

Recent progress on the first-principles analysis in heavy-electron systems

Hiroaki Ikeda (Kyoto University)



1. Hidden order in URu_2Si_2
2. Unconventional superconductivity in CeCu_2Si_2

Hidden order in URu_2Si_2



Collaborators

Michi-To Suzuki (CCSE JAEA)

Ryotaro Arita (University of Tokyo)

Testuya Takimoto (APCTP Pohang)

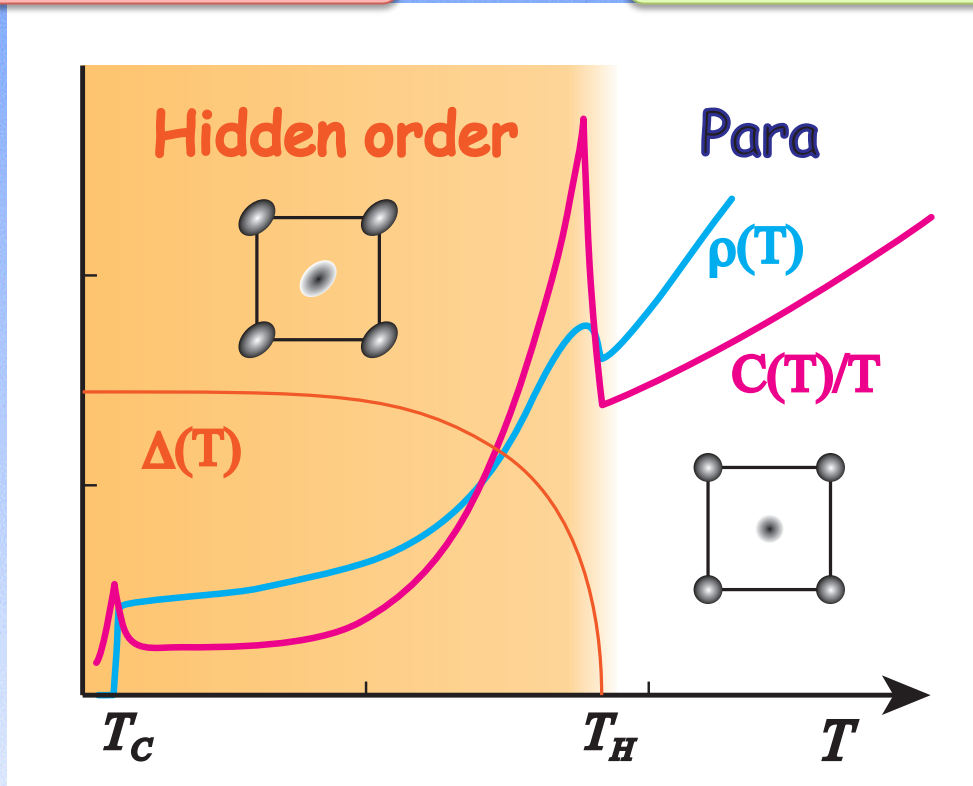
Takasada Shibauchi (Kyoto University)

Yuji Matsuda (Kyoto University)

URu₂Si₂ (Hidden Order)

