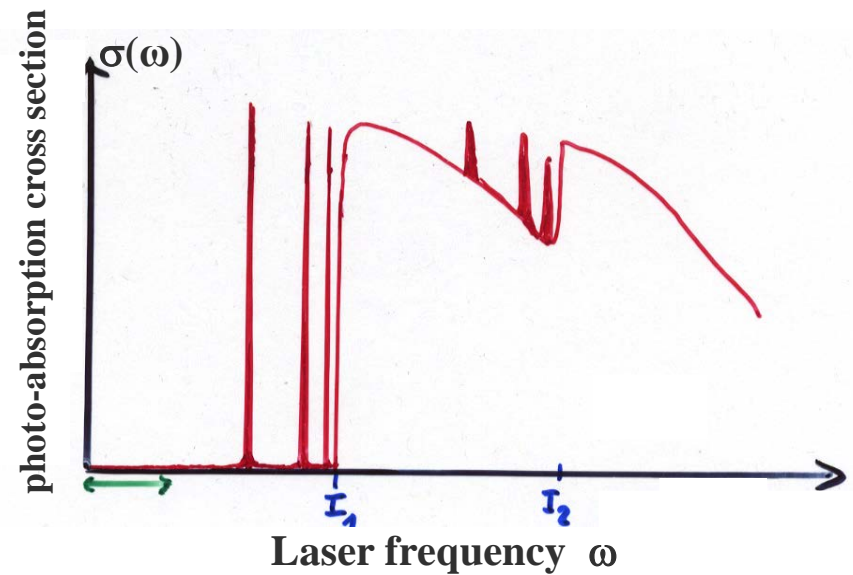
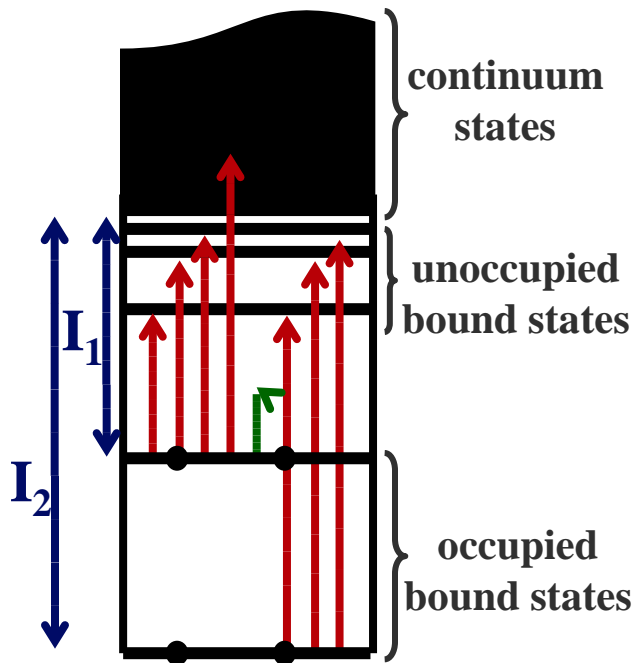
Weak laser ($v_{\text{laser}}(\mathbf{t}) \ll v_{\text{en}}$):

Calculate 1. Linear density response $\rho_1(\vec{r}, t)$

2. Dynamical polarizability $\alpha(\omega) = -\frac{e}{E} \int z \rho_1(\vec{r}, \omega) d^3 r$

3. Photo-absorption cross section $\sigma(\omega) = -\frac{4\pi\omega}{c} \text{Im} \alpha$

Photo-absorption in weak lasers



No absorption if $\omega < \text{lowest excitation energy}$

Standard linear response formalism

$H(t_0)$ = full static Hamiltonian at t_0

$$H(t_0)|m\rangle = E_m|m\rangle \quad \leftarrow \text{exact many-body eigenfunctions and energies of system}$$

full response function

$$\chi(r, r'; \omega) = \lim_{\eta \rightarrow 0^+} \sum_m \left(\frac{\langle 0 | \hat{\rho}(r) | m \rangle \langle m | \hat{\rho}(r) | 0 \rangle}{\omega - (E_m - E_0) + i\eta} - \frac{\langle 0 | \hat{\rho}(r') | m \rangle \langle m | \hat{\rho}(r') | 0 \rangle}{\omega + (E_m - E_0) + i\eta} \right)$$

\Rightarrow The exact linear density response

$$\rho_1(\omega) = \chi(\omega) v_1$$

has poles at the exact excitation energies $\Omega = E_m - E_0$

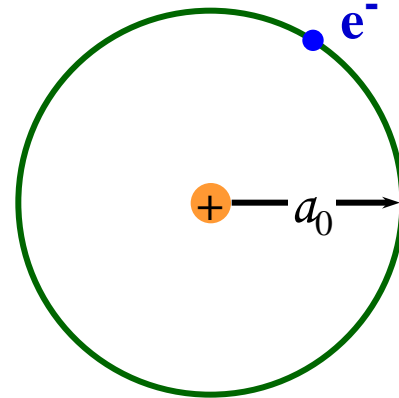
Strong Laser Fields

Intensities in the range of $10^{13} \dots 10^{16} \text{ W/cm}^2$

Comparison: Electric field on 1st Bohr-orbit in hydrogen

$$E = \frac{1}{4\pi\epsilon_0} \frac{e}{a_0^2} = 5.1 \times 10^9 \text{ V/m}$$

$$I = \frac{1}{2} \epsilon_0 c E^2 = 3.51 \times 10^{16} \text{ W/cm}^2$$



Three quantities to look at:

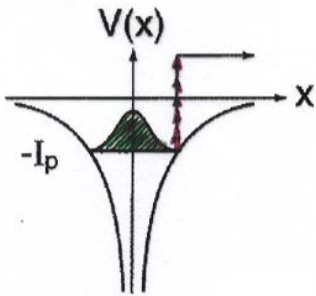
- I. Emitted ions
- II. Emitted electrons
- III. Emitted photons

I. Emitted Ions

Three regimes of ionization,
depending on Keldysh parameter

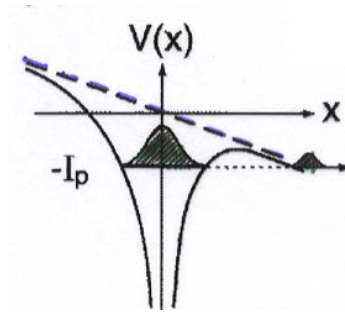
$$\gamma := \frac{\omega}{E} \text{ (a.u.)}$$

Multiphoton



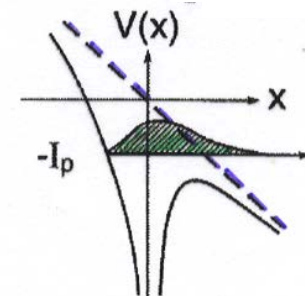
$$\gamma \gg 1$$

Tunneling



$$\gamma \approx 1$$

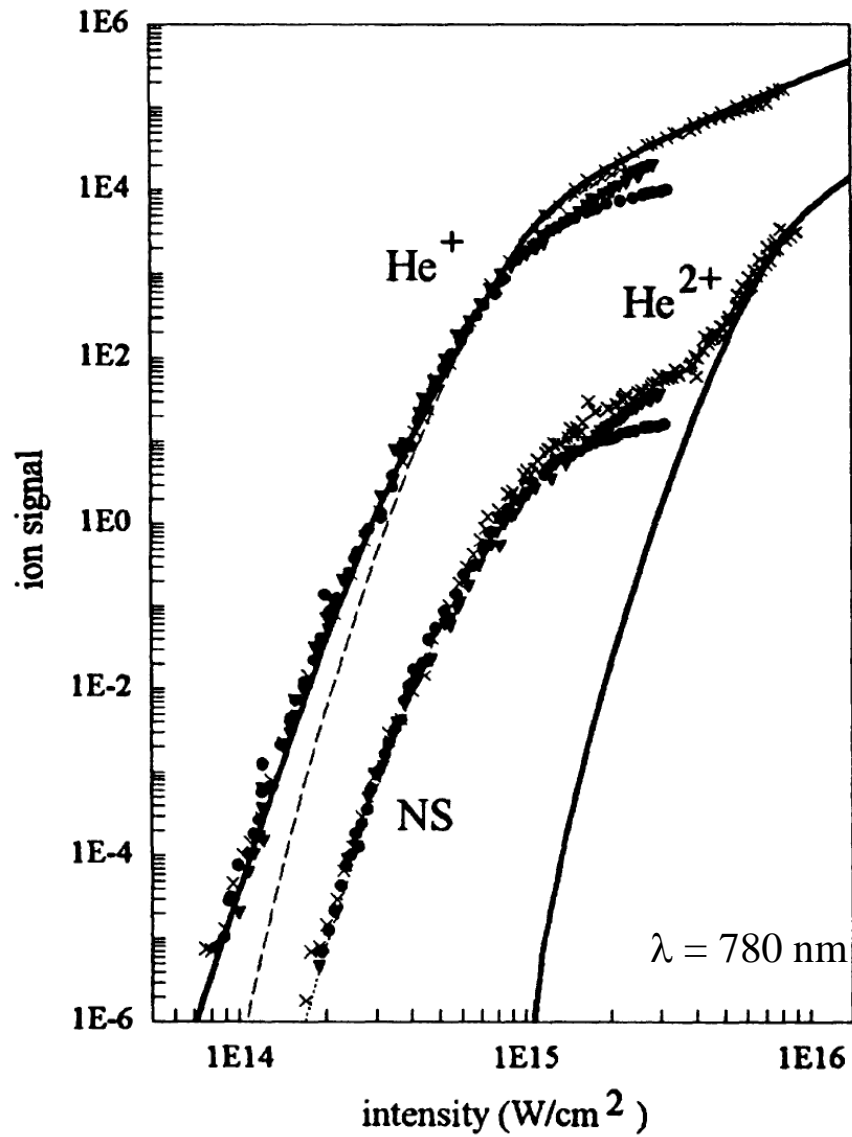
Over the barrier



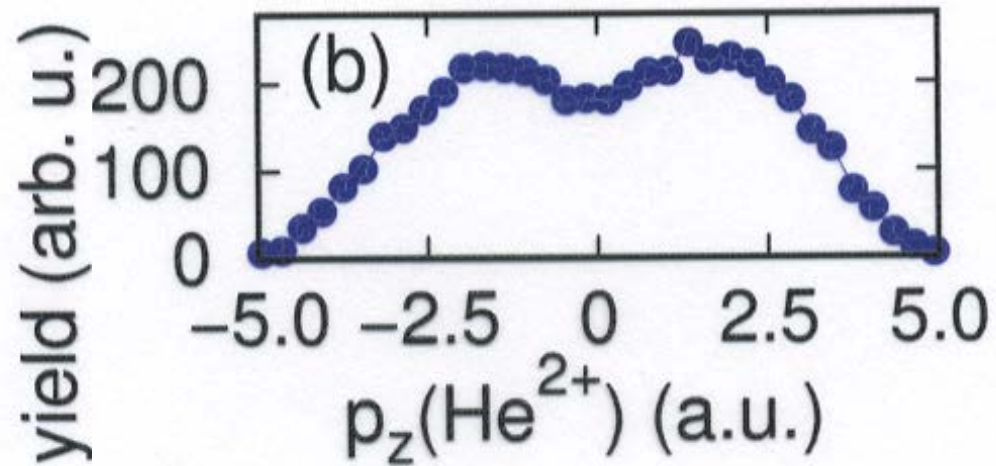
$$\gamma \ll 1$$

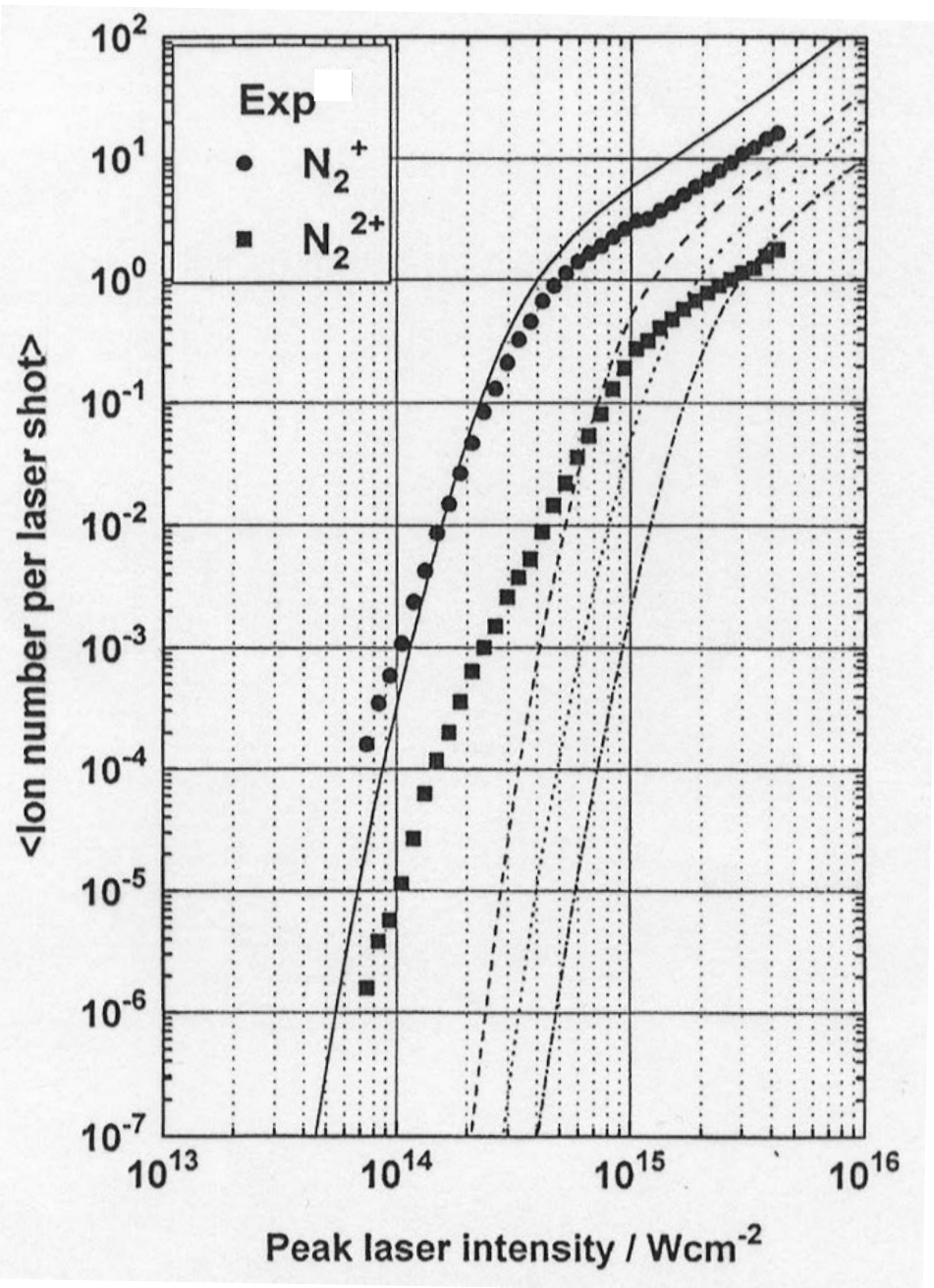
Multiphoton-Ionization (He)

Walker et al.,
PRL 73, 1227 (1994)



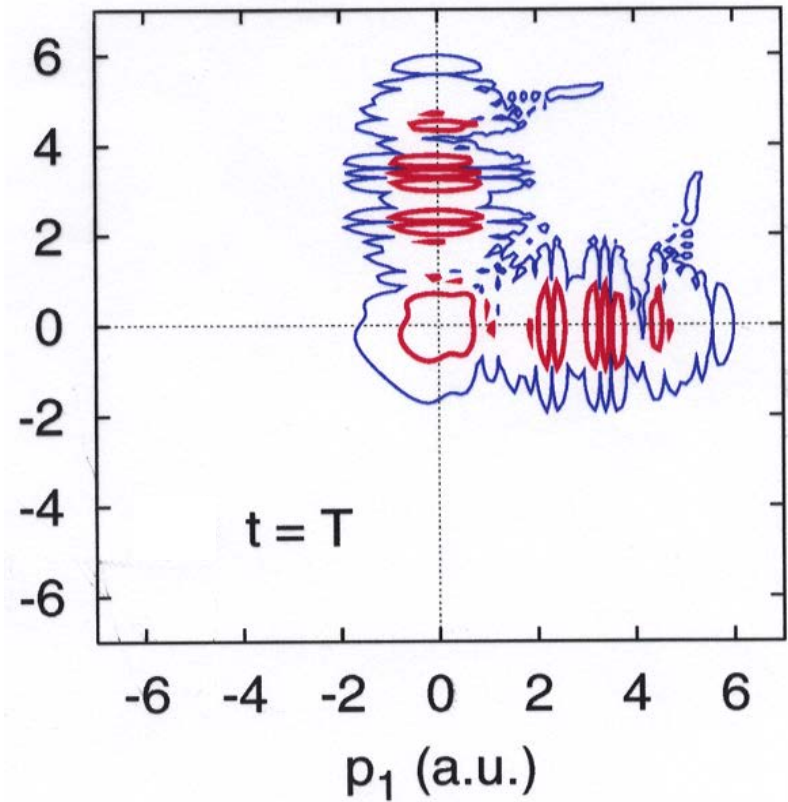
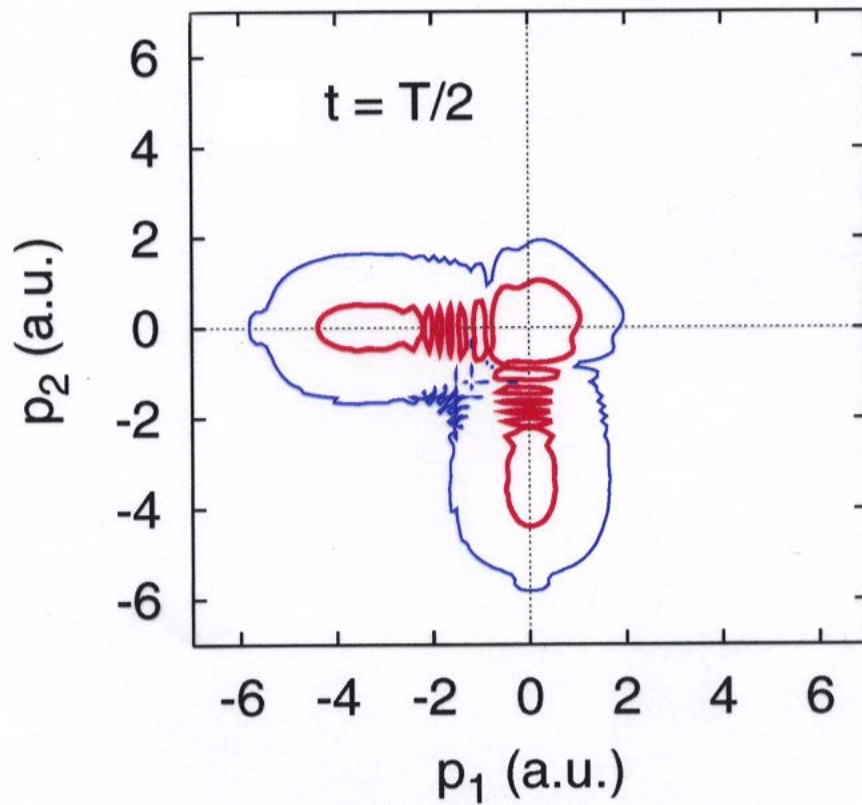
Momentum Distribution of the He^{2+} recoil ions





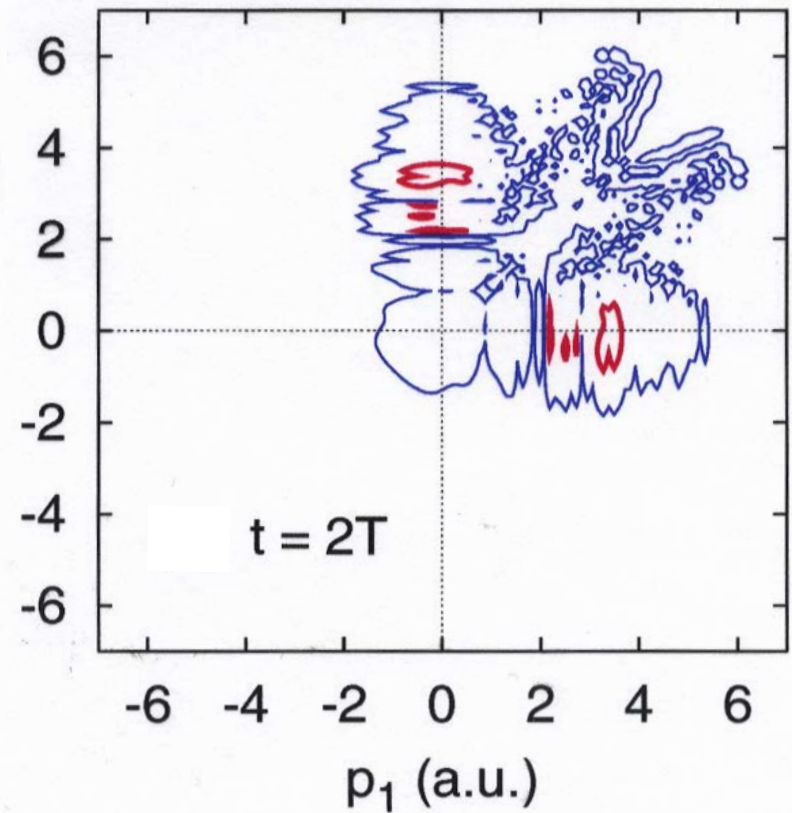
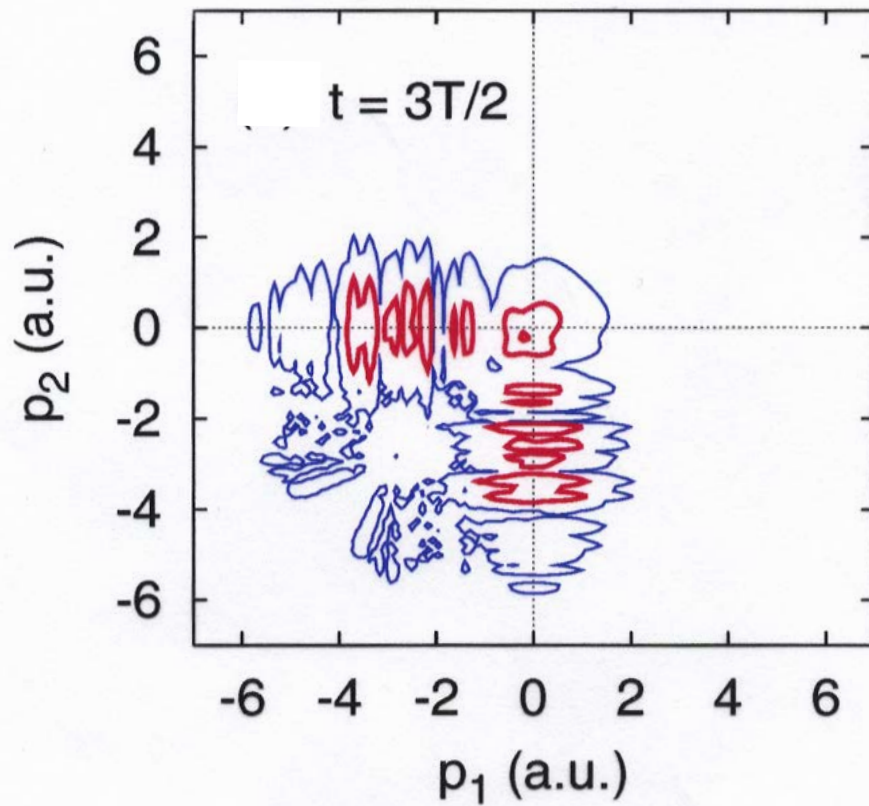
$$|\Psi(p_1, p_2, t)|^2 \text{ of the He atom}$$

(M. Lein, E.K.U.G., V. Engel, J. Phys. B 33, 433 (2000))



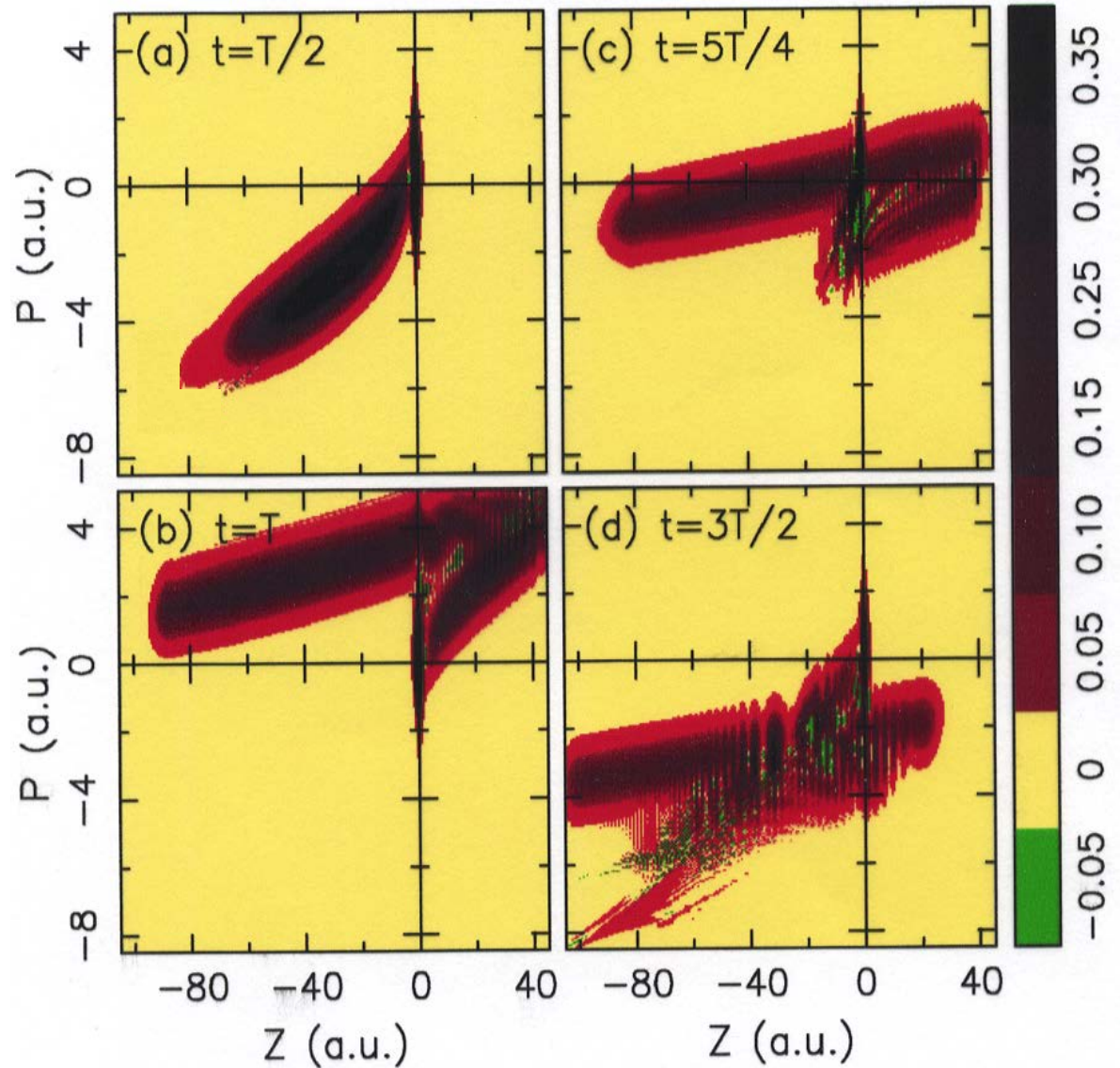
$$|\Psi(p_1, p_2, t)|^2 \text{ of the He atom}$$

(M. Lein, E.K.U.G., V. Engel, J. Phys. B 33, 433 (2000))



Wigner distribution
 $W(Z,P,t)$ of the
electronic center
of mass for He atom

(M. Lein, E.K.U.G.,
V. Engel, PRL 85,
4707 (2000))



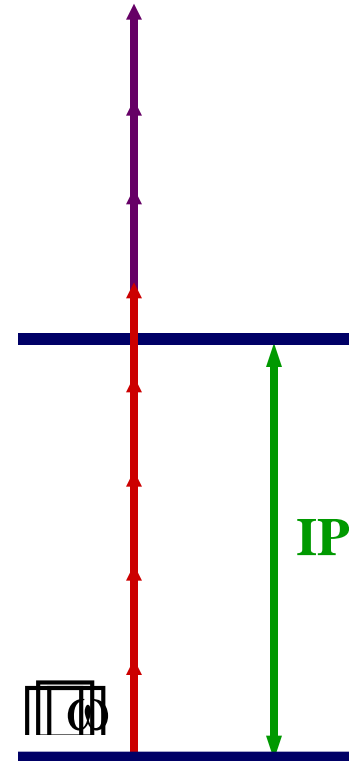
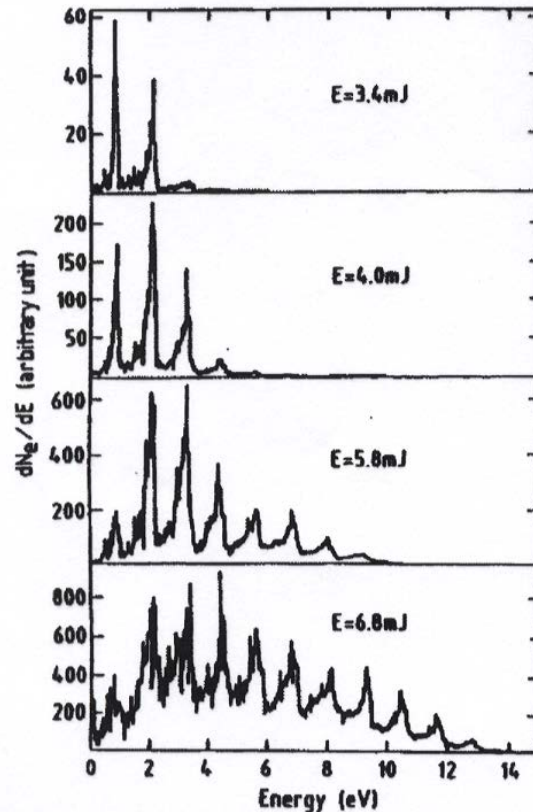
$$V_{\text{Laser}}(z,t) = E z \sin \omega t \quad I = 10^{15} \text{ W/cm}^2 \quad \lambda = 780 \text{ nm}$$

II. Electrons: Above-Threshold-Ionization (ATI)

Ionized electrons absorb **more photons than necessary to overcome the ionization potential (IP)**

Photoelectrons: $E_{\text{kin}} = (n + s) \hbar\omega - \text{IP}$

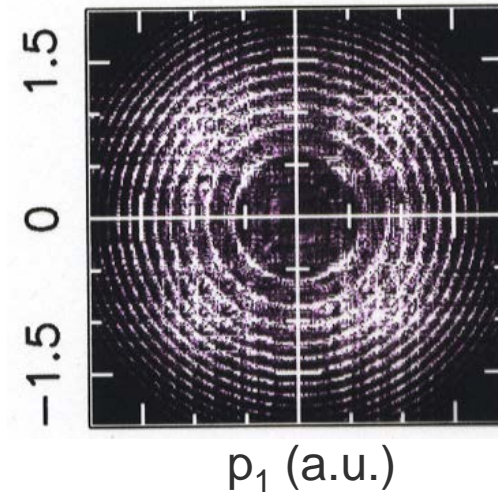
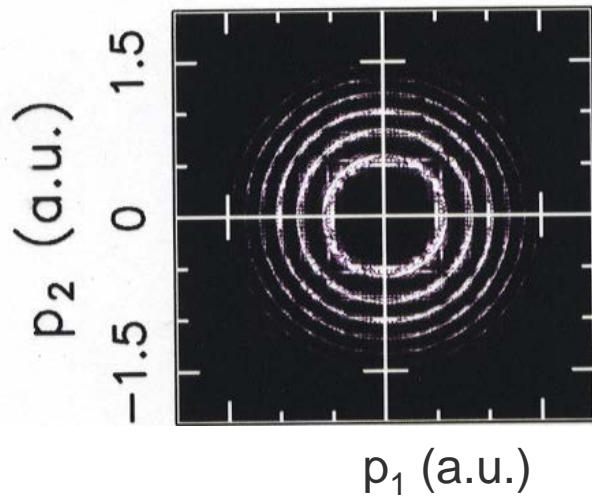
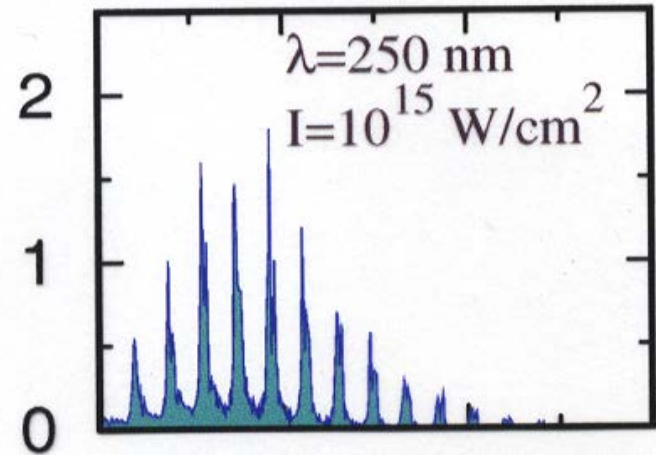
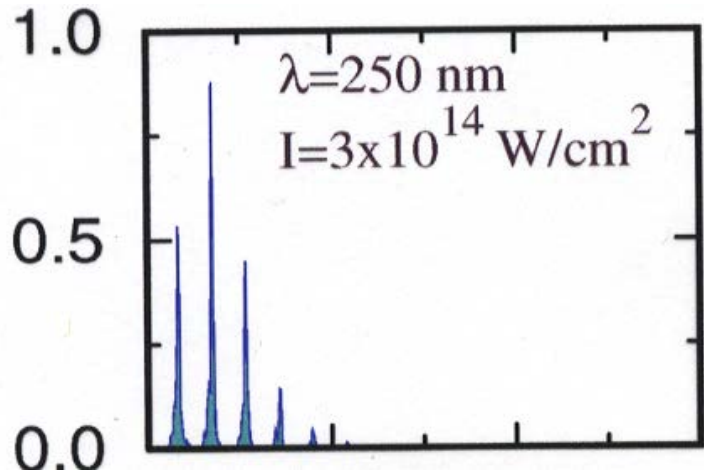
⇒ Equidistant maxima in intervals of $\hbar\omega$:



Agostini et al., PRL 42, 1127 (1979)

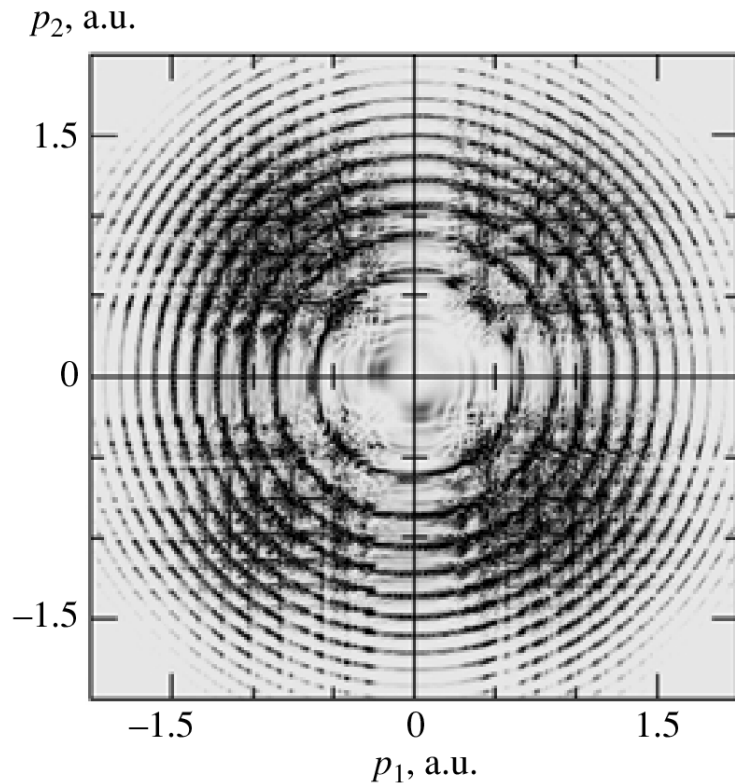
He: Above threshold double ionization

M. Lein, E.K.U.G., V. Engel, PRA 64, 23406 (2001)

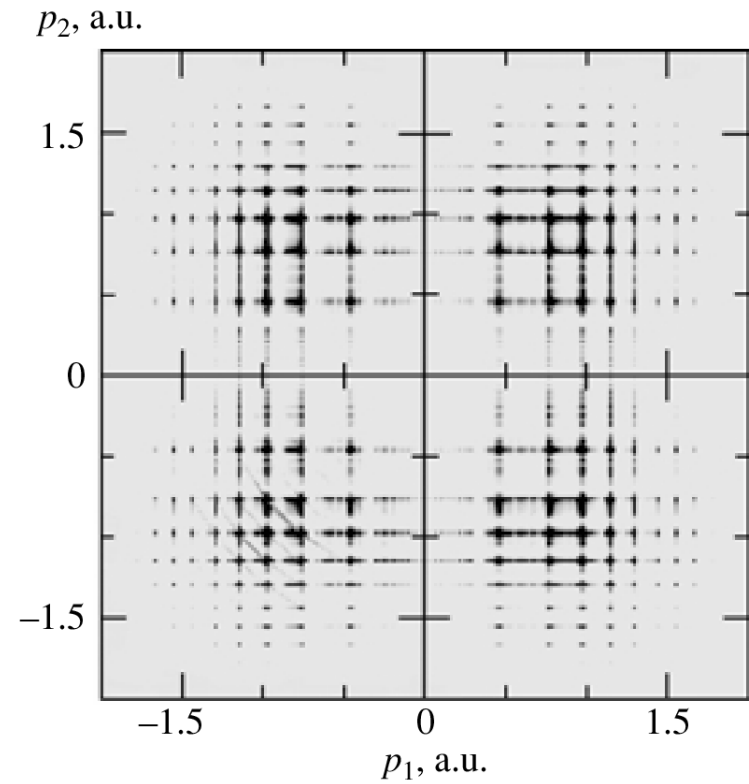


Role of electron-electron interaction

M. Lein, E.K.U.G., and V. Engel, *Laser Physics* **12**, 487 (2002)



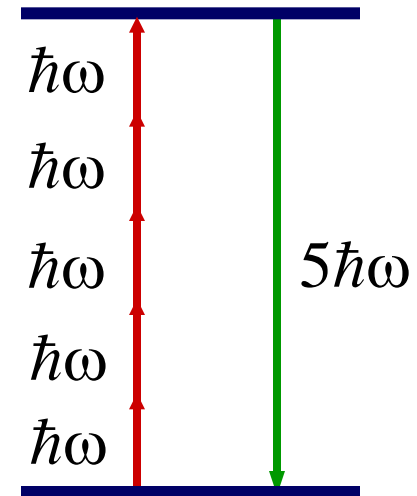
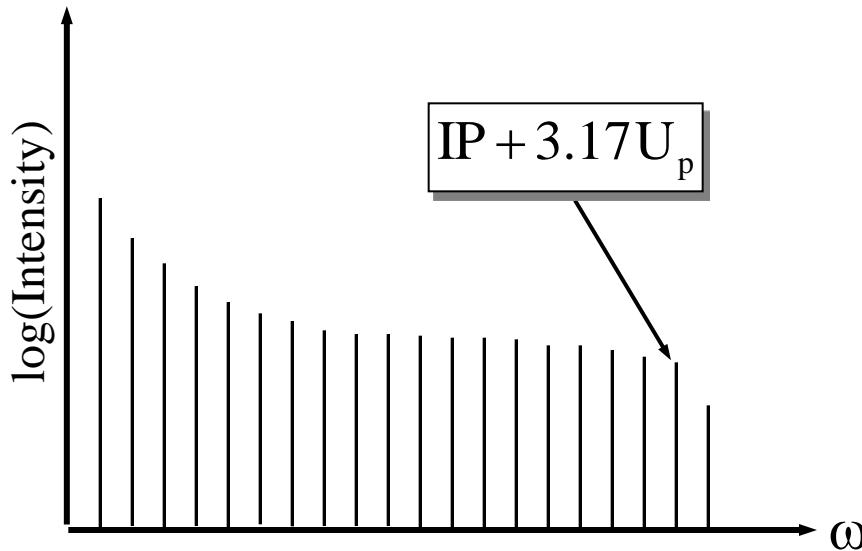
Two-electron momentum distribution for double ionization of the He model atom by a 250 nm pulse with intensity 10^{15} W/cm².



Two-electron momentum distribution for double ionization of the He model atom with non-interaction electrons by a 250 nm pulse with intensity 10^{15} W/cm².