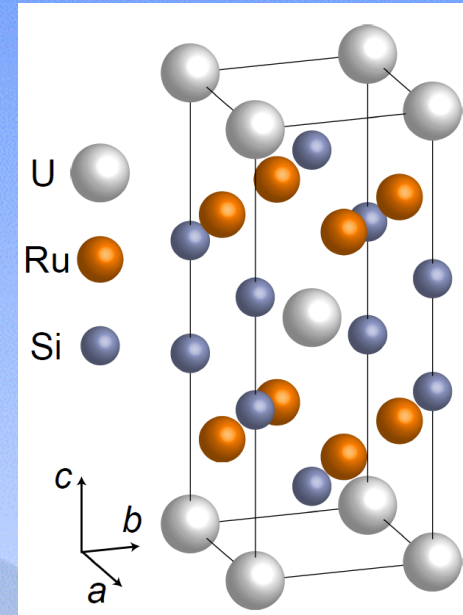
Specific heat

Resistivity



Superconducting transition

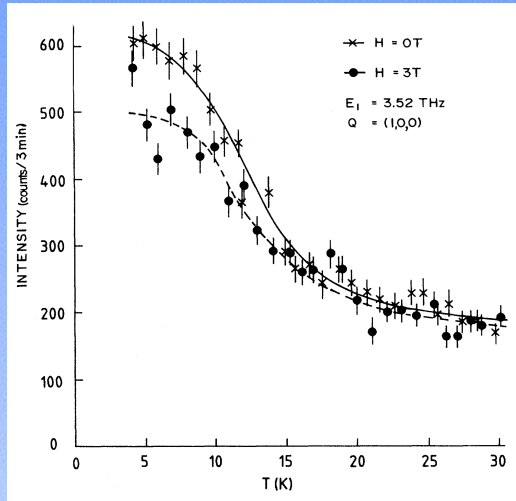
17.5K



T.T.M Palstra *et al.* PRL (1985)

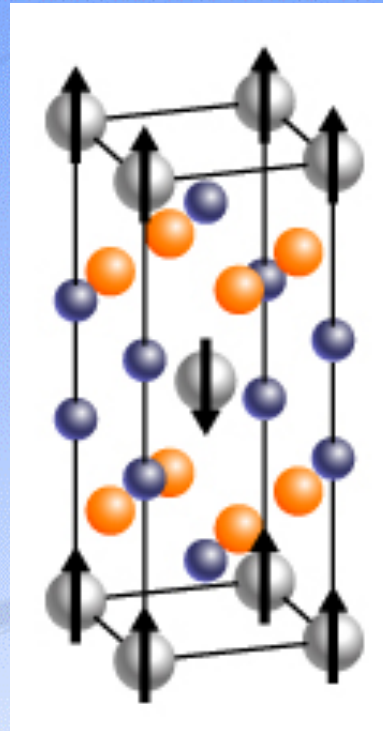
Clear phase transition

Anti-ferromagnetic state ?

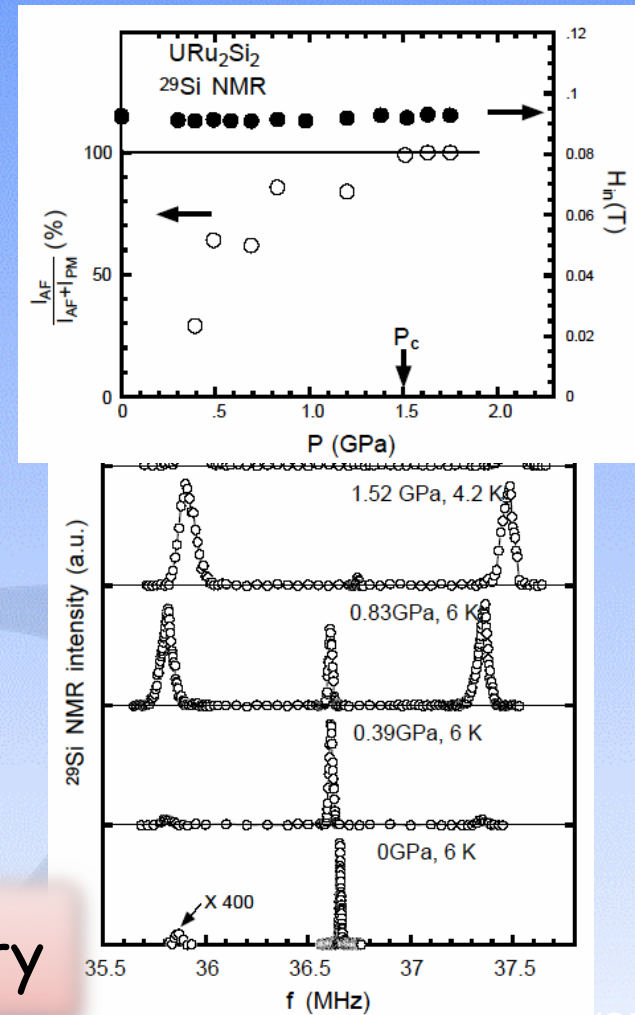


C. Broholm *et al.* PRB (1991)

Tiny moment
 $\sim 0.03\mu_B$

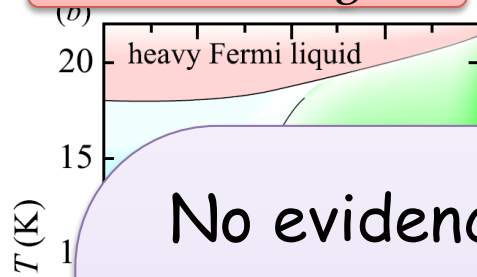


→ inhomogeneity

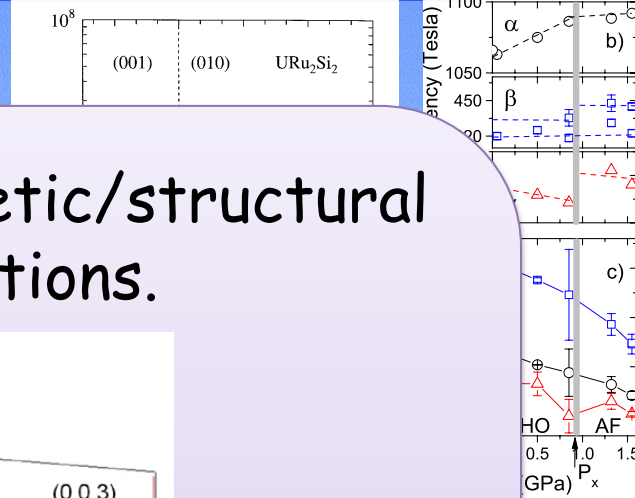


K. Matsuda *et al.* PRL (2001)

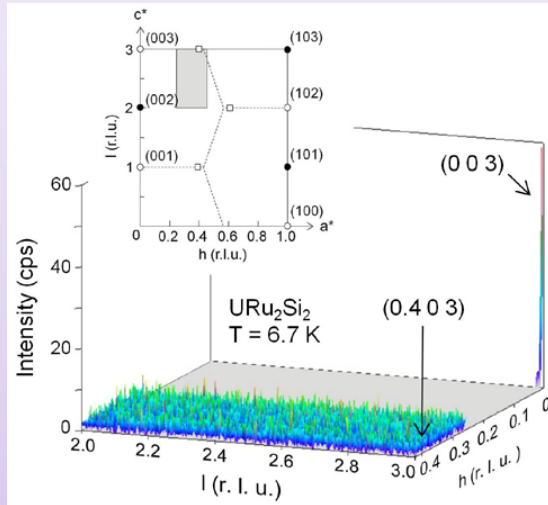
P-T Phase diagram



Quantum oscillations



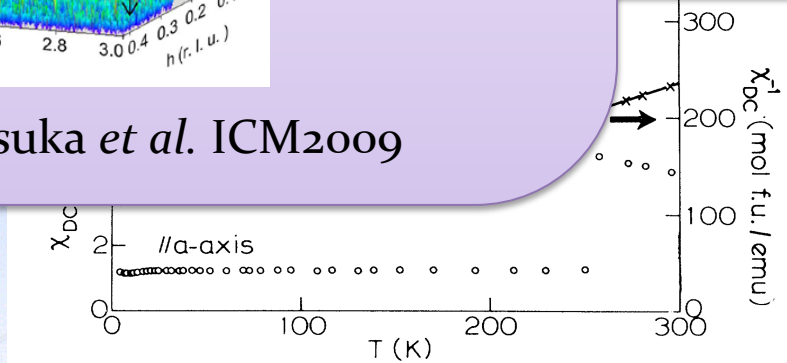
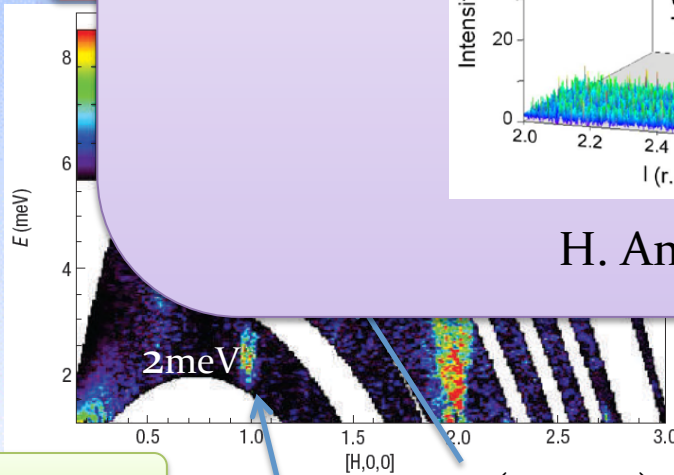
No evidence of magnetic/structural phase transitions.