III. Photons: High-Harmonic Generation

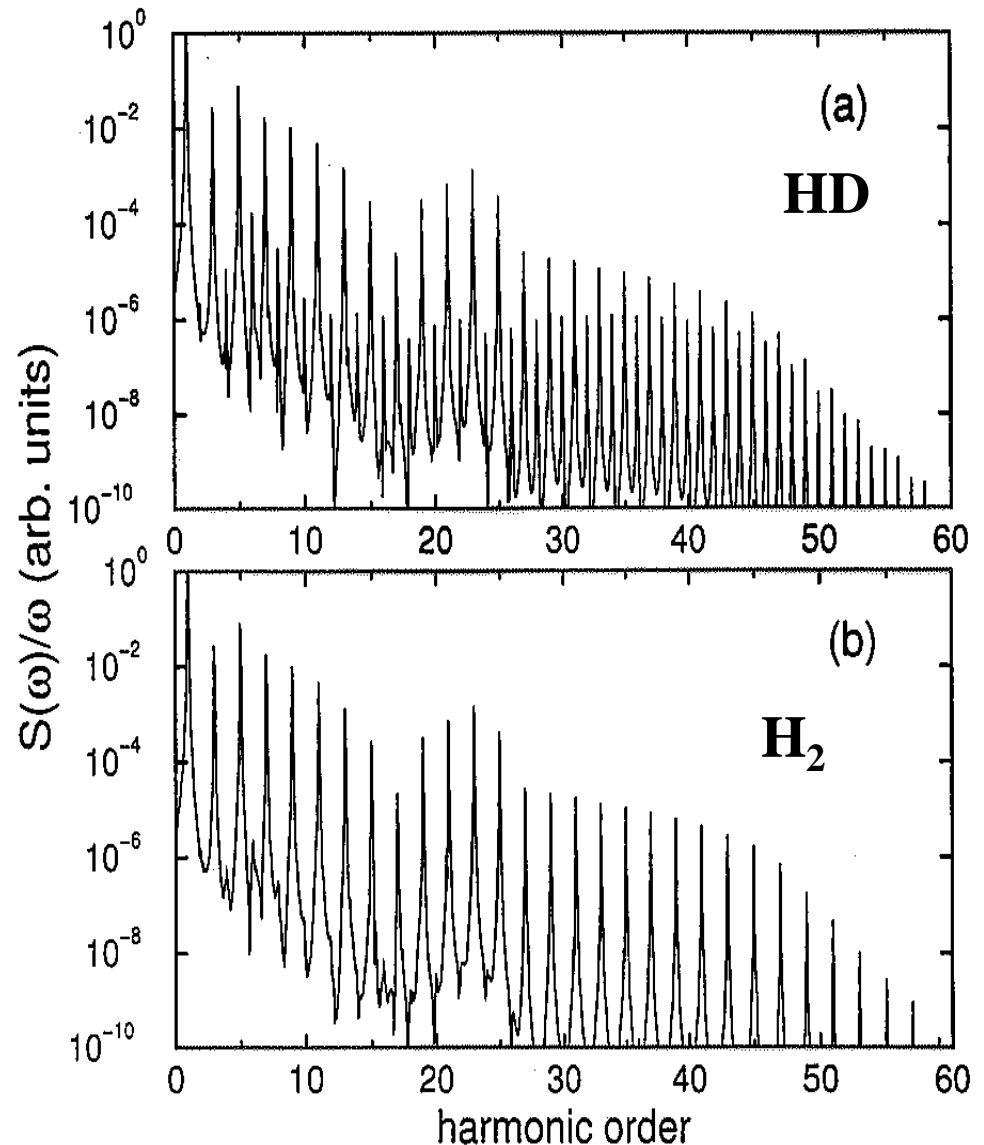
Emission of photons whose frequencies are integer multiples of the driving field. **Over a wide frequency range, the peak intensities are almost constant (plateau).**



Even harmonic generation due to nuclear motion

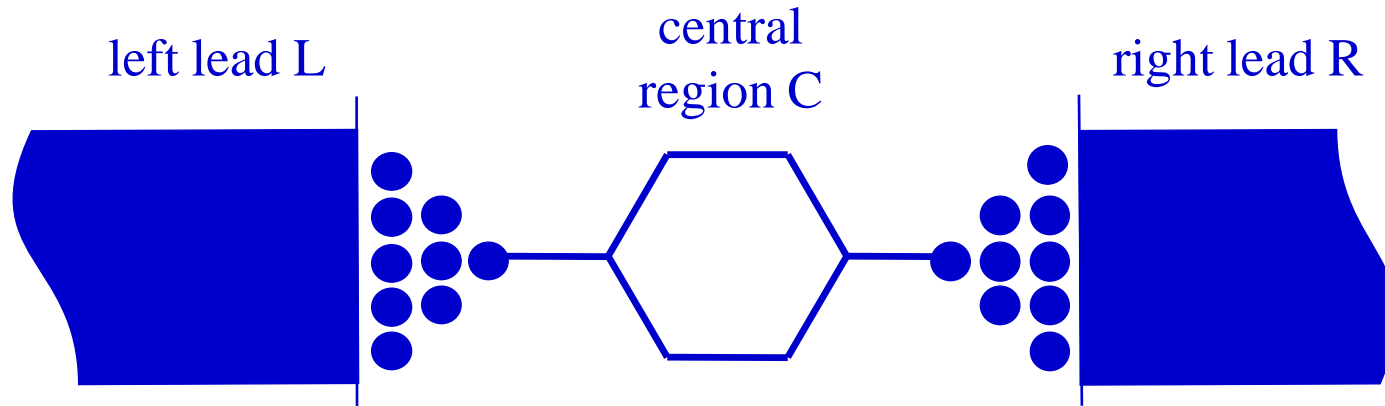
(a) Harmonic spectrum generated from the model HD molecule driven by a laser with peak intensity 10^{14} W/cm² and wavelength 770 nm. The plotted quantity is proportional to the number of emitted phonons. (b) Same as panel (a) for the model H₂ molecule.

T. Kreibich, M. Lein, V. Engel,
E.K.U.G., PRL 87, 103901
(2001)



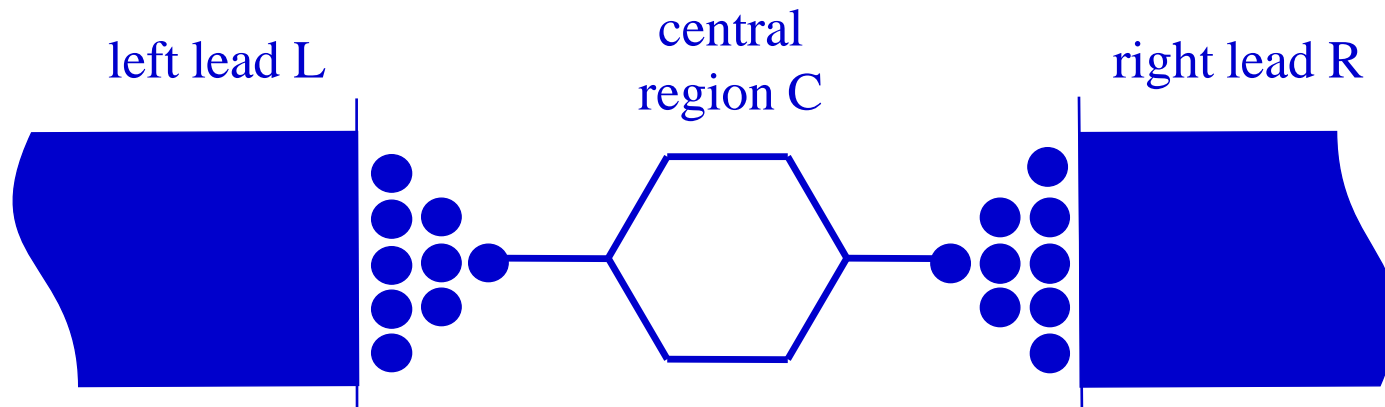
Molecular Electronics

Dream: Use single molecules as basic units (transistors, diodes, ...) of electronic devices



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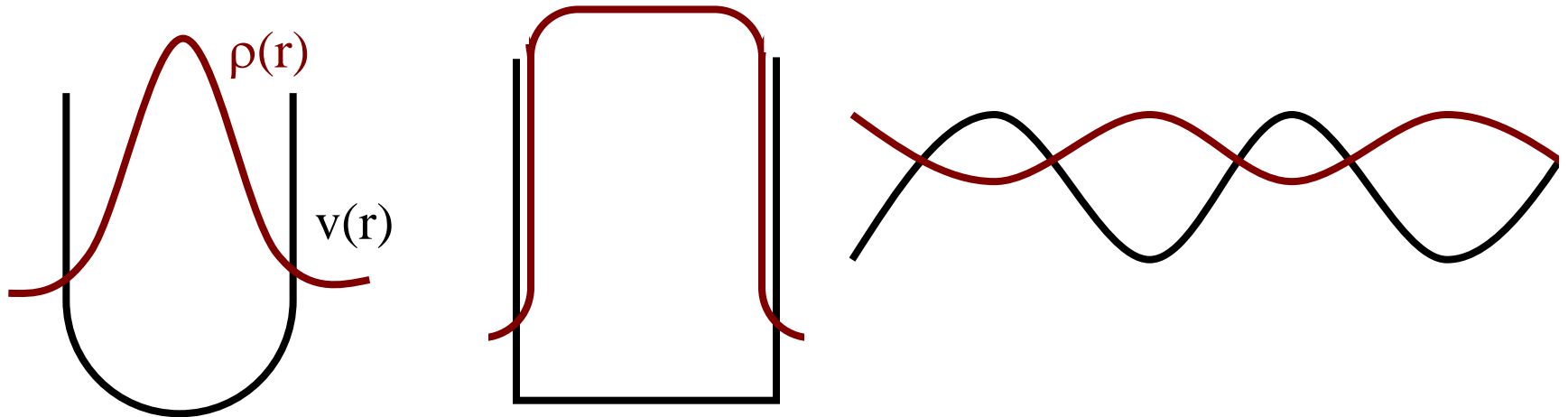
Bias between L and R is turned on: $U(t) \longrightarrow V$ for large t

A steady current, I , may develop as a result.

- **Calculate current-voltage characteristics $I(V)$**
- **Investigate cases where no steady state is achieved**

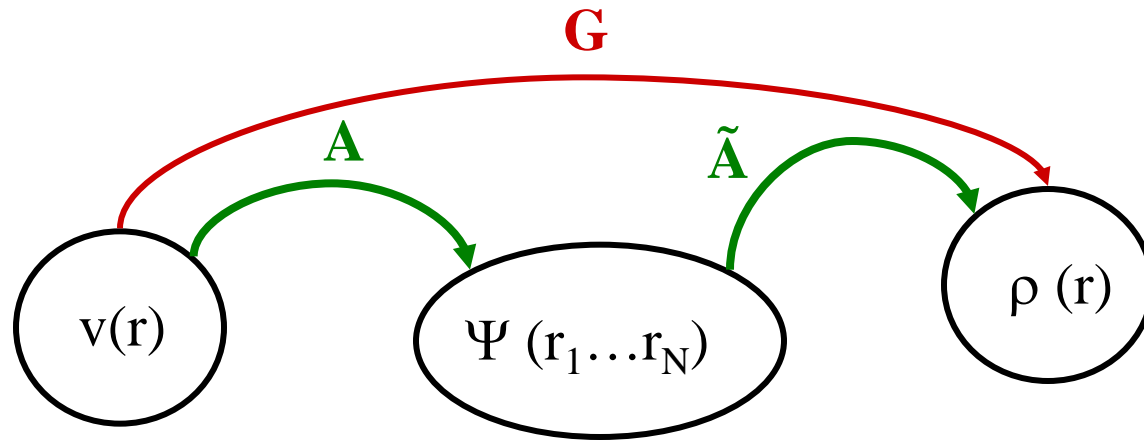
Ground-State Density Functional Theory

compare ground-state densities $\rho(r)$ resulting from different external potentials $v(r)$.



QUESTION: Are the ground-state densities coming from different potentials always different?

Ground-State Density Functional Theory



single-particle
potentials having
nondegenerate
ground state

ground-state
wavefunctions

ground-state
densities

Hohenberg-Kohn-Theorem (1964)

$G: v(r) \rightarrow \rho(r)$ is invertible

By construction, the HK mapping is well-defined for all those functions $\rho(\mathbf{r})$ that are ground-state densities of some potential (so called V-representable functions $\rho(\mathbf{r})$).

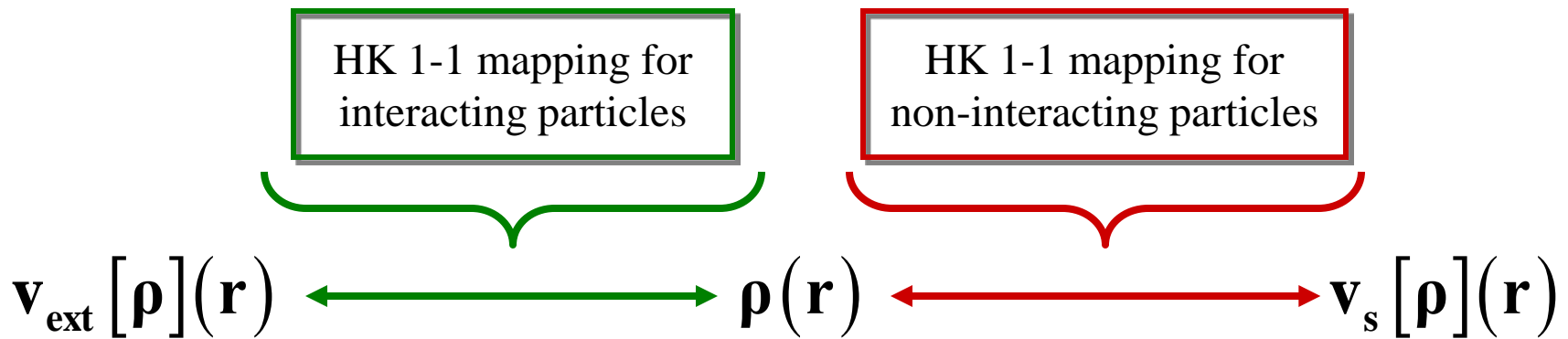
QUESTION: Are all “reasonable” functions $\rho(\mathbf{r})$ V-representable?

V-representability theorem (Chayes, Chayes, Ruskai, J Stat. Phys. 38, 497 (1985))

On a lattice (finite or infinite), any normalizable positive function $\rho(\mathbf{r})$, that is compatible with the Pauli principle, is (both interacting and non-interacting) ensemble-V-representable.

In other words: For any given $\rho(\mathbf{r})$ (normalizable, positive, compatible with Pauli principle) there exists a potential, $v_{\text{ext}}[\rho](\mathbf{r})$, yielding $\rho(\mathbf{r})$ as interacting ground-state density, and there exists another potential, $v_{\text{s}}[\rho](\mathbf{r})$, yielding $\rho(\mathbf{r})$ as non-interacting ground-state density.

In the worst case, the potential has degenerate ground states such that the given $\rho(\mathbf{r})$ is representable as a linear combination of the degenerate ground-state densities (ensemble-V-representable).



Kohn-Sham Theorem

Let $\rho_0(\mathbf{r})$ be the ground-state density of interacting electrons moving in the external potential $v_0(\mathbf{r})$. Then there exists a local potential $v_{s,0}(\mathbf{r})$ such that non-interacting particles exposed to $v_{s,0}(\mathbf{r})$ have the ground-state density $\rho_0(\mathbf{r})$, i.e.

$$\left(-\frac{\nabla^2}{2} + v_{s,0}(\mathbf{r}) \right) \varphi_j(\mathbf{r}) = \epsilon_j \varphi_j(\mathbf{r}), \quad \rho_0(\mathbf{r}) = \sum_{\mathbf{j} \text{ (with lowest } \epsilon_j)}^N |\varphi_j(\mathbf{r})|^2$$

proof: $v_{s,0}(\mathbf{r}) = v_s[\rho_0](\mathbf{r})$

Uniqueness follows from HK 1-1 mapping

Existence follows from V-representability theorem

Note: The KS equations do not follow from the variational principle!!

Time-dependent density-functional formalism

(E. Runge, E.K.U.G., PRL 52, 997 (1984))

Basic 1-1 correspondence:

$v(\mathbf{r}t) \xleftrightarrow{1-1} \rho(\mathbf{r}t)$ The time-dependent density determines uniquely the time-dependent external potential and hence all physical observables for fixed initial state.

KS theorem:

The time-dependent density of the interacting system of interest can be calculated as density

$$\rho(\mathbf{r}t) = \sum_{j=1}^N \left| \varphi_j(\mathbf{r}t) \right|^2$$

of an auxiliary non-interacting (KS) system

$$i\hbar \frac{\partial}{\partial t} \varphi_j(\mathbf{r}t) = \left(-\frac{\hbar^2 \nabla^2}{2m} + v_s[\rho](\mathbf{r}t) \right) \varphi_j(\mathbf{r}t)$$

with the local potential

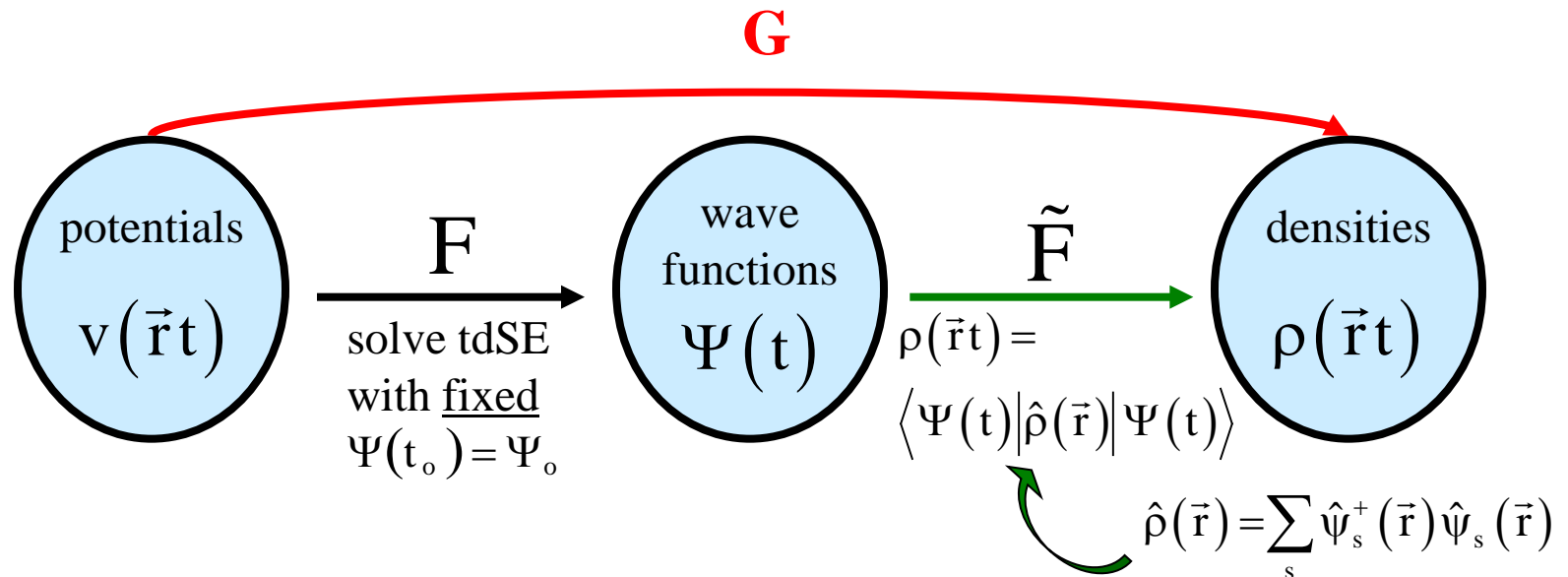
$$v_s[\rho(\mathbf{r}'t')](\mathbf{r}t) = v(\mathbf{r}t) + \int d^3\mathbf{r}' \frac{\rho(\mathbf{r}'t')}{|\mathbf{r}-\mathbf{r}'|} + v_{xc}[\rho(\mathbf{r}'t')](\mathbf{r}t)$$

Proof of basic 1-1 correspondence between $v(\vec{r}t)$ and $\rho(\vec{r}t)$

define maps

$$F: v(\vec{r}t) \mapsto \Psi(t)$$

$$\tilde{F}: \Psi(t) \mapsto \rho(\vec{r}t)$$



$$G: v(\vec{r}t) \mapsto \rho(\vec{r}t)$$

complete 1 - 1 correspondence not to be expected!

$$i \frac{\partial}{\partial t} \Psi(t) = \left(\hat{T} + \underline{\hat{V}(t)} + \hat{W} \right) \Psi(t) \quad \Psi(t_0) = \Psi_0$$

$$i \frac{\partial}{\partial t} \Psi'(t) = \left(\hat{T} + \underline{\hat{V}'(t)} + \hat{W} \right) \Psi'(t) \quad \Psi'(t_0) = \Psi_0$$

$$\hat{V}'(t) = \hat{V}(t) + C(t) \Leftrightarrow \Psi'(t) = e^{-i\alpha(t)} \Psi(t)$$

↑
“no operator”

with

$$\dot{\alpha}(t) = C(t)$$

$$\Rightarrow \underline{\underline{\rho'(\vec{r}t) = \rho(\vec{r}t)}}$$

$$\text{i.e. } \{ \hat{V}(t) + C(t) \} \rightarrow \rho(\vec{r}t)$$

If G invertible up to within time-dependent function $C(t)$

$\Rightarrow \Psi = FG^{-1}\rho$ fixed up to within time-dependent phase

i.e. $\Psi = e^{-i\alpha(t)}\Psi[\rho]$

For any observable \hat{O}

$$\langle \Psi | \hat{O} | \Psi \rangle = \langle \Psi[\rho] | \hat{O} | \Psi[\rho] \rangle = O[\rho]$$

is functional of the density

THEOREM (time-dependent analogue of Hohenberg-Kohn theorem)

The map

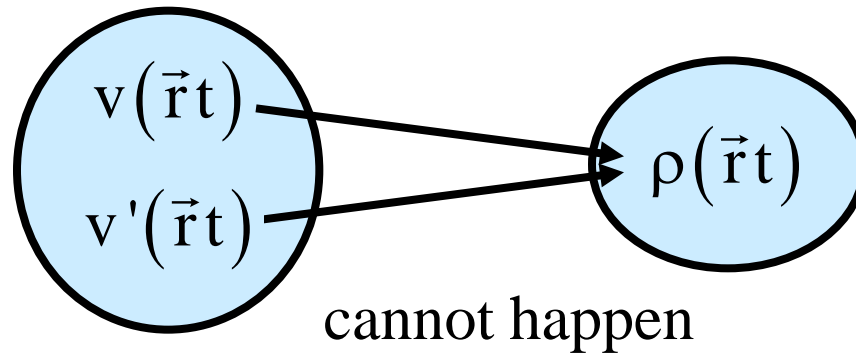
$$G : v(\vec{r}t) \mapsto \rho(\vec{r}t)$$

defined for all single-particle potentials $v(\vec{r}t)$ which can be expanded into a Taylor series with respect to the time coordinate around t_0

is invertible up to within an additive merely time-dependent function in the potential.

Proof:

to be shown:



i.e. $\hat{v}(\vec{r}t) \neq \hat{v}'(\vec{r}t) + c(t) \stackrel{!}{\Rightarrow} \rho(\vec{r}t) \neq \rho'(\vec{r}t)$

potential expandable into Taylor series

$$\exists k \geq 0 : \frac{\partial^k}{\partial t^k} [v(\vec{r}t) - v'(\vec{r}t)]_{t=t_0} \neq \text{constant}$$

step 1

$$\vec{j}(\vec{r}t) \neq \vec{j}'(\vec{r}t)$$

step 2

$$\rho(\vec{r}t) \neq \rho'(\vec{r}t)$$

Step 1: Current densities

$$\vec{j}(\vec{r}t) = \left\langle \Psi(t) \left| \hat{j}(\vec{r}) \right| \Psi(t) \right\rangle$$

$$\text{with } \hat{j}(\vec{r}) = -\frac{1}{2i} \sum_s \left(\left[\vec{\nabla} \hat{\psi}_s^+(\vec{r}) \right] \hat{\psi}_s(\vec{r}) - \hat{\psi}_s^+(\vec{r}) \left[\vec{\nabla} \hat{\psi}_s(\vec{r}) \right] \right)$$

Use equation of motion:

$$i \frac{\partial}{\partial t} \left\langle \Psi(t) \left| \hat{O}(t) \right| \Psi(t) \right\rangle = \left\langle \Psi(t) \left| i \frac{\partial}{\partial t} \hat{O}(t) + \left[\hat{O}(t), \hat{H}(t) \right] \right| \Psi(t) \right\rangle$$

$$\Rightarrow i \frac{\partial}{\partial t} \vec{j}(\vec{r}t) = \left\langle \Psi(t) \left[\hat{j}(\vec{r}), \hat{H}(t) \right] \right| \Psi(t) \right\rangle$$

$$i \frac{\partial}{\partial t} \vec{j}'(\vec{r}t) = \left\langle \Psi'(t) \left[\hat{j}(\vec{r}), \hat{H}'(t) \right] \right| \Psi'(t) \right\rangle$$

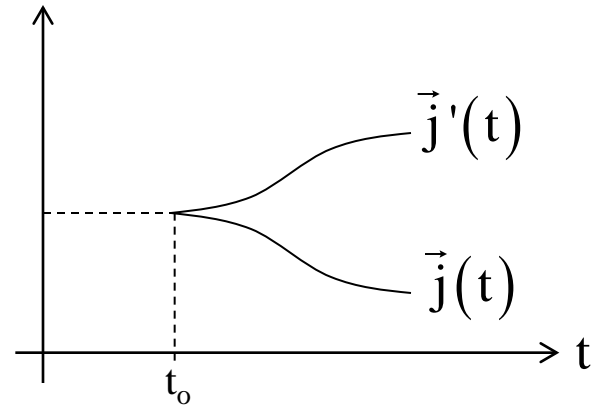
note: $\vec{j}(\vec{r}\underline{t}_0) = \vec{j}'(\vec{r}\underline{t}_0) = \left\langle \Psi_0 \left| \hat{j}(\vec{r}) \right| \Psi_0 \right\rangle \equiv \vec{j}_0(\vec{r})$

$$\rho(\vec{r}\underline{t}_0) = \rho'(\vec{r}\underline{t}_0) = \left\langle \Psi_0 \left| \hat{\rho}(\vec{r}) \right| \Psi_0 \right\rangle \equiv \rho_0(\vec{r})$$

$$\begin{aligned}
i \frac{\partial}{\partial t} [\vec{j}(\vec{r}t) - \vec{j}'(\vec{r}t)]_{t=t_0} &= \left\langle \Psi_0 \left[\left[\hat{j}(\vec{r}), \hat{H}(t_0) - \hat{H}'(t_0) \right] \right] \Psi_0 \right\rangle \\
&= \left\langle \Psi_0 \left[\left[\hat{j}(\vec{r}), V(t_0) - V'(t_0) \right] \right] \Psi_0 \right\rangle \\
&= i \rho_0(\vec{r}) \vec{\nabla} (v(\vec{r}t_0) - v'(\vec{r}t_0))
\end{aligned}$$

if $\frac{\partial^k}{\partial t^k} [v(\vec{r}t) - v'(\vec{r}t)]_{t=t_0} \neq \text{constant}$ holds for $k=0$

then $i \frac{\partial}{\partial t} [\vec{j}(\vec{r}t) - \vec{j}'(\vec{r}t)]_{t=t_0} \neq 0$



$\Rightarrow \underline{\underline{\vec{j}(\vec{r}t) \neq \vec{j}'(\vec{r}t)}} \quad \text{q.e.d.}$

if $\frac{\partial^k}{\partial t^k} [\mathbf{v}(\vec{r}t) - \mathbf{v}'(\vec{r}t)]_{t=t_0} \neq \text{constant}$ holds for $k > 0$

→ use equation of motion $k+1$ times:

$$\begin{aligned} \left(i \frac{\partial}{\partial t} \right)^2 \vec{j}(\vec{r}t) &= i \frac{\partial}{\partial t} \left\langle \Psi(t) \left[\hat{\vec{j}}, \hat{H}(t) \right] \Psi(t) \right\rangle \\ &= \left\langle \Psi(t) \left| i \frac{\partial}{\partial t} \left[\hat{\vec{j}}, \hat{H}(t) \right] + \left[\left[\hat{\vec{j}}, \hat{H}(t) \right], \hat{H}(t) \right] \right| \Psi(t) \right\rangle \end{aligned}$$

$$\left(i \frac{\partial}{\partial t} \right)^3 \vec{j}(\vec{r}t) = i \frac{\partial}{\partial t} \left\langle \Psi(t) \left| i \frac{\partial}{\partial t} \left[\hat{\vec{j}}, \hat{H}(t) \right] + \left[\left[\hat{\vec{j}}, \hat{H}(t) \right], \hat{H}(t) \right] \right| \Psi(t) \right\rangle$$

≡ III

$$\left(i \frac{\partial}{\partial t} \right)^{k+1} \left[\vec{j}(\vec{r}t) - \vec{j}'(\vec{r}t) \right]_{t=t_0} = i \rho_0(\vec{r}) \vec{\nabla} \underbrace{\left(\left(i \frac{\partial}{\partial t} \right)^k \left[\mathbf{v}(\vec{r}t) - \mathbf{v}'(\vec{r}t) \right]_{t_0} \right)}_{\neq \text{constant}} \neq 0$$

⇒ $\vec{j}(\vec{r}t) \neq \vec{j}'(\vec{r}t)$ q.e.d.

Step 2: densities

Use continuity equation:

$$\frac{\partial}{\partial t} [\rho(\vec{r}t) - \rho'(\vec{r}t)] = -\text{div} [\vec{j}(\vec{r}t) - \vec{j}'(\vec{r}t)]$$

$$\begin{aligned} \Rightarrow \frac{\partial^{k+2}}{\partial t^{k+2}} [\rho(\vec{r}t) - \rho'(\vec{r}t)]_{t=t_0} &= -\text{div} \frac{\partial^{k+1}}{\partial t^{k+1}} [\vec{j}(\vec{r}t) - \vec{j}'(\vec{r}t)]_{t=t_0} \\ &= -\text{div} \rho_o(\vec{r}) \underbrace{\vec{\nabla} \left(\frac{\partial^k}{\partial t^k} [\mathbf{v}(\vec{r}t) - \mathbf{v}'(\vec{r}t)]_{t=t_0} \right)}_{\neq \text{constant}} \end{aligned}$$

remains to be shown:

$$\text{div} [\rho_o(\vec{r}) \vec{\nabla} u(\vec{r})] \neq 0 \quad \text{if} \quad u(\vec{r}) \neq \text{constant}$$

Proof: by reductio ad absurdum

Assume: $\operatorname{div}[\rho_o(\vec{r})\vec{\nabla}u(\vec{r})] = 0$ with $u(\vec{r}) \neq \text{constant}$

$$\begin{aligned} & \int d\mathbf{r}^3 \rho_o(\vec{r}) (\vec{\nabla}u(\vec{r}))^2 \\ &= -\int d\mathbf{r}^3 u(\vec{r}) \underbrace{\operatorname{div}[\rho_o(\vec{r})\vec{\nabla}u(\vec{r})]}_0 + \underbrace{\int \rho_o(\vec{r}) u(\vec{r}) \vec{\nabla}u(\vec{r}) \cdot d\vec{S}}_0 = 0 \end{aligned}$$

$$\Rightarrow \rho_o(\vec{r}) (\vec{\nabla}u(\vec{r}))^2 \equiv 0 \quad \longrightarrow \quad \text{contradiction to } u(\vec{r}) \neq \text{constant}$$

The TDKS equations follow (like in the static case) from:

- i. the basic 1-1 mapping for interacting and non-interacting particles**
- ii. the TD V-representability theorem (R. van Leeuwen, PRL 82, 3863 (1999)).**

A TDDFT variational principle exists as well, but this is more tricky:

R. van Leeuwen

S. Mukamel

T. Gal

G. Vignale

•

•

Simplest possible approximation for $v_{xc}[\rho](\vec{r}t)$

Adiabatic Approximation:

$$V_{xc}^{\text{adiab}}(\vec{r}t) := V_{xc}^{\text{stat}}[n](r) \Big|_{n=\rho(\vec{r}'t)}$$

where V_{xc}^{stat} = xc potential of ground-state DFT

Example:

$$V_{xc}^{\text{ALDA}}(\vec{r}t) := V_{xc}^{\text{hom}}(n) \Big|_{n=\rho(\vec{r}t)}$$

Approximation with correct asymptotic $-1/r$ behavior:
time-dependent optimized effective potential (TDOEP)

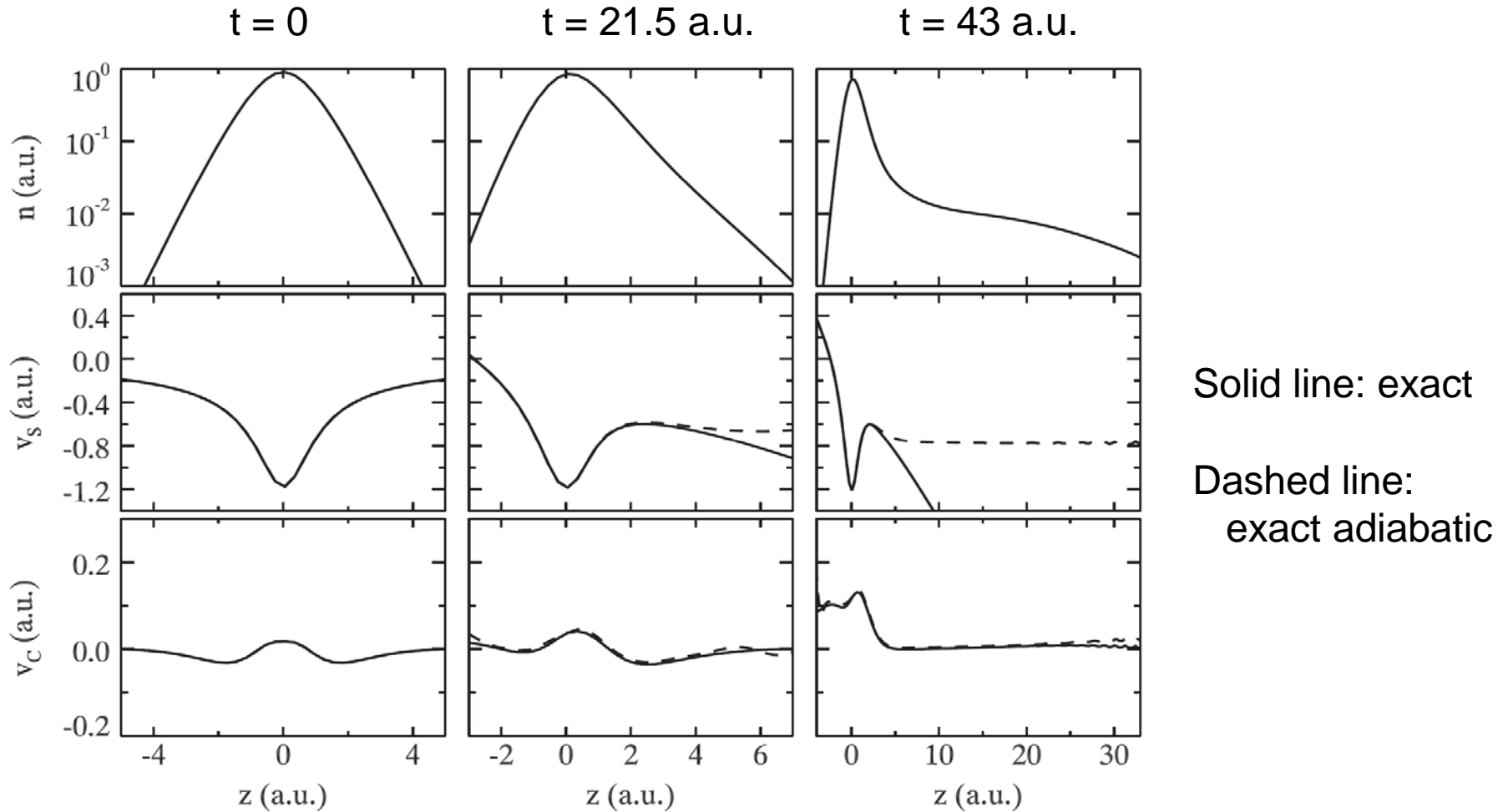
(C. A. Ullrich, U. Gossmann, E.K.U.G., PRL 74, 872 (1995))

Assess the quality of the adiabatic approximation by the following steps:

- Solve 1D model for He atom in strong laser fields (numerically) exactly. This yields exact TD density $\rho(\mathbf{r},t)$.
- Inversion of one-particle TDSE yields exact TDKS potential. Then, subtracting the laser field and the TD-Hartree term, yields the exact TD xc potential.
- Inversion of one-particle ground-state SE yields the exact static KS potential, $v_{\text{KS-static}}[\rho(t)]$, that gives (for each separate t) $\rho(\mathbf{r},t)$ as ground-state density.
- Inversion of the many-particle ground-state SE yields the static external potential, $v_{\text{ext-static}}[\rho(t)]$, that gives (for each separate t) $\rho(\mathbf{r},t)$ as interacting ground-state density.
- Compare the exact TD xc potential of step 1 with the exact adiabatic approximation which is obtained by subtraction :

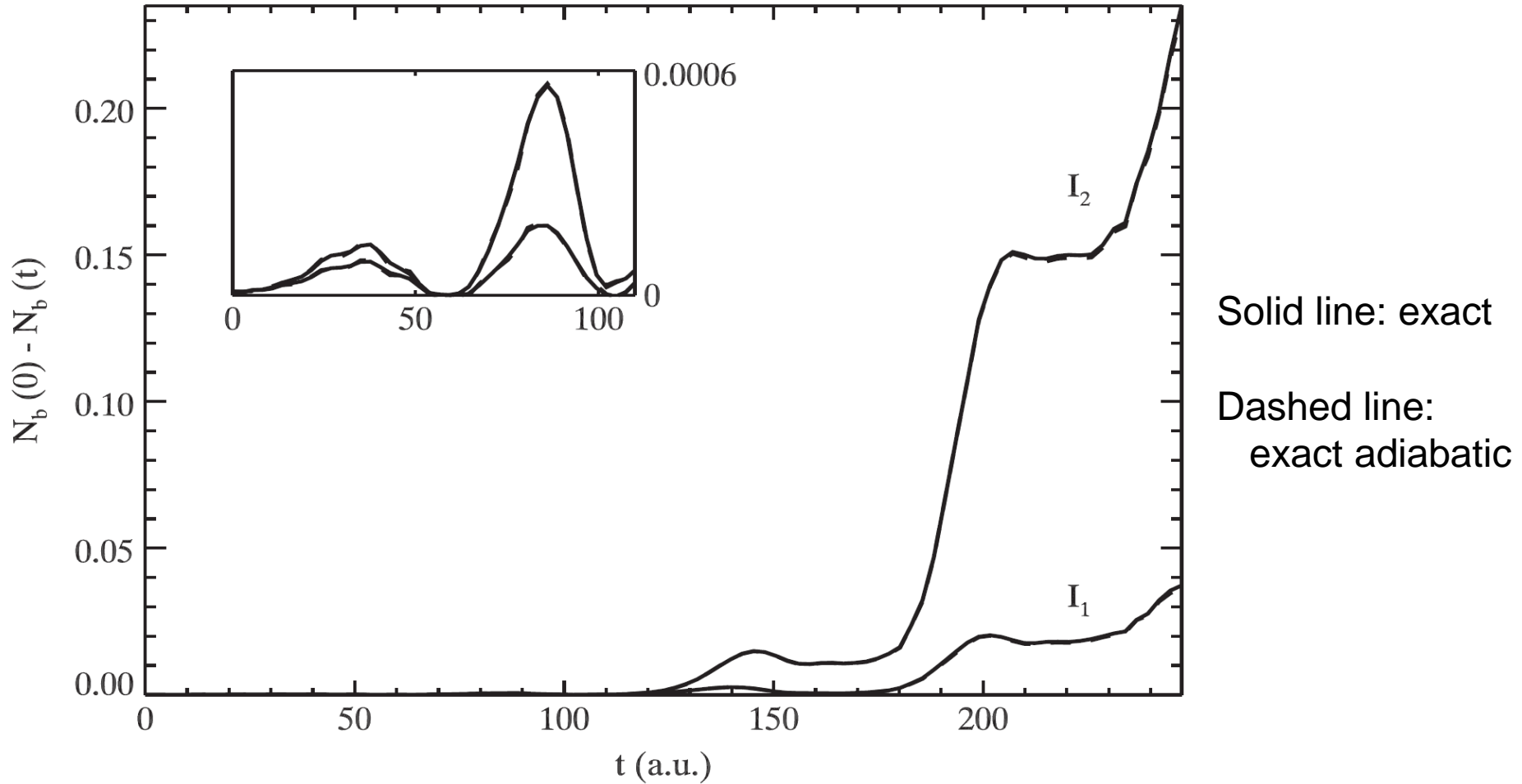
$$v_{\text{xc-exact-adiab}}(\mathbf{t}) = v_{\text{KS-static}}[\rho(\mathbf{t})] - v_{\text{H}}[\rho(\mathbf{t})] - v_{\text{ext-static}}[\rho(\mathbf{t})]$$

$E(t)$ ramped over 27 a.u. (0.65 fs) to the value $E=0.14$ a.u. and then kept constant



M. Thiele, E.K.U.G., S. Kuemmel, Phys. Rev. Lett. **100**, 153004 (2008)

4-cycle pulse with $\lambda = 780$ nm, $I_1 = 4 \times 10^{14}$ W/cm², $I_2 = 7 \times 10^{14}$ W/cm²



M. Thiele, E.K.U.G., S. Kuemmel, Phys. Rev. Lett. **100**, 153004 (2008)

LINEAR RESPONSE THEORY

$t = t_0$: Interacting system in ground state of potential $v_0(\mathbf{r})$ with density $\rho_0(\mathbf{r})$

$t > t_0$: Switch on perturbation $\mathbf{v}_1(\mathbf{r}, t)$ (with $\mathbf{v}_1(\mathbf{r}, t_0) = \mathbf{0}$).

Density: $\rho(\mathbf{r}, t) = \rho_0(\mathbf{r}) + \delta\rho(\mathbf{r}, t)$

Consider functional $\rho[v](\mathbf{r}, t)$ defined by solution of interacting TDSE

Functional Taylor expansion of $\rho[v]$ around v_0 :

$$\begin{aligned}
 \rho[v](\mathbf{r}, t) &= \rho[v_0 + \mathbf{v}_1](\mathbf{r}, t) \\
 &= \rho[v_0](\mathbf{r}, t) && \longrightarrow \rho_0(\mathbf{r}) \\
 &\quad + \int \frac{\delta\rho[v](\mathbf{r}, t)}{\delta v(\mathbf{r}', t')} \Big|_{v_0} \mathbf{v}_1(\mathbf{r}', t') d^3r' dt' && \longrightarrow \rho_1(\mathbf{r}, t) \\
 &\quad + \frac{1}{2} \iint \frac{\delta^2\rho[v](\mathbf{r}, t)}{\delta v(\mathbf{r}', t') \delta v(\mathbf{r}'', t'')} \Big|_{v_0} \mathbf{v}_1(\mathbf{r}', t') \mathbf{v}_1(\mathbf{r}'', t'') d^3r' d^3r'' dt' dt'' && \longrightarrow \rho_2(\mathbf{r}, t) \\
 &\quad \vdots
 \end{aligned}$$

$\rho_1(\mathbf{r},t)$ = linear density response of interacting system

$$\chi(\mathbf{r}t, \mathbf{r}'t') := \left. \frac{\delta\rho[\mathbf{v}](\mathbf{r}t)}{\delta\mathbf{v}(\mathbf{r}'t')} \right|_{\mathbf{v}_0} = \text{density-density response function of interacting system}$$


Analogous function $\rho_s[\mathbf{v}_s](\mathbf{r}t)$ for non-interacting system

$$\rho_s[\mathbf{v}_s](\mathbf{r}t) = \rho_s[\mathbf{v}_{s,0} + \mathbf{v}_{s,1}](\mathbf{r}t) = \rho_s[\mathbf{v}_{s,0}](\mathbf{r}t) + \int \left. \frac{\delta\rho_s[\mathbf{v}_s](\mathbf{r}t)}{\delta\mathbf{v}_s(\mathbf{r}'t')} \right|_{\mathbf{v}_{s,0}} \mathbf{v}_{s,1}(\mathbf{r}'t') d^3\mathbf{r}'dt' + \dots$$

$$\chi_s(\mathbf{r}t, \mathbf{r}'t') := \left. \frac{\delta\rho_s[\mathbf{v}_s](\mathbf{r}t)}{\delta\mathbf{v}_s(\mathbf{r}'t')} \right|_{\mathbf{v}_{s,0}} = \text{density-density response function of non-interacting system}$$

GOAL: Find a way to calculate $\rho_1(\mathbf{r}, t)$ without explicitly evaluating $\chi(\mathbf{r}, t, \mathbf{r}', t')$ of the interacting system

starting point: Definition of xc potential

$$v_{xc}[\rho](\mathbf{r}, t) := v_S[\rho](\mathbf{r}, t) - v_{\text{ext}}[\rho](\mathbf{r}, t) - v_H[\rho](\mathbf{r}, t)$$


Notes:

- v_{xc} is well-defined through non-interacting/ interacting 1-1 mapping.
- $v_S[\rho]$ depends on initial determinant Φ_0 .
- $v_{\text{ext}}[\rho]$ depends on initial many-body state Ψ_0 .