Near degeneracy
 T_{HO} and T_N

anisotropy
and maximum
50 K

H. Amitsuka *et al.* ICM2009

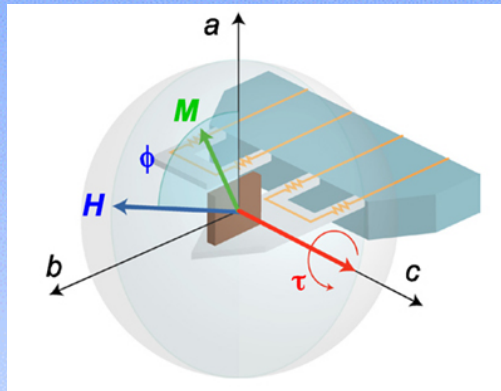


Inelastic peaks

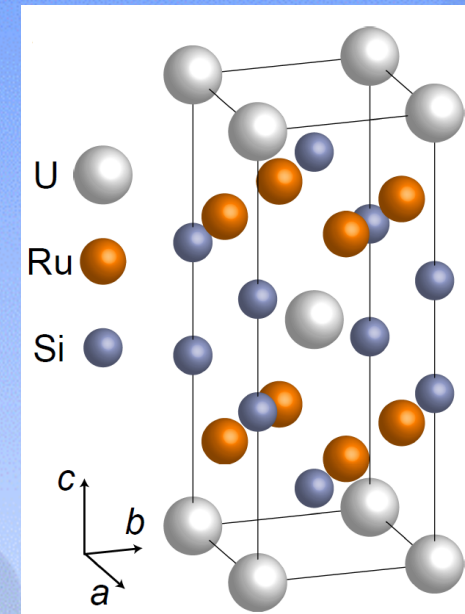
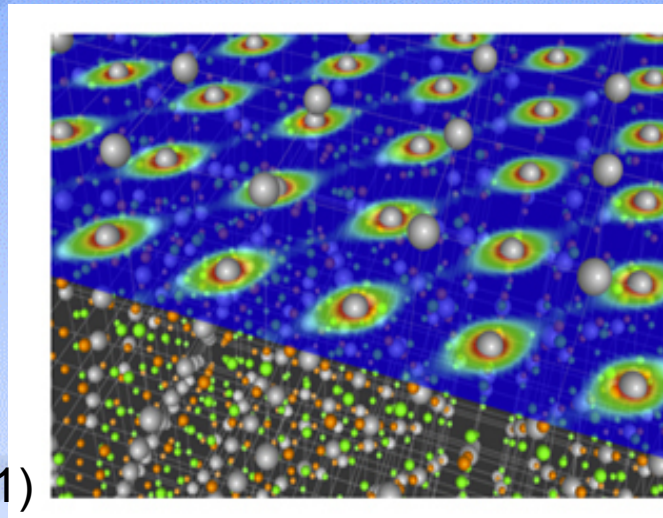
$Q_0 = (1\ 0\ 0)$
 $Q_1 = (1.4\ 0\ 0)$

Nematic Electronic State

The magnetic-torque measurement indicates that the in-plane four-fold symmetry is broken.



R.Okazaki et al.
Science 331, 439 (2011)



Cyclotron resonance

S.Tonegawa et al.
PRL 109, 036401(2012)

Possible candidates are restricted.

Thalmeier & Takimoto
PRB 83, 165110(2011)

Proposed theoretical models

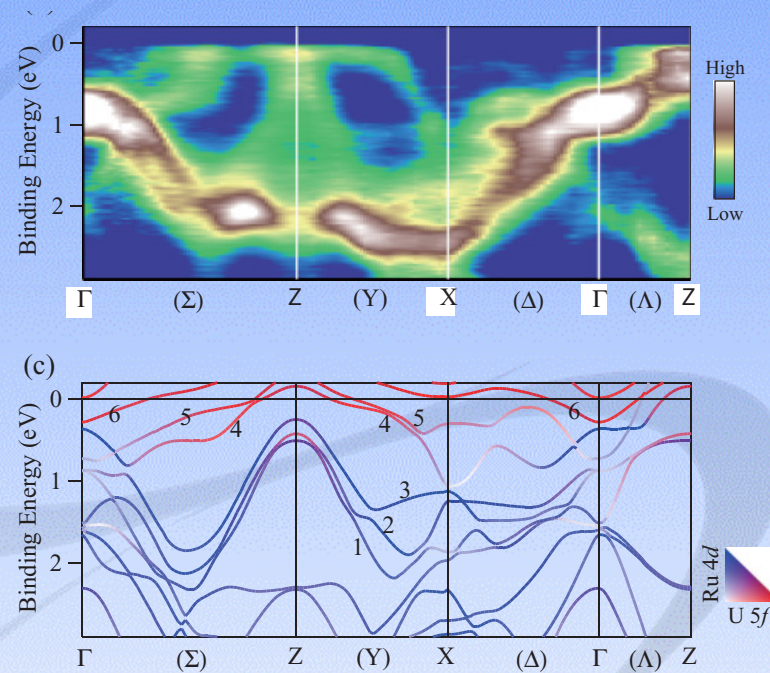
- Double- or triple-spin correlator V.Barzykin and L.P.Gor'kov, PRL (93)
- Quadrupole (Rank 2) P.Santini and G.Amoretti, PRL (94)
H.Harima, K.Miyake, and J.Flouquet, JPSJ (10)
- Octupole (Rank 3) A.Kiss and P.Fazekas, PRB (05)
- Hexadecapole (Rank 4) K.Haule and G.Kotliar, Nature Phys. (09)
H.Kusunose and H.Harima JPSJ(11)
- Dotriacontapole (Rank 5) F.Cricchio *et al.* PRL (09)
- Spin Density Wave V.P.Mineev and M.E Zitomirsky, PRB (01)
- Unconventional SDW H.I and Y.Ohashi, PRL (98)
- d-density wave A.Virosztek, *et al.* Int. J. Mod. Phys. (02)
- Orbital antiferromagnetism P.Chandra *et al.* Nature (02)
- Helicity order C.M. Varma and L.Zhu, PRL (06)
- Dual model A.E.Sikkema, *et al.* PRB (96)
Y.Okuno and K.Miyake, JPSJ (98)
- Spin Nematic S.Fujimoto PRL (10)
- Hybridization wave Y.Dubi and A.V.Balatzky PRL (11)
- Modulated spin liquid C.Pepin *et al.* PRL (11)
- Hastic order P.Chandra, P.Coleman, R.Flint, arXiv (12)

Importance of quantitative analysis

Itinerant f electrons

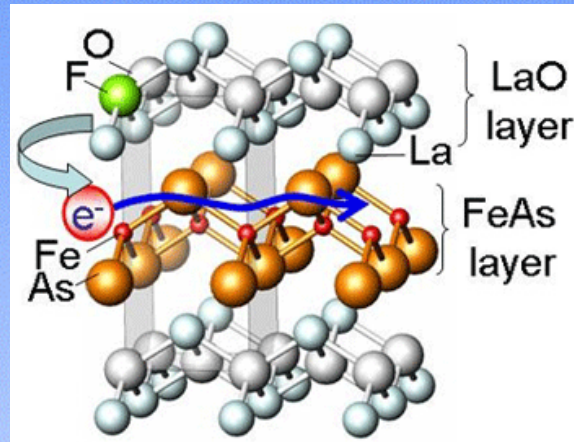
ARPES in paramagnetic phase

Kawasaki *et al.* PRB (2011)