\Rightarrow In general, $v_{xc} = v_{xc}[\rho, \Phi_0, \Psi_0]$

only if system is initially in ground-state then, via HK, Φ_0 and Ψ_0 are determined by ρ_0 and v_{xc} depends on ρ alone.

$$\left. \frac{\delta v_{\text{xc}}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} = \left. \frac{\delta v_{\text{s}}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} - \left. \frac{\delta v_{\text{ext}}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} - \frac{\delta(t-t')}{|\mathbf{r}-\mathbf{r}'|}$$

$$\begin{array}{cccc}
\left. \frac{\delta v_{xc}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & = & \left. \frac{\delta v_s[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & - & \left. \frac{\delta v_{\text{ext}}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & - & \frac{\delta(t-t')}{|\mathbf{r}-\mathbf{r}'|} \\
\uparrow & & \uparrow & & \uparrow & & \uparrow \\
\mathbf{f}_{xc}(\mathbf{r}t, \mathbf{r}'t') & & \chi_S^{-1}(\mathbf{r}t, \mathbf{r}'t') & & \chi^{-1}(\mathbf{r}t, \mathbf{r}'t') & & \mathbf{W}_C(\mathbf{r}t, \mathbf{r}'t')
\end{array}$$

$$\begin{array}{cccc}
\left. \frac{\delta v_{\text{xc}}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & = & \left. \frac{\delta v_{\text{S}}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & - & \left. \frac{\delta v_{\text{ext}}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & - & \frac{\delta(t-t')}{|\mathbf{r}-\mathbf{r}'|} \\
\uparrow & & \uparrow & & \uparrow & & \uparrow \\
\mathbf{f}_{\text{xc}}(\mathbf{r}t, \mathbf{r}'t') & & \chi_{\text{S}}^{-1}(\mathbf{r}t, \mathbf{r}'t') & & \chi^{-1}(\mathbf{r}t, \mathbf{r}'t') & & \mathbf{W}_{\text{C}}(\mathbf{r}t, \mathbf{r}'t')
\end{array}$$

$$\mathbf{f}_{\text{xc}} + \mathbf{W}_{\text{C}} = \chi_{\text{S}}^{-1} - \chi^{-1}$$

$$\begin{array}{cccc}
\left. \frac{\delta v_{xc}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & = & \left. \frac{\delta v_s[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & - & \left. \frac{\delta v_{ext}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & - & \frac{\delta(t-t')}{|\mathbf{r}-\mathbf{r}'|} \\
\uparrow & & \uparrow & & \uparrow & & \uparrow \\
\mathbf{f}_{xc}(\mathbf{r}t, \mathbf{r}'t') & & \chi_S^{-1}(\mathbf{r}t, \mathbf{r}'t') & & \chi^{-1}(\mathbf{r}t, \mathbf{r}'t') & & \mathbf{W}_C(\mathbf{r}t, \mathbf{r}'t')
\end{array}$$

$$\chi_S \bullet \left| \mathbf{f}_{xc} + \mathbf{W}_C = \chi_S^{-1} - \chi^{-1} \right| \bullet \chi$$

$$\begin{array}{cccc}
\left. \frac{\delta v_{xc}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & = & \left. \frac{\delta v_s[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & - & \left. \frac{\delta v_{ext}[\rho](\mathbf{r}t)}{\delta \rho(\mathbf{r}'t')} \right|_{\rho_0} & - & \frac{\delta(t-t')}{|\mathbf{r}-\mathbf{r}'|} \\
\uparrow & & \uparrow & & \uparrow & & \uparrow \\
\mathbf{f}_{xc}(\mathbf{r}t, \mathbf{r}'t') & & \chi_S^{-1}(\mathbf{r}t, \mathbf{r}'t') & & \chi^{-1}(\mathbf{r}t, \mathbf{r}'t') & & \mathbf{W}_C(\mathbf{r}t, \mathbf{r}'t')
\end{array}$$

$$\chi_S \bullet \left| \mathbf{f}_{xc} + \mathbf{W}_C = \chi_S^{-1} - \chi^{-1} \right| \bullet \chi$$

$$\chi_S (\mathbf{f}_{xc} + \mathbf{W}_C) \chi = \chi - \chi_S$$

$$\chi = \chi_S + \chi_S (W_C + f_{xc}) \chi$$

Act with this operator equation on arbitrary $v_1(\mathbf{r}, t)$ and use $\chi v_1 = \rho_1$:

$$\rho_1(\mathbf{r}, t) = \int d^3 r' dt' \chi_S(\mathbf{r}, t, \mathbf{r}', t') \left[v_1(\mathbf{r}', t') + \int d^3 r'' dt'' \{ W_C(\mathbf{r}', t', \mathbf{r}'', t'') + f_{xc}(\mathbf{r}', t', \mathbf{r}'', t'') \} \rho_1(\mathbf{r}'', t'') \right]$$

- Exact integral equation for $\rho_1(\mathbf{r}, t)$, to be solved iteratively

- Need approximation for $f_{xc}(\mathbf{r}', t', \mathbf{r}'', t'') = \left. \frac{\delta v_{xc}[\rho](\mathbf{r}', t')}{\delta \rho(\mathbf{r}'', t'')} \right|_{\rho_0}$
(either for f_{xc} directly or for v_{xc})

Adiabatic approximation

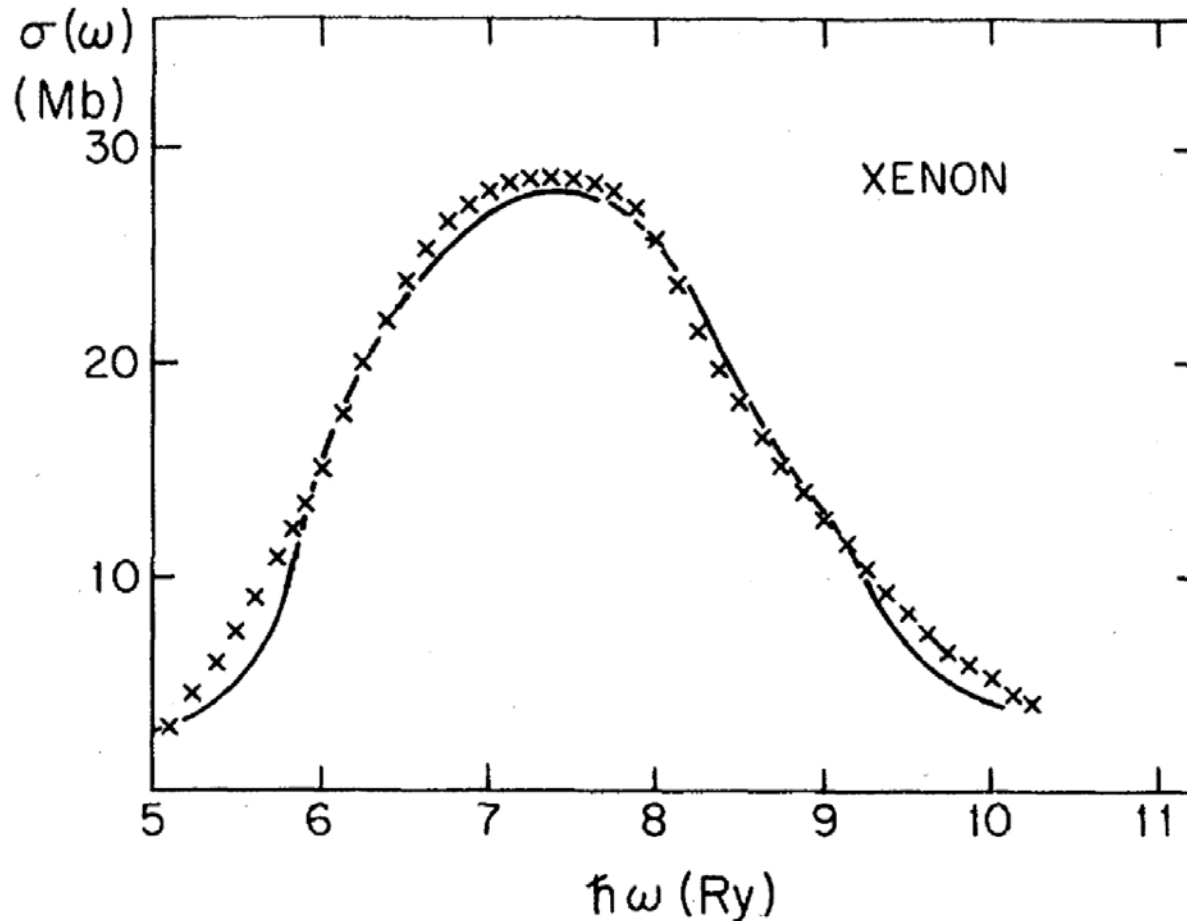
$$v_{xc}^{\text{adiab}}[\rho](rt) := v_{xc}^{\text{static DFT}}[\rho(t)](rt)$$

In the adiabatic approximation, the xc potential $v_{xc}(t)$ at time t only depends on the density $\rho(t)$ at the very same point in time.

e.g. adiabatic LDA: $v_{xc}^{\text{ALDA}}(rt) := v_{xc}^{\text{LDA}}(\rho(rt)) = -\alpha \rho(rt)^{1/3} + \dots$

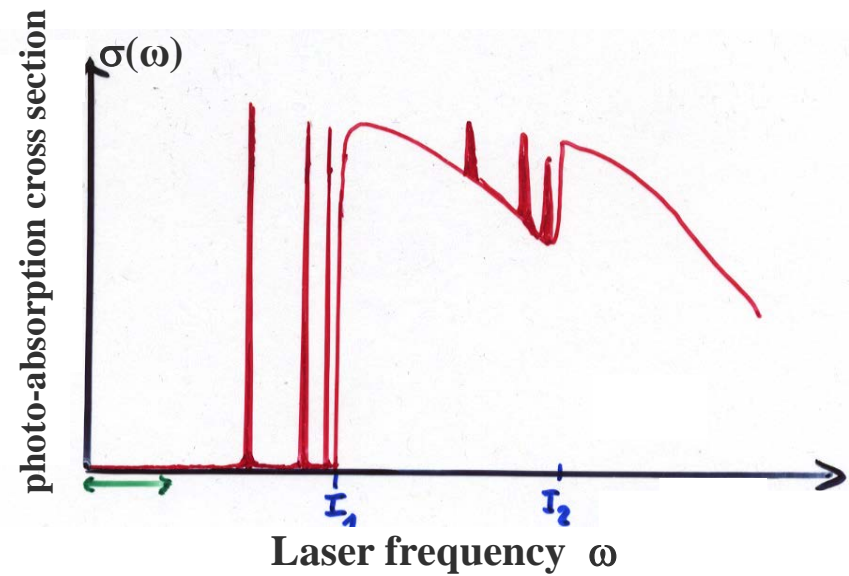
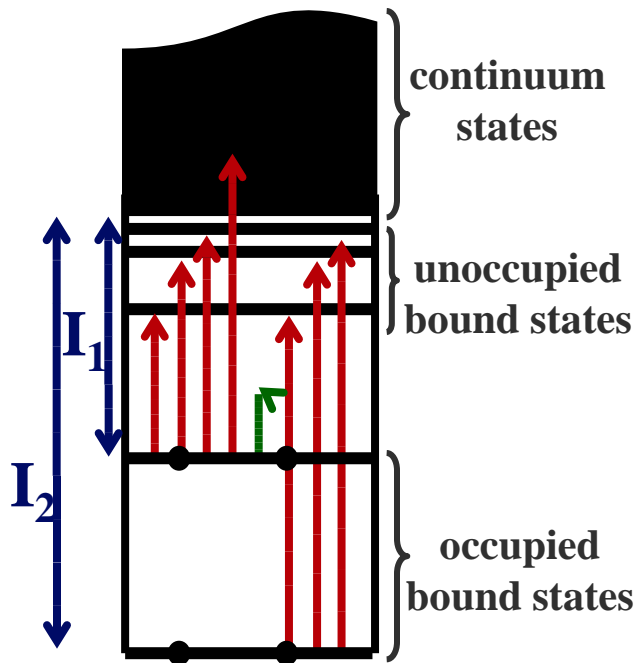
$$\begin{aligned} \Rightarrow f_{xc}^{\text{ALDA}}(rt, r't') &= \left. \frac{\delta v_{xc}^{\text{ALDA}}(rt)}{\delta \rho(r't')} \right|_{\rho_0} = \delta(r-r') \delta(t-t') \left. \frac{\partial v_{xc}^{\text{ALDA}}}{\partial \rho(r)} \right|_{\rho_0(r)} \\ &= \delta(r-r') \delta(t-t') \left. \frac{\partial^2 e_{xc}^{\text{hom}}}{\partial n^2} \right|_{\rho_0(r)} \end{aligned}$$

Total photoabsorption cross section of the Xe atom versus photon energy in the vicinity of the 4d threshold.



Solid line: self-consistent time-dependent KS calculation [A. Zangwill and P. Soven, PRA 21, 1561 (1980)]; crosses: experimental data [R. Haensel, G. Keitel, P. Schreiber, and C. Kunz, Phys. Rev. 188, 1375 (1969)].

Photo-absorption in weak lasers



No absorption if $\omega < \text{lowest excitation energy}$

Standard linear response formalism

$H(t_0)$ = full static Hamiltonian at t_0

$$H(t_0)|m\rangle = E_m|m\rangle \quad \leftarrow \text{exact many-body eigenfunctions and energies of system}$$

full response function

$$\chi(r, r'; \omega) = \lim_{\eta \rightarrow 0^+} \sum_m \left(\frac{\langle 0 | \hat{\rho}(r) | m \rangle \langle m | \hat{\rho}(r) | 0 \rangle}{\omega - (E_m - E_0) + i\eta} - \frac{\langle 0 | \hat{\rho}(r') | m \rangle \langle m | \hat{\rho}(r') | 0 \rangle}{\omega + (E_m - E_0) + i\eta} \right)$$

\Rightarrow The exact linear density response

$$\rho_1(\omega) = \hat{\chi}(\omega) v_1(\omega)$$

has poles at the exact excitation energies $\Omega = E_m - E_0$

Discrete excitation energies from TDDFT

exact representation of linear density response:

$$\rho_1(\omega) = \hat{\chi}_s(\omega) \left(v_1(\omega) + \hat{W}_c \rho_1(\omega) + \hat{f}_{xc}(\omega) \rho_1(\omega) \right)$$

“ \wedge ” denotes integral operators, i.e. $\hat{f}_{xc} \rho_1 \equiv \int f_{xc}(\vec{r}, \vec{r}') \rho_1(\vec{r}') d^3 r'$

$$\text{where } \hat{\chi}_s(\vec{r}, \vec{r}'; \omega) = \sum_{j,k} \frac{M_{jk}(\vec{r}, \vec{r}')}{\omega - (\epsilon_j - \epsilon_k) + i\eta}$$

$$\text{with } M_{jk}(\vec{r}, \vec{r}') = (f_k - f_j) \varphi_j(\vec{r}) \varphi_j^*(\vec{r}') \varphi_k(\vec{r}') \varphi_k^*(\vec{r})$$

$$f_m = \begin{cases} 1 & \text{if } \varphi_m \text{ is occupied in KS ground state} \\ 0 & \text{if } \varphi_m \text{ is unoccupied in KS ground state} \end{cases}$$

$\epsilon_j - \epsilon_k$ KS excitation energy

$$\left(\hat{1} - \hat{\chi}_s(\omega) \left[\hat{W}_C + \hat{f}_{xc}(\omega) \right] \right) \rho_1(\omega) = \hat{\chi}_s(\omega) v_1(\omega)$$

$\rho_1(\omega) \rightarrow \infty$ for $\omega \rightarrow \Omega$ (exact excitation energy) but right-hand side remains finite for $\omega \rightarrow \Omega$

hence
$$\left(\hat{1} - \hat{\chi}_s(\omega) \left[\hat{W}_C + \hat{f}_{xc}(\omega) \right] \right) \xi(\omega) = \lambda(\omega) \xi(\omega)$$

$\lambda(\omega) \rightarrow 0$ for $\omega \rightarrow \Omega$

This condition rigorously determines the exact excitation energies, i.e.,

$$\left(\hat{1} - \hat{\chi}_s(\Omega) \left[\hat{W}_C + \hat{f}_{xc}(\Omega) \right] \right) \xi(\Omega) = 0$$

This leads to the (non-linear) eigenvalue equation

(See T. Grabo, M. Petersilka, E. K. U. G., J. Mol. Struc. (Theochem) 501, 353 (2000))

$$\sum_{q'} \left(M_{qq'}(\Omega) + \omega_q \delta_{qq'} \right) \beta_{q'} = \Omega \beta_q$$

$$M_{qq'} = \alpha_q \int d^3r \int d^3r' \Phi_q(\mathbf{r}) \left(\frac{1}{|\mathbf{r} - \mathbf{r}'|} + f_{xc}(\mathbf{r}, \mathbf{r}', \Omega) \right) \Phi_{q'}(\mathbf{r}')$$

$q = (j, a)$ double index

$$\alpha_q = f_a - f_j$$

$$\Phi_q(\mathbf{r}) = \varphi_a^*(\mathbf{r}) \varphi_j(\mathbf{r})$$

$$\omega_q = \varepsilon_a - \varepsilon_j$$

Equivalent to Casida equations if:

- ω -dependence of f_{xc} is neglected
- orbitals are real-valued
- pure density-response is considered in Casida eqs.

Atom	Experimental Excitation Energies $^1S \rightarrow ^1P$ (in Ry)	KS energy differences $\Delta\epsilon_{KS}$ (Ry)	$\Delta\epsilon_{KS} + K$
Be	0.388	0.259	0.391
Mg	0.319	0.234	0.327
Ca	0.216	0.157	0.234
Zn	0.426	0.315	0.423
Sr	0.198	0.141	0.210
Cd	0.398	0.269	0.391

from: M. Petersilka, U. J. Gossmann, E.K.U.G., PRL 76, 1212 (1996)

$$\Delta E = \underbrace{\Delta\epsilon_{KS}}_{\epsilon_j - \epsilon_k} + K$$

$$K = \int d^3r \int d^3r' \varphi_j(r) \varphi_j^*(r') \varphi_k(r') \varphi_k^*(r) \left(\frac{1}{|r-r'|} + f_{xc}(r, r') \right)$$

Excitation energies of CO molecule

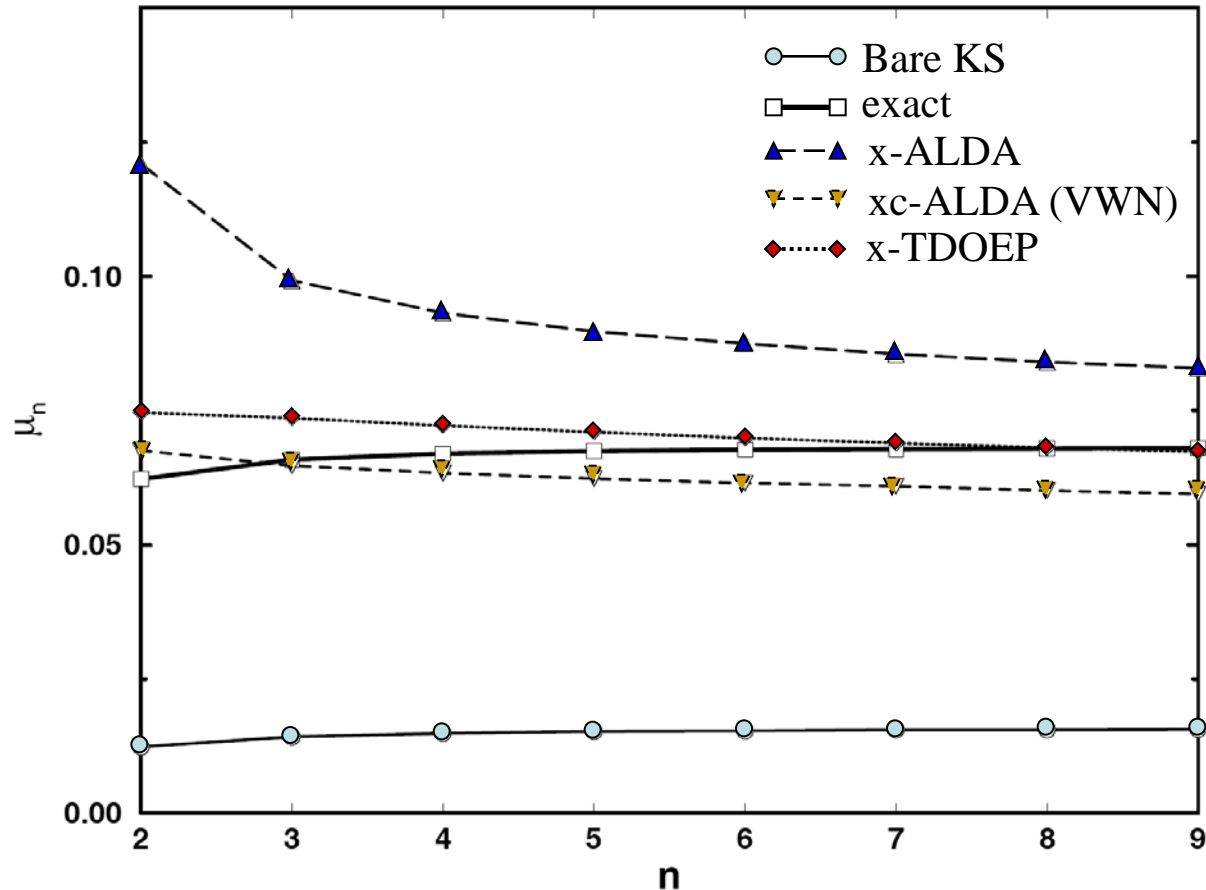
	State	Ω_{expt}	KS-transition	$\Delta\epsilon_{\text{KS}}$	$\Delta\epsilon_{\text{KS}} + \text{K}$
A	$^1\Pi$	0.3127	$5\Sigma \rightarrow 2\Pi$	0.2523	0.3267
a	$^3\Pi$	0.2323			0.2238
I	$^1\Sigma^-$	0.3631	$1\Pi \rightarrow 2\Pi$	0.3626	0.3626
D	$^1\Delta$	0.3759			0.3812
a'	$^3\Sigma^+$	0.3127			0.3181
e	$^3\Sigma^-$	0.3631			0.3626
d	$^3\Delta$	0.3440			0.3404

T. Grabo, M. Petersilka and E.K.U. Gross, J. Mol. Struct. (Theochem) 501, 353 (2000)

approximations made: $v_{\text{xc}}^{\text{LDA}}$ and $f_{\text{xc}}^{\text{ALDA}}$

Quantum defects in Helium $E_n = -\frac{1}{2(n-\mu_n)^2}$ [a.u.]

3P Series



M. Petersilka, U.J. Gossmann and E.K.U.G., in: Electronic Density Functional Theory: Recent Progress and New Directions, J.F. Dobson, G. Vignale, M.P. Das, ed(s), (Plenum, New York, 1998), p 177 - 197.

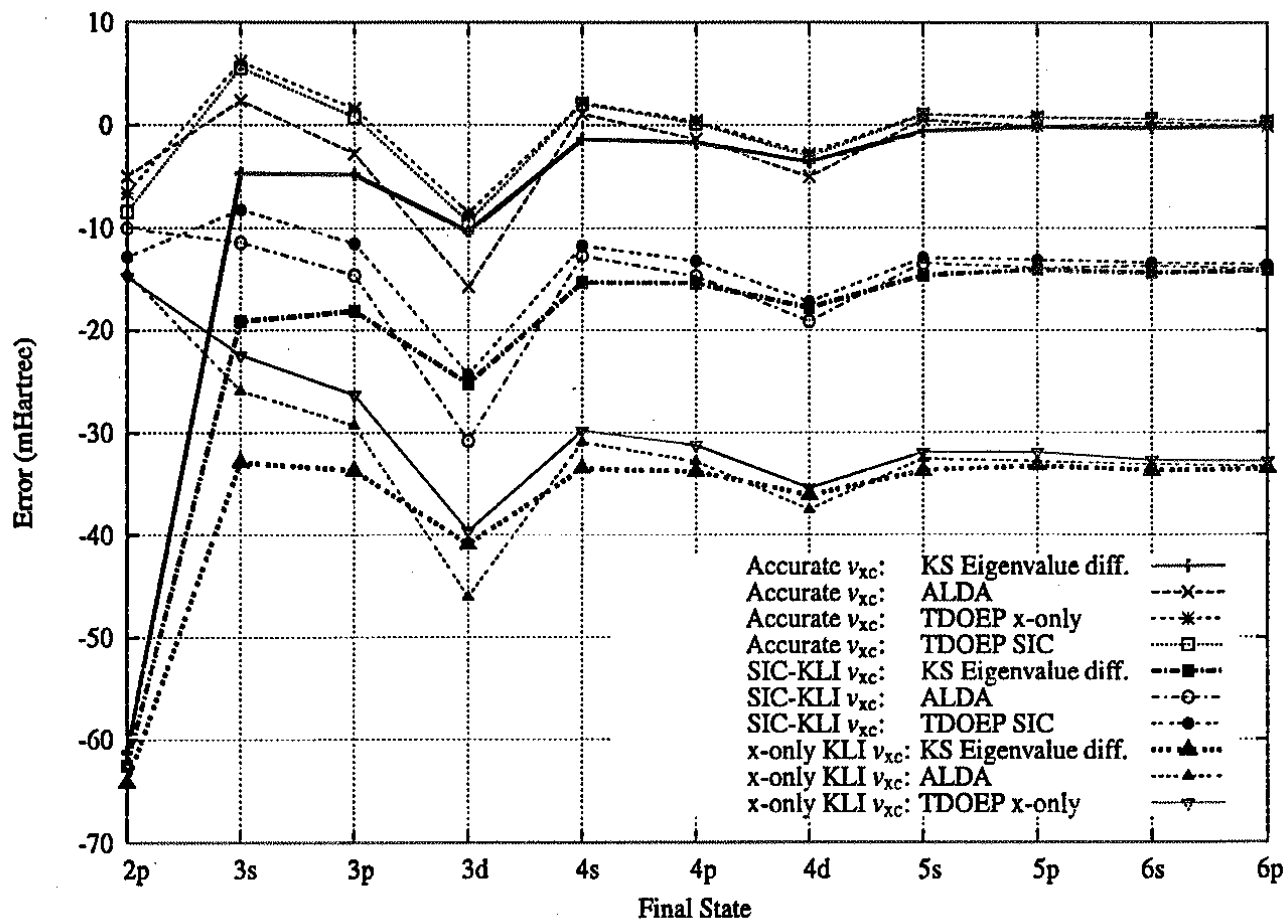


Figure 3.3: Errors of singlet excitation energies from the ground state of Be, calculated from the accurate, the OEP-SIC and x-only KLI exchange correlation potential and with different approximations for the exchange-correlation kernel (see text). The errors are given in mHartrees. To guide the eye, the errors of the discrete excitation energies were connected with lines.

(M. Petersilka, E.K.U.G., K. Burke, *Int. J. Quantum Chem.* **80**, 534 (2000))

Failures of ALDA in the linear response regime

- **H₂ dissociation is incorrect:**

$$E\left({}^1\Sigma_u^+\right) - E\left({}^1\Sigma_g^+\right) \xrightarrow{R \rightarrow \infty} 0 \text{ (in ALDA)}$$

(see: Gritsenko, van Gisbergen, Görling, Baerends, JCP 113, 8478 (2000))

- **response of long chains strongly overestimated**

(see: Champagne et al., JCP 109, 10489 (1998) and 110, 11664 (1999))

- **in periodic solids, $f_{xc}^{\text{ALDA}}(q, \omega, \rho) = c(\rho)$ whereas,**

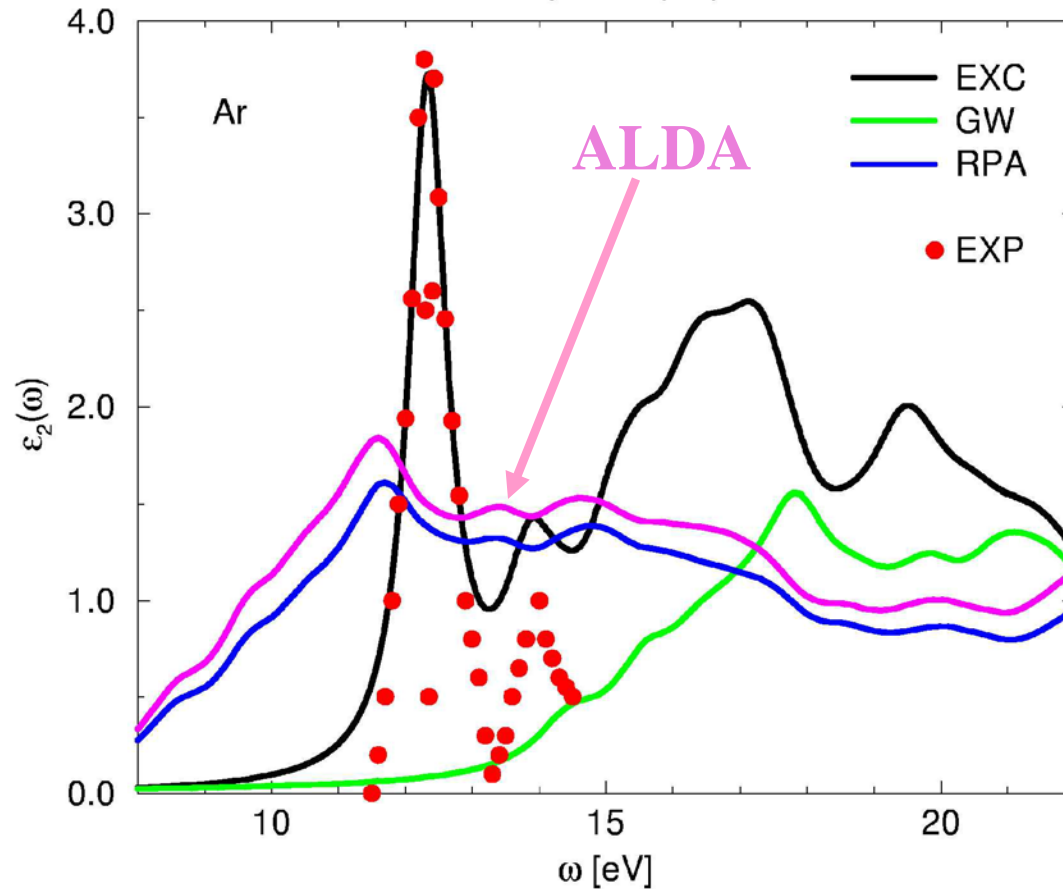
for insulators, $f_{xc}^{\text{exact}} \xrightarrow{q \rightarrow 0} 1/q^2$ divergent.

- **charge-transfer excitations not properly described**

(see: Dreuw et al., JCP 119, 2943 (2003))

How good is ALDA for solids?

optical absorption ($q=0$)



Solid Argon

L. Reining, V. Olevano, A. Rubio, G. Onida, PRL 88, 066404 (2002)

OBSERVATION:

In the long-wavelength-limit ($q = 0$), relevant for optical absorption, ALDA is not reliable. In particular, excitonic lines are completely missed. Results are very close to RPA.

EXPLANATION:

In the TDDFT response equation, the bare Coulomb interaction and the xc kernel only appear as sum ($W_C + f_{xc}$). For $q \rightarrow 0$, W_C diverges like $1/q^2$, while f_{xc} in ALDA goes to a constant. Hence results are close to $f_{xc} = 0$ (RPA) in the $q \rightarrow 0$ limit.

CONCLUSION:

Approximations for f_{xc} are needed which, for $q \rightarrow 0$, correctly diverge like $1/q^2$. Such approximations can be derived from many-body perturbation theory (see, e.g., L. Reining, V. Olevano, A. Rubio, G. Onida, PRL 88, 066404 (2002)).

Excitons in TDDFT

TDDFT response equation (a matrix equation)

$$\varepsilon^{-1}(\mathbf{q}, \omega) = 1 + \chi_0(\mathbf{q}, \omega) v(\mathbf{q}) \left[1 - (v(\mathbf{q}) + f_{xc}(\mathbf{q}, \omega)) \chi_0(\mathbf{q}, \omega) \right]^{-1}$$

exact equation

$$f_{xc}(\mathbf{r}, \mathbf{r}', t - t') \equiv \delta v_{xc}(\mathbf{r}, t) / \delta \rho(\mathbf{r}', t') \quad \text{xc kernel}$$

$$\chi_0(\mathbf{q}, \omega) \quad \text{Kohn-Sham response function}$$

$$\text{RPA is } f_{xc} = 0$$

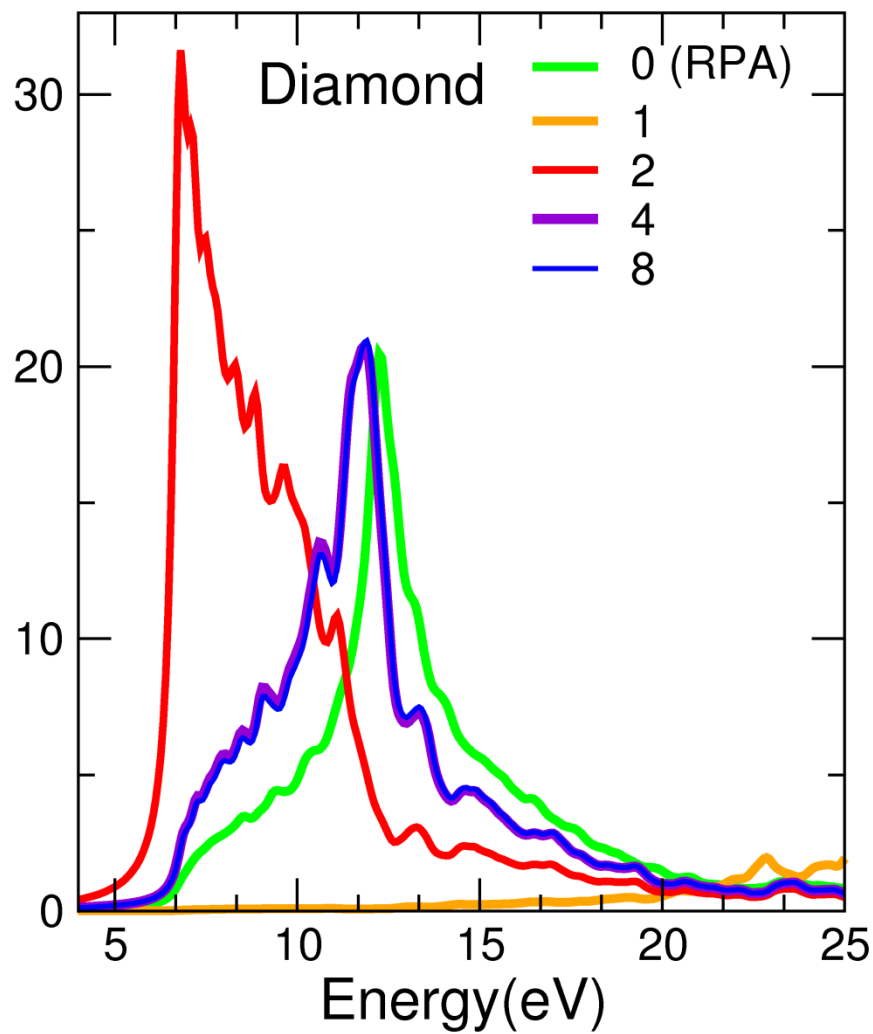
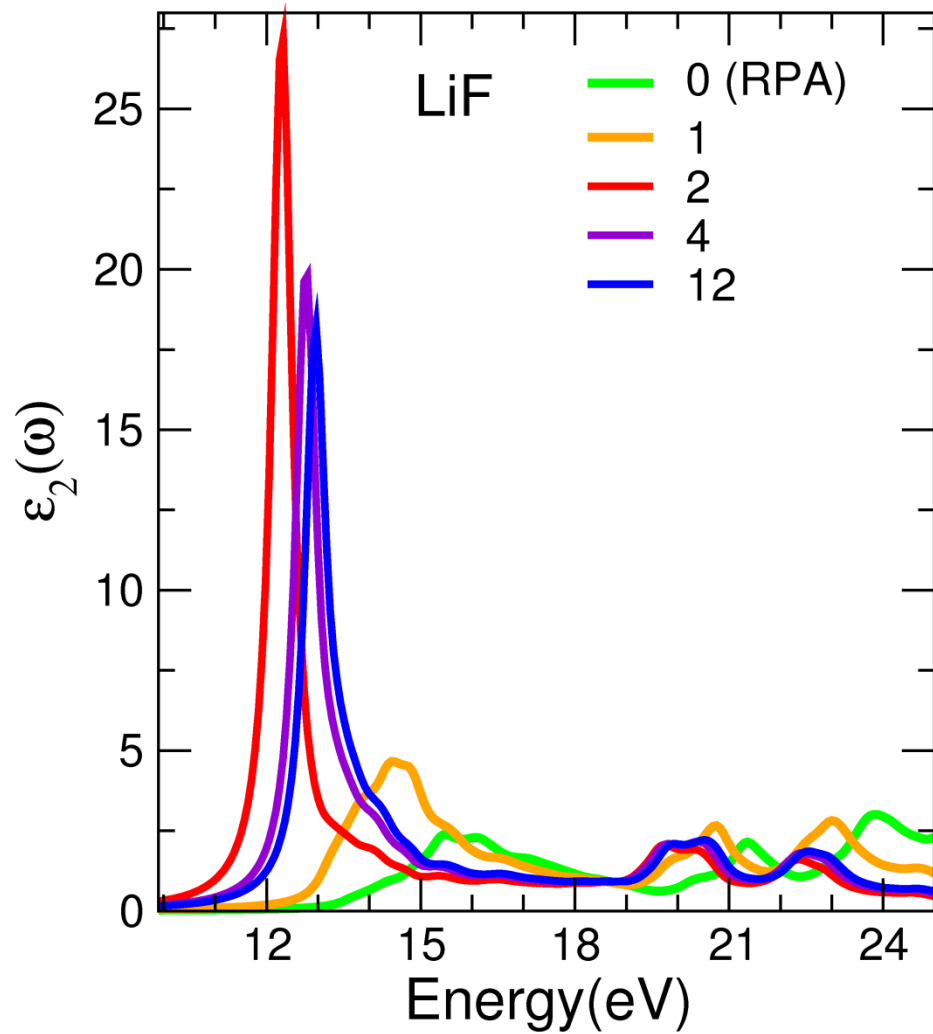
$$\varepsilon_0^{-1}(\mathbf{q}, \omega) = 1 + \chi_0(\mathbf{q}, \omega) v(\mathbf{q}) \left[1 - v(\mathbf{q}) \chi_0(\mathbf{q}, \omega) \right]^{-1}$$