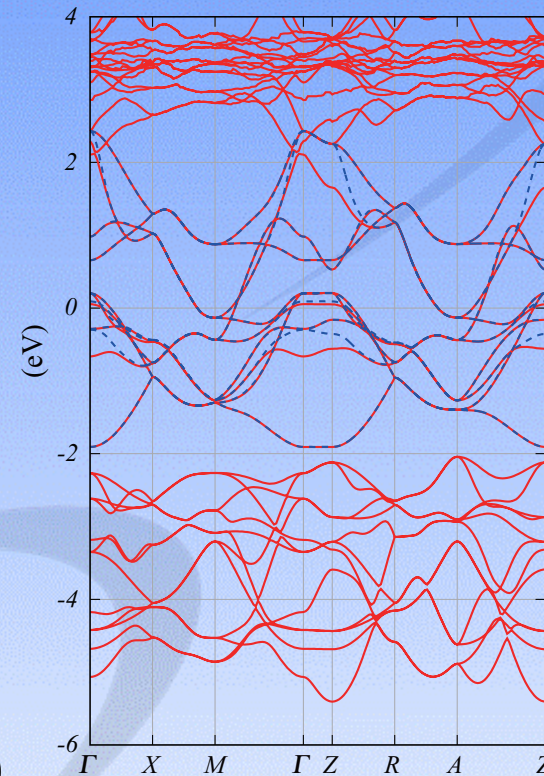


Importance of study based on the first-principles approach

Application to iron-based superconductors



LaFeAsO



WIEN2k+wien2wannier+wannier90

P.Blaha *et al.* WIEN2k (01)

J.Kunes, *et al.* Comput. Phys. Commun. (10)

N.Marzari and D.Vanderbilt, PRB (97), *ibid.* (01)

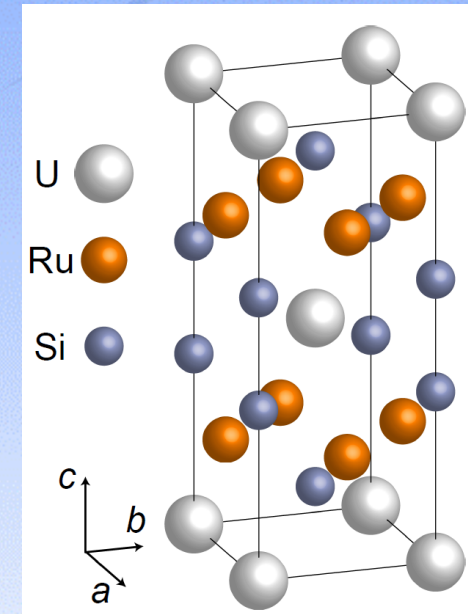
The obtained model Hamiltonian gives us a good starting point, and can describe material dependence of physical properties

Nature Physics 8, 528 (2012)

Emergent rank-5 nematic order in URu_2Si_2

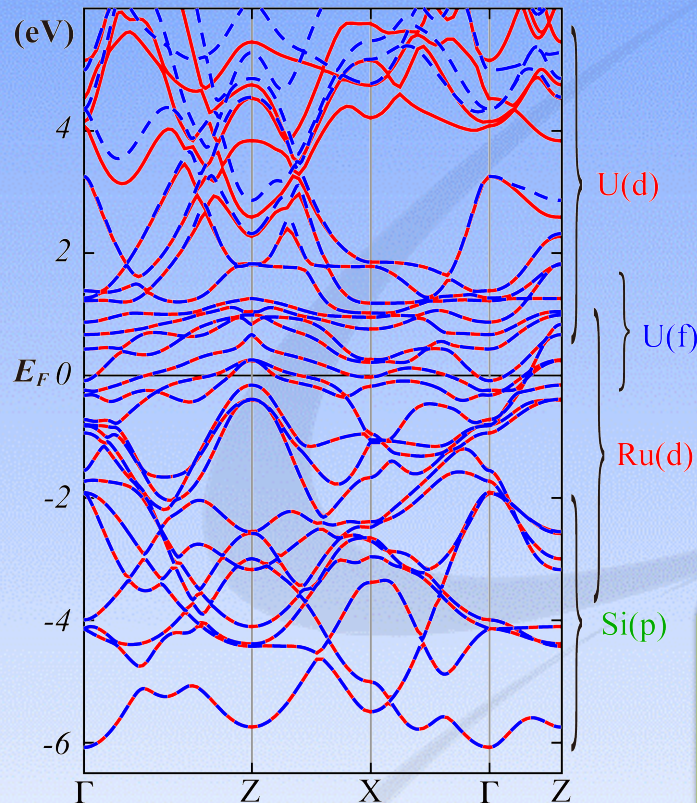
Hiroaki Ikeda^{1*}, Michi-To Suzuki², Ryotaro Arita³, Tetsuya Takimoto⁴, Takasada Shibauchi¹
and Yuji Matsuda¹

- ✓ Construction of realistic itinerant model in URu_2Si_2 based on the first-principles calculations
- ✓ The first report of a complete set of multipole density wave correlations
- ✓ **Hidden Order parameter : AF Rank-5 (dotriacontapole) state with E^- irreducible representation (breaking fourfold symmetry and time-reversal symmetry)**



Model Hamiltonian in URu₂Si₂

Band structure



$$H=H_0+H'$$

H is 56 band Anderson lattice model including spin-orbit coupling.

H' is the on-site Coulomb repulsions in the LS basis.

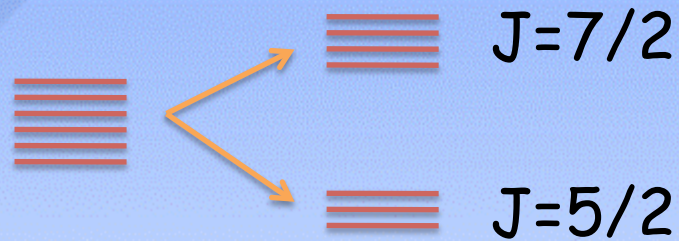
$$\begin{aligned}
 H' = & \frac{U}{2} \sum_{il} \sum_{\sigma} f_{il\sigma}^{\dagger} f_{il\bar{\sigma}}^{\dagger} f_{il\bar{\sigma}} f_{il\sigma} \\
 & + \frac{U'}{2} \sum_{il \neq m} \sum_{\sigma\sigma'} f_{il\sigma}^{\dagger} f_{im\sigma'}^{\dagger} f_{im\sigma'} f_{il\sigma} \\
 & + \frac{J}{2} \sum_{il \neq m} \sum_{\sigma\sigma'} f_{il\sigma}^{\dagger} f_{im\sigma'}^{\dagger} f_{il\sigma'} f_{im\sigma} \\
 & + \frac{J'}{2} \sum_{il \neq m} \sum_{\sigma} f_{il\sigma}^{\dagger} f_{il\bar{\sigma}}^{\dagger} f_{im\bar{\sigma}} f_{im\sigma},
 \end{aligned}$$

We unveil the missing link beyond simple consideration of band structure in URu₂Si₂, based on RPA analysis in the itinerant picture and beyond.