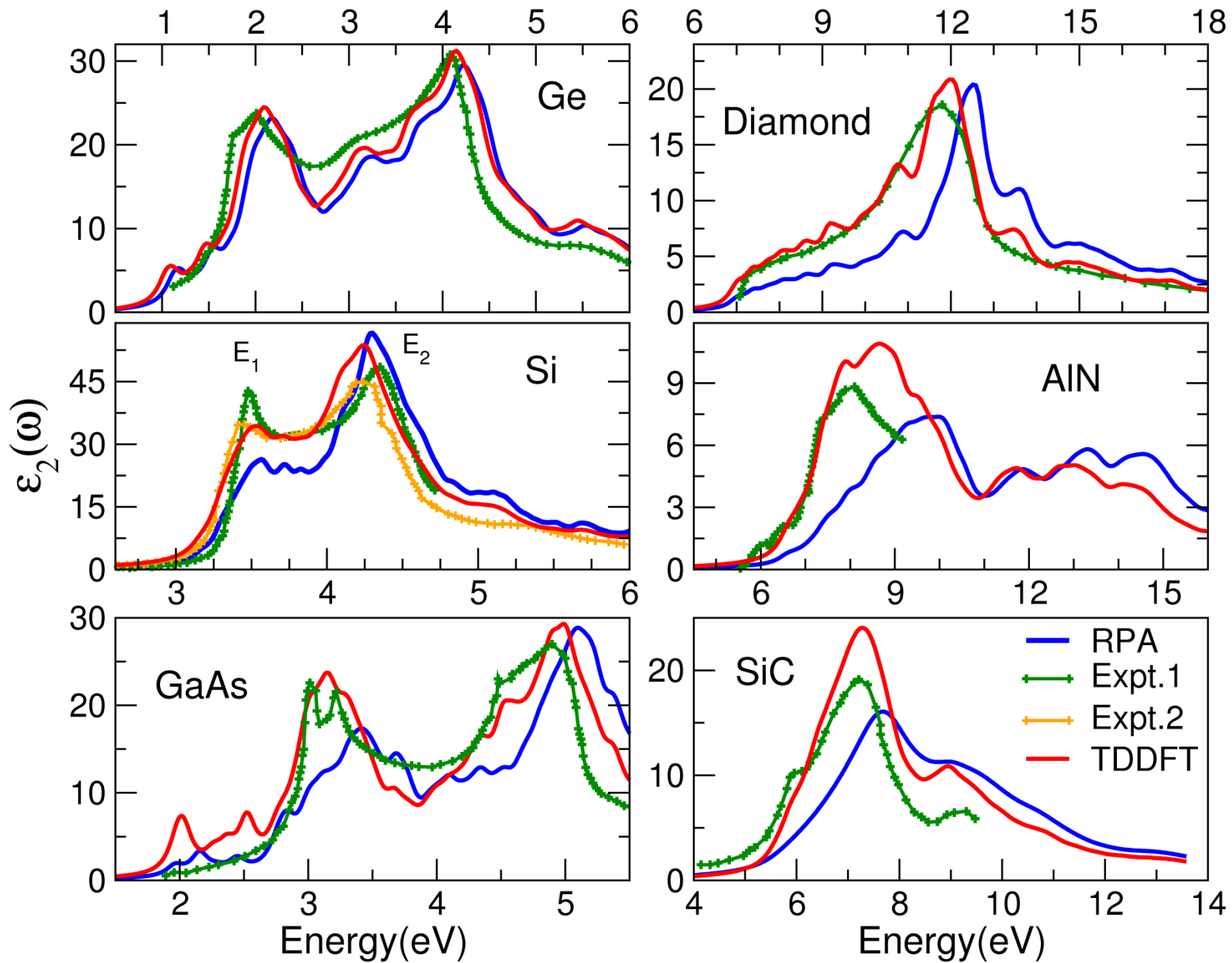
Bootstrap kernel

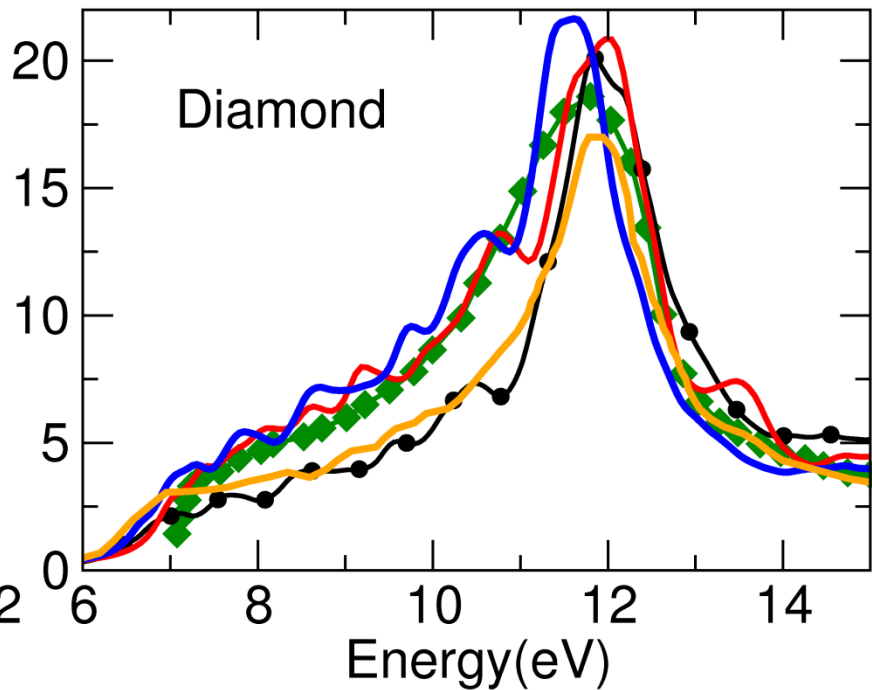
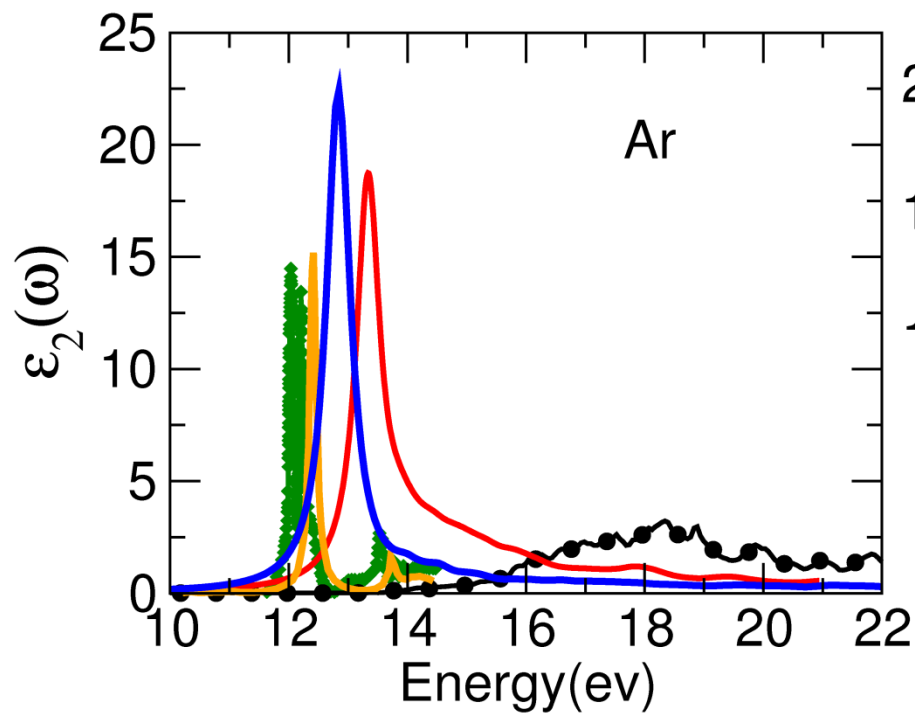
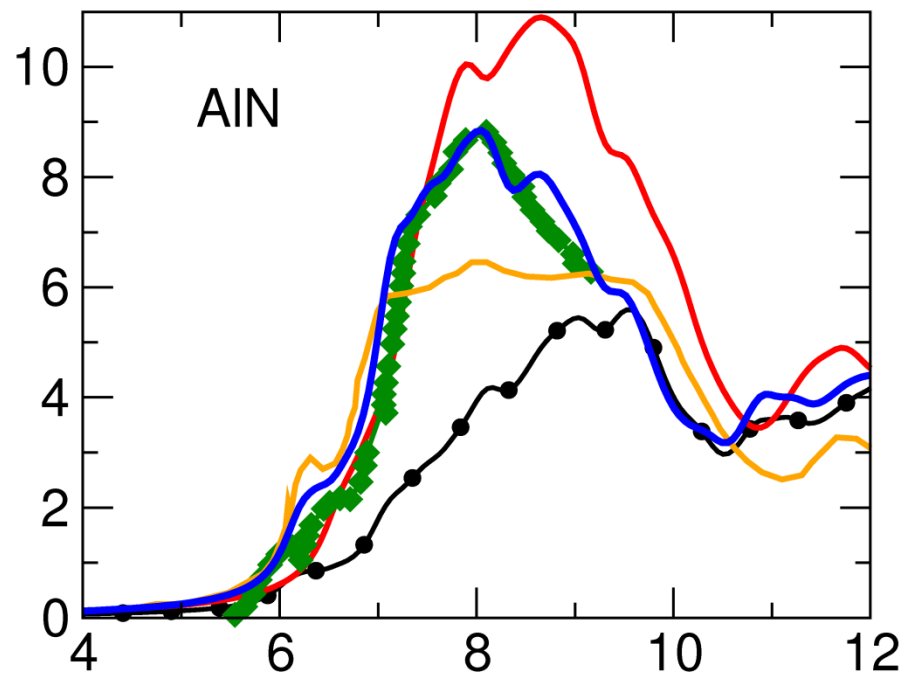
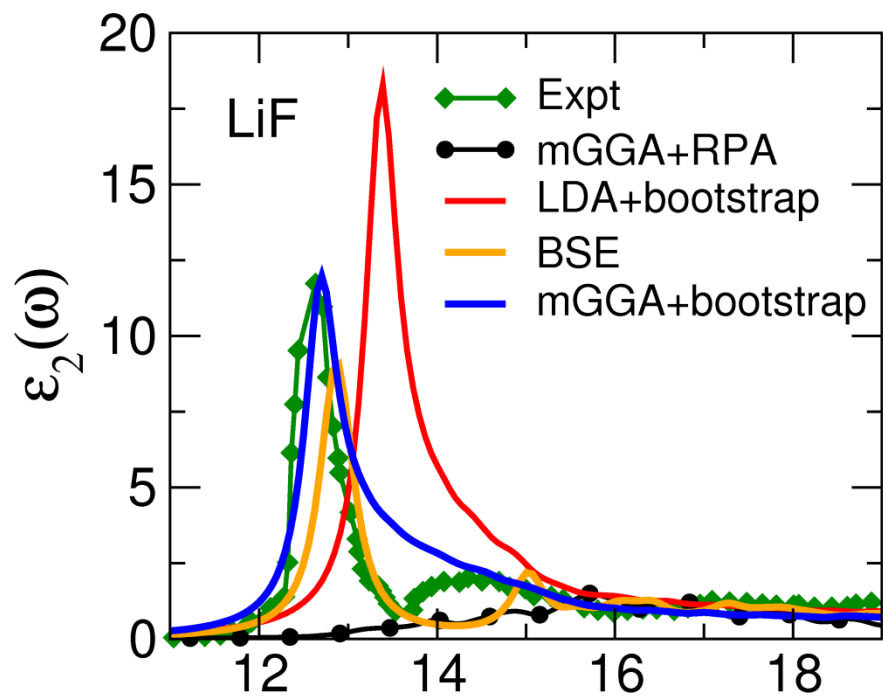
$$f_{xc}^{\text{boot}}(\mathbf{q}, \omega) = -\frac{\varepsilon^{-1}(\mathbf{q}, \omega = 0)v(\mathbf{q})}{\varepsilon_0^{00}(\mathbf{q}, \omega = 0) - 1} = \frac{\varepsilon^{-1}(\mathbf{q}, \omega = 0)}{\chi_0^{00}(\mathbf{q}, \omega = 0)}$$

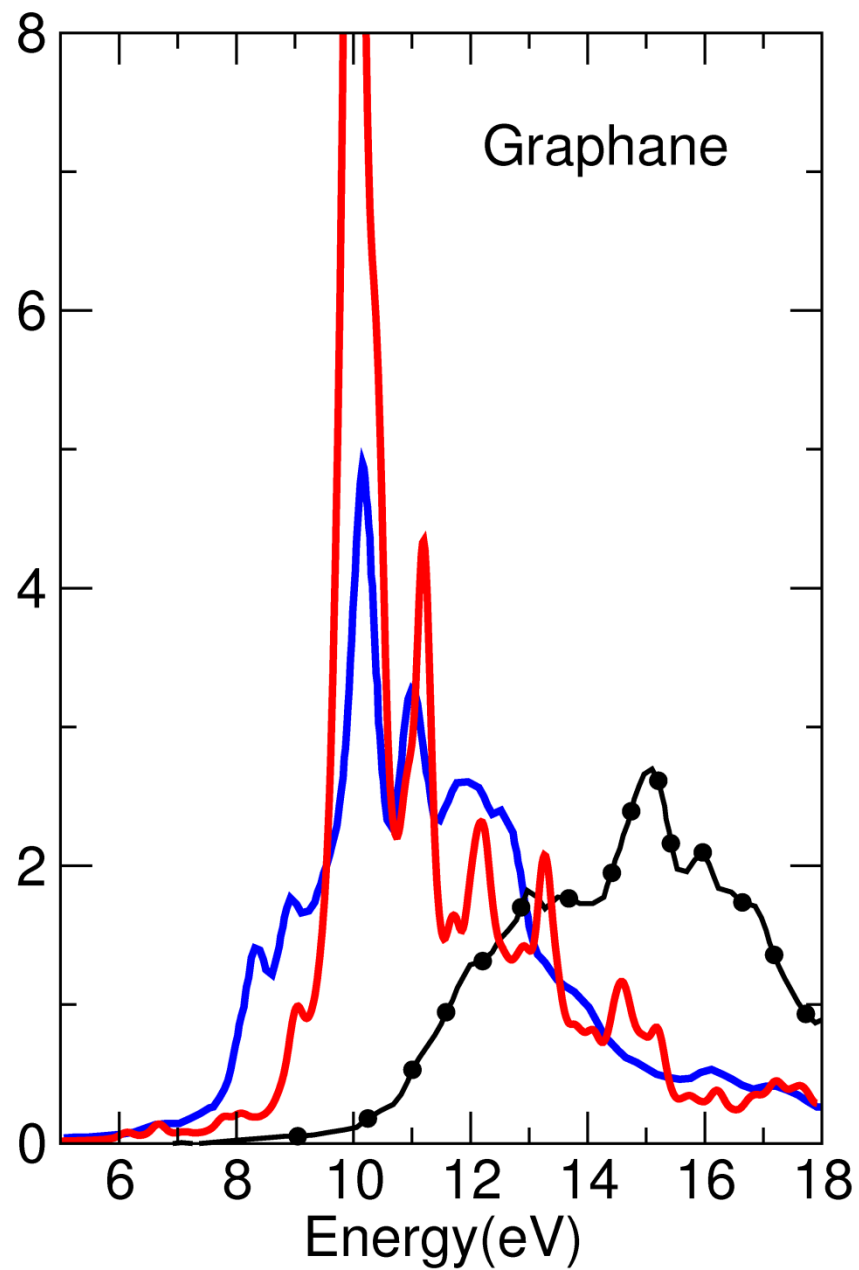
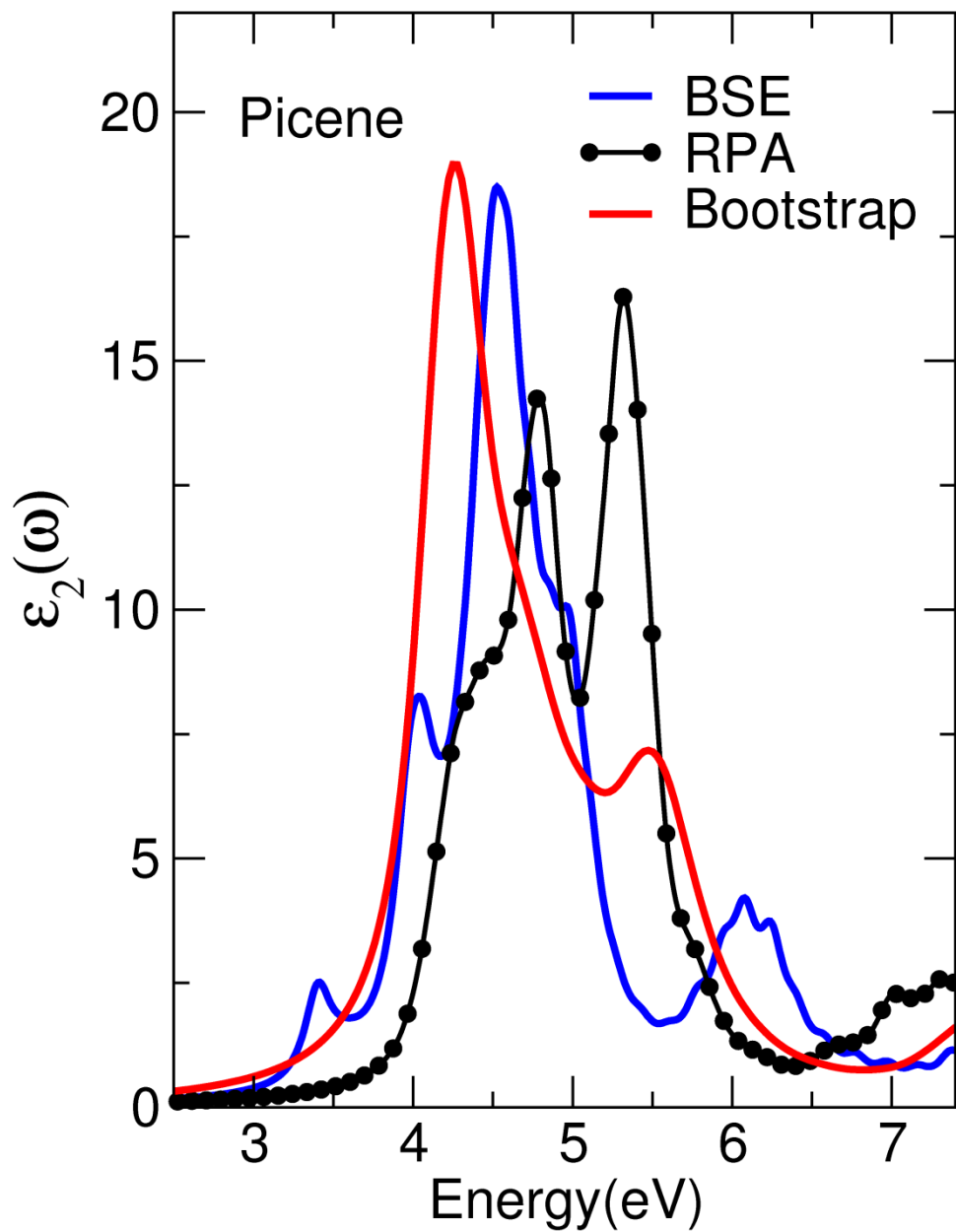
$$\varepsilon^{-1}(\mathbf{q}, \omega) = 1 + \chi_0(\mathbf{q}, \omega)v(\mathbf{q}) \left[1 - (v(\mathbf{q}) + f_{xc}(\mathbf{q}, \omega))\chi_0(\mathbf{q}, \omega) \right]^{-1}$$

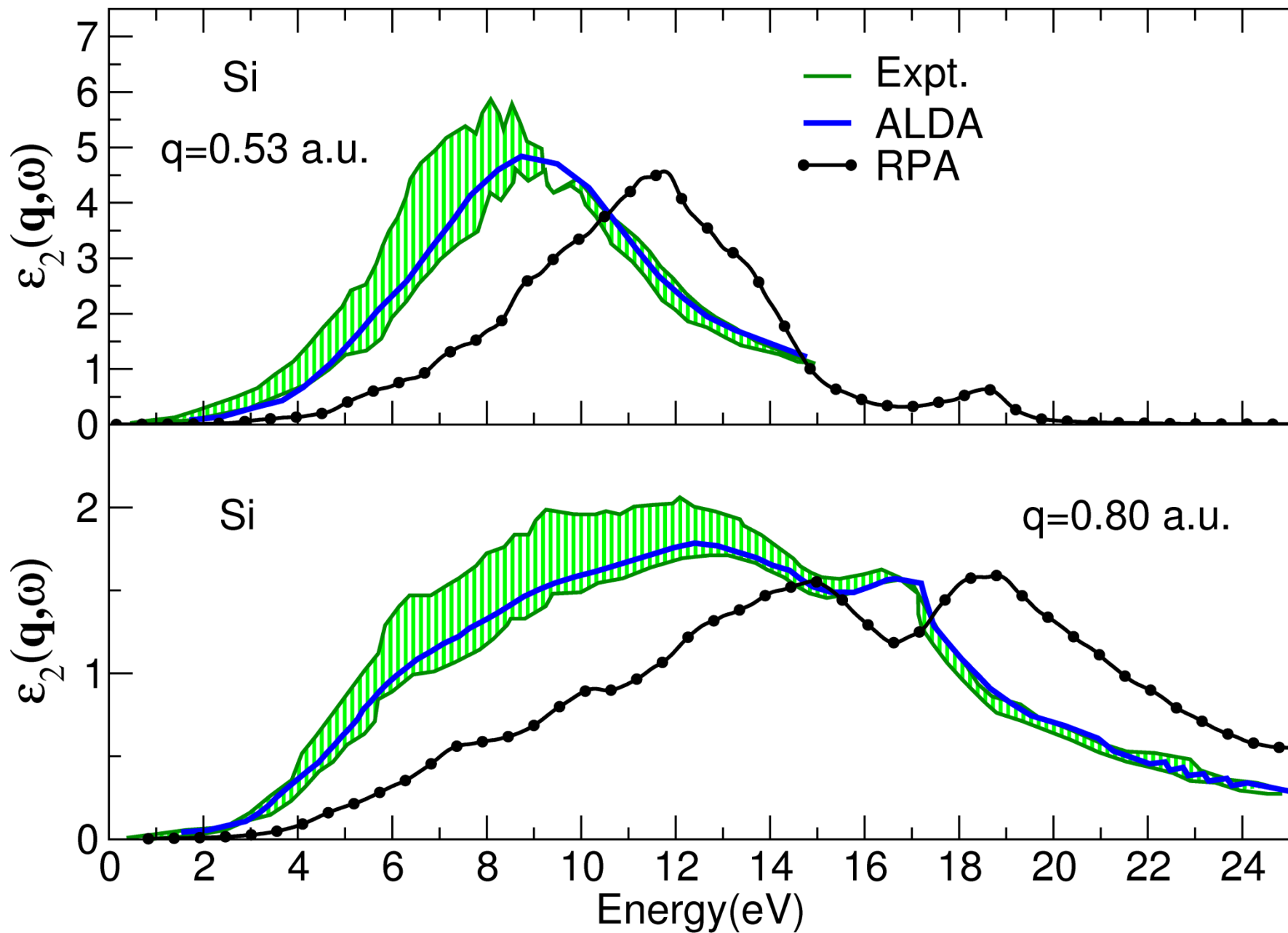
Sharma, Dewhurst, Sanna, EKUG, PRL **107**, 186401 (2011)

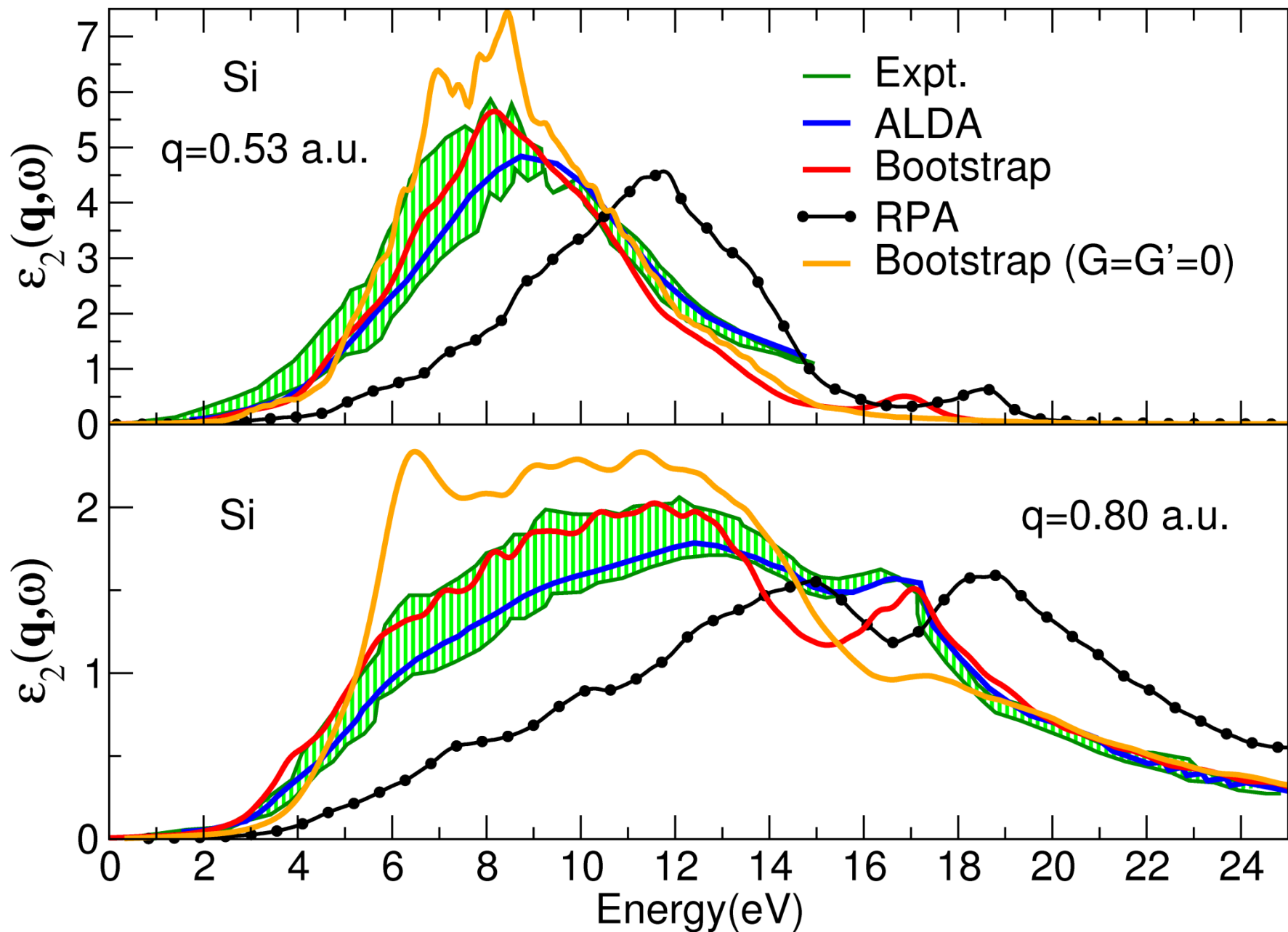


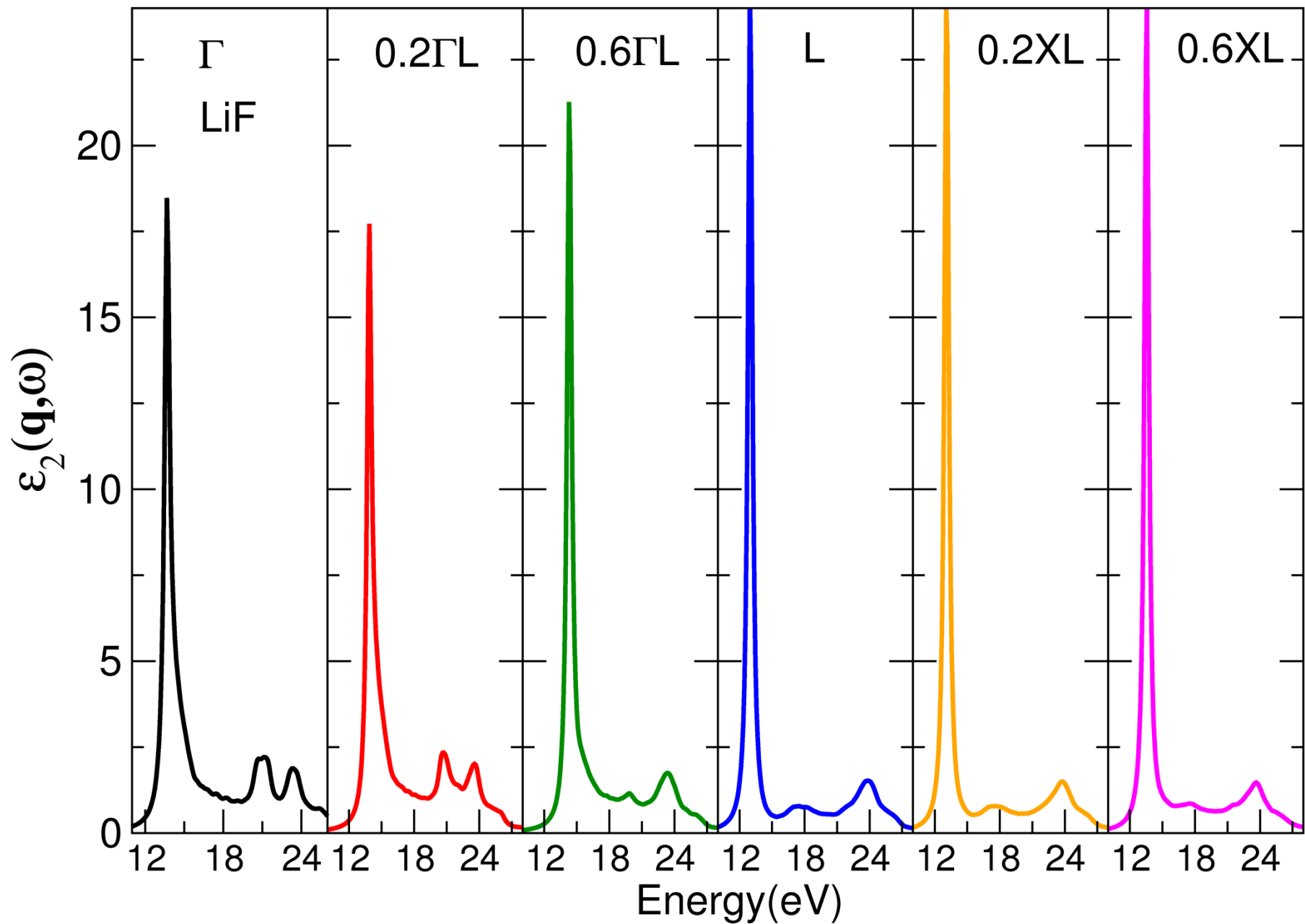




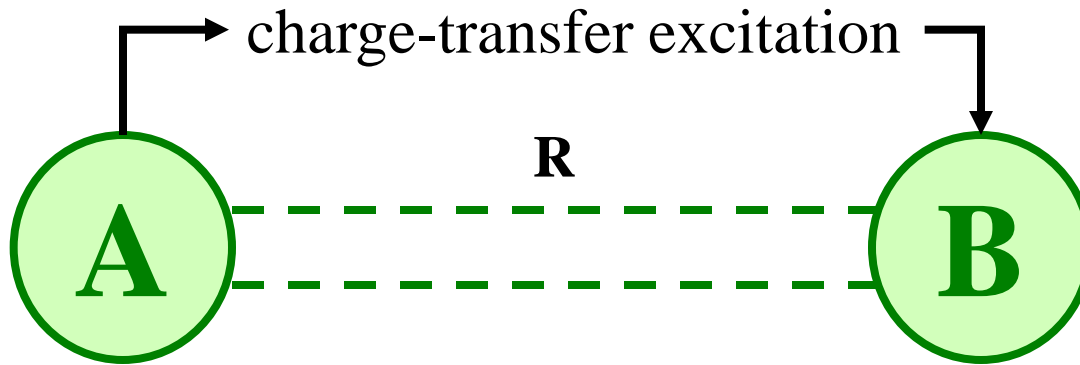








**Charge transfer excitations and
the discontinuity of f_{xc}**



CT excitation energy

$$\Omega_{\text{CT}} \approx \text{IP}^{(\text{A})} - \text{EA}^{(\text{B})} - \int d^3r \int d^3r' \frac{|\varphi_{\text{A}}(\mathbf{r})|^2 |\varphi_{\text{B}}(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|}$$

Infinite R

In exact DFT $\text{IP}^{(\text{A})} = -\epsilon_{\text{HOMO}}^{(\text{A})}$

$$\text{EA}^{(\text{B})} = -\epsilon_{\text{LUMO}}^{(\text{B})} - \Delta_{\text{xc}}^{(\text{B})} = -\epsilon_{\text{MOL}}^{(\text{B})}$$

derivative discontinuity

Finite (but large) R

$$= -\epsilon_{\text{MOL}}^{(\text{A})}$$

$$= -\epsilon_{\text{MOL}}^{(\text{B})}$$

$$\Omega_{\text{CT}} \approx \epsilon_{\text{MOL}}^{(\text{B})} - \epsilon_{\text{MOL}}^{(\text{A})} - \int d^3r \int d^3r' \frac{|\varphi_{\text{A}}(\mathbf{r})|^2 |\varphi_{\text{B}}(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|}$$

$$\Omega_{\text{CT}} \approx \epsilon_{\text{MOL}}^{(\text{B})} - \epsilon_{\text{MOL}}^{(\text{A})} - \int d^3\mathbf{r} \int d^3\mathbf{r}' \frac{|\varphi_{\text{A}}(\mathbf{r})|^2 |\varphi_{\text{B}}(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|}$$



$\sim 1/R$

$$\Omega_{\text{CT}} \approx \epsilon_{\text{MOL}}^{(\text{B})} - \epsilon_{\text{MOL}}^{(\text{A})} - \underbrace{\int d^3r \int d^3r' \frac{|\varphi_{\text{A}}(\mathbf{r})|^2 |\varphi_{\text{B}}(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|}}_{\sim 1/R}$$

In TDDFT (single-pole approximation)

$$\Omega_{\text{CT}} \approx \epsilon_{\text{MOL}}^{(\text{B})} - \epsilon_{\text{MOL}}^{(\text{A})} - \int d^3r \int d^3r' \varphi_{\text{A}}^*(\mathbf{r}') \varphi_{\text{B}}(\mathbf{r}') f_{\text{xc}}(\mathbf{r}, \mathbf{r}', \Omega_{\text{CT}}) \varphi_{\text{A}}(\mathbf{r}) \varphi_{\text{B}}^*(\mathbf{r})$$

$$\Omega_{\text{CT}} \approx \epsilon_{\text{MOL}}^{(\text{B})} - \epsilon_{\text{MOL}}^{(\text{A})} - \underbrace{\int d^3r \int d^3r' \frac{|\varphi_{\text{A}}(\mathbf{r})|^2 |\varphi_{\text{B}}(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|}}_{\sim 1/R}$$

In TDDFT (single-pole approximation)

$$\Omega_{\text{CT}} \approx \epsilon_{\text{MOL}}^{(\text{B})} - \epsilon_{\text{MOL}}^{(\text{A})} - \int d^3r \int d^3r' \underbrace{\varphi_{\text{A}}^*(\mathbf{r}') \varphi_{\text{B}}(\mathbf{r}')}_{\text{Exponentially small}} f_{\text{xc}}(\mathbf{r}, \mathbf{r}', \Omega_{\text{CT}}) \underbrace{\varphi_{\text{A}}(\mathbf{r}) \varphi_{\text{B}}^*(\mathbf{r})}_{\text{Exponentially small}}$$

$$\Omega_{\text{CT}} \approx \epsilon_{\text{MOL}}^{(\text{B})} - \epsilon_{\text{MOL}}^{(\text{A})} - \underbrace{\int d^3r \int d^3r' \frac{|\varphi_{\text{A}}(\mathbf{r})|^2 |\varphi_{\text{B}}(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|}}_{\sim 1/R}$$

In TDDFT (single-pole approximation)

$$\Omega_{\text{CT}} \approx \epsilon_{\text{MOL}}^{(\text{B})} - \epsilon_{\text{MOL}}^{(\text{A})} - \int d^3r \int d^3r' \underbrace{\varphi_{\text{A}}^*(\mathbf{r}') \varphi_{\text{B}}(\mathbf{r}')}_{\text{Exponentially small}} f_{\text{xc}}(\mathbf{r}, \mathbf{r}', \Omega_{\text{CT}}) \underbrace{\varphi_{\text{A}}(\mathbf{r}) \varphi_{\text{B}}^*(\mathbf{r})}_{\text{Exponentially small}}$$

CONCLUSIONS: To describe CT excitations correctly

- v_{xc} must have proper derivative discontinuities
- $f_{\text{xc}}(\mathbf{r}, \mathbf{r}')$ must increase exponentially as function of r and of r' for $\omega \rightarrow \Omega_{\text{CT}}$

Discontinuity of $v_{xc}(\mathbf{r})$:

$$v_{xc}^+(\mathbf{r}) = v_{xc}^-(\mathbf{r}) + \Delta_{xc}$$

Δ_{xc} is constant throughout space

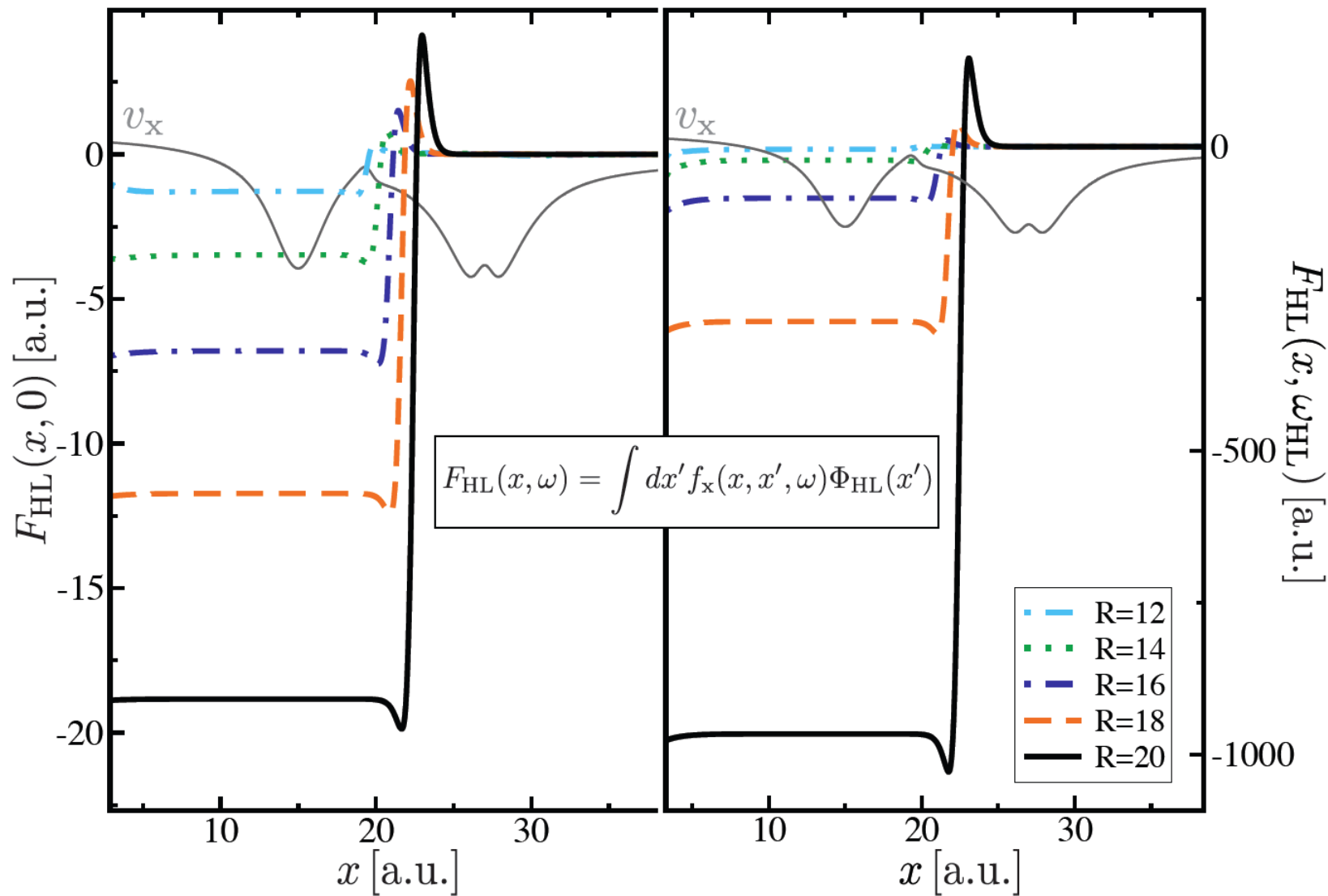
Discontinuity of $f_{xc}(\mathbf{r}, \mathbf{r}')$:

$$f_{xc}^+(\mathbf{r}, \mathbf{r}') = f_{xc}^-(\mathbf{r}, \mathbf{r}') + g_{xc}(\mathbf{r}) + g_{xc}(\mathbf{r}')$$

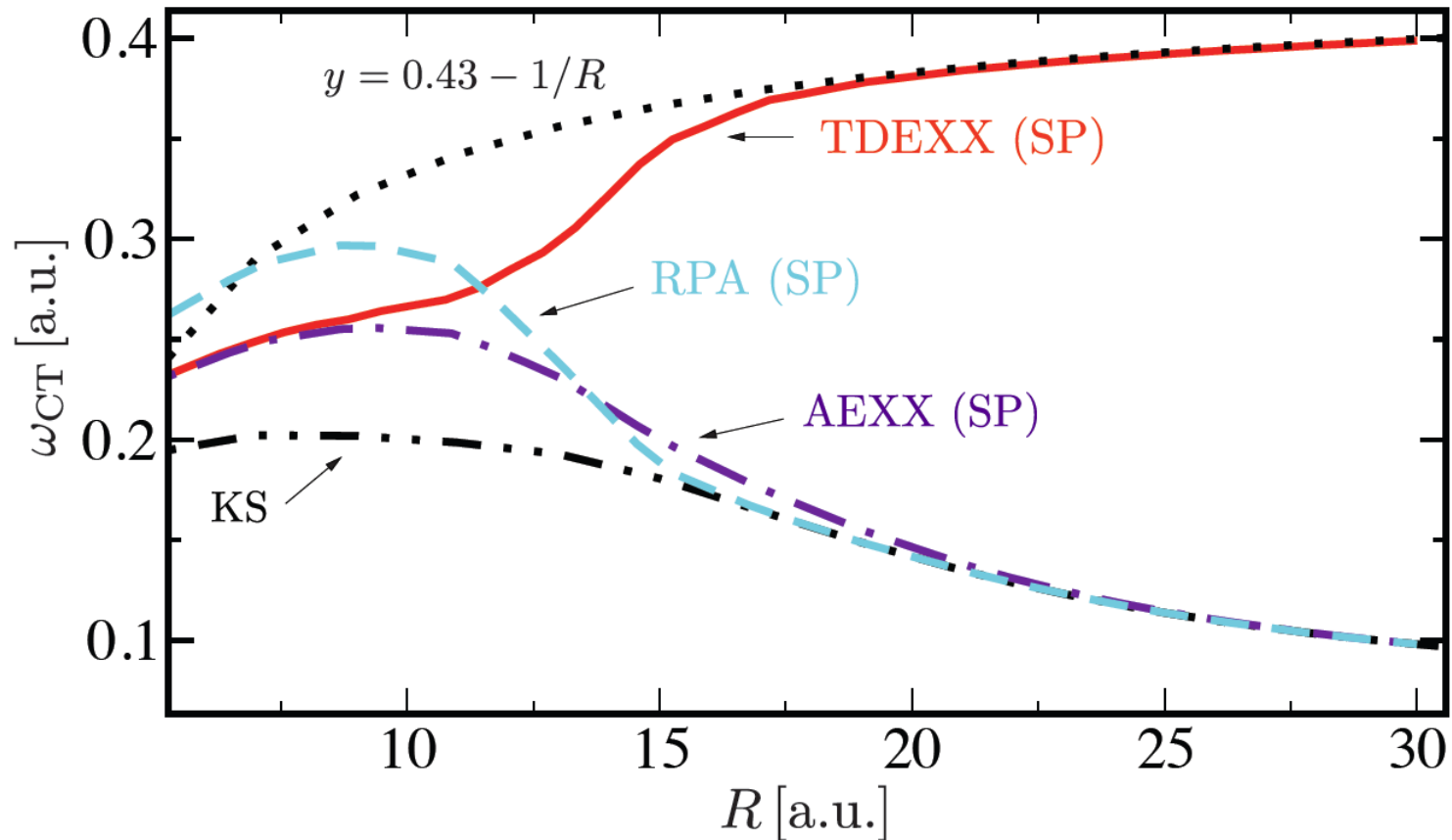
This exponentially increasing behaviour is achieved by the discontinuity:

$$g_{xc}(\mathbf{r}) \sim \frac{|\varphi_L(\mathbf{r})|}{n(\mathbf{r})} \sim e^{-2(\sqrt{2A_s} - \sqrt{I})r} \quad r \rightarrow \infty$$

He-Be neutral diatomic in 1D



He-Be neutral diatomic in 1D



$$\omega_{CT} = \omega_q + 2 \left\langle q \left| v + f_x(\omega_q) \right| q \right\rangle \rightarrow \omega_q + \Delta_x - 1/R$$

M. Hellgren, EKUG, PRA **85**, 022514 (2012)

Thanks!