The Key Ingredients

entangled spin and f-orbital degrees of freedom

$$S=1/2 \text{ and } L=3 \rightarrow J=L+S$$

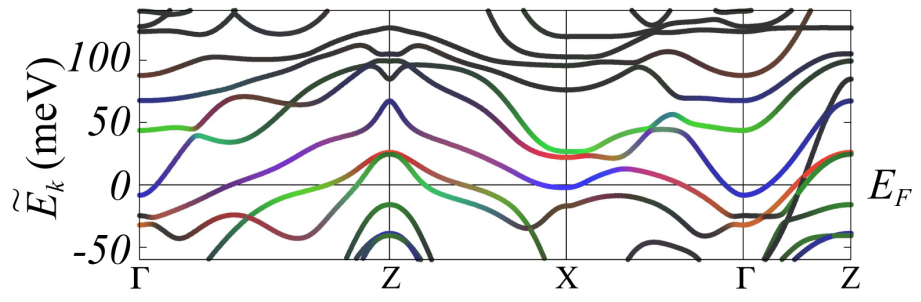
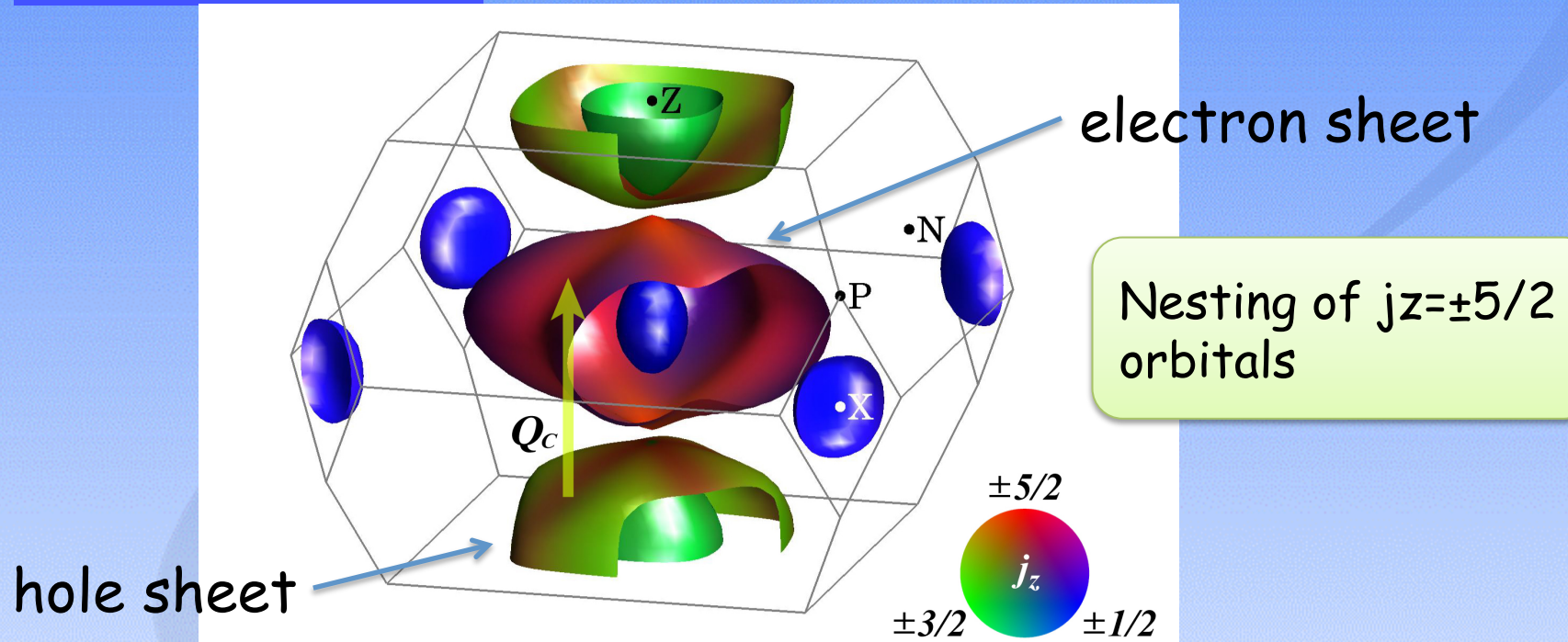


f^1 in Ce

f^2 or f^3 in U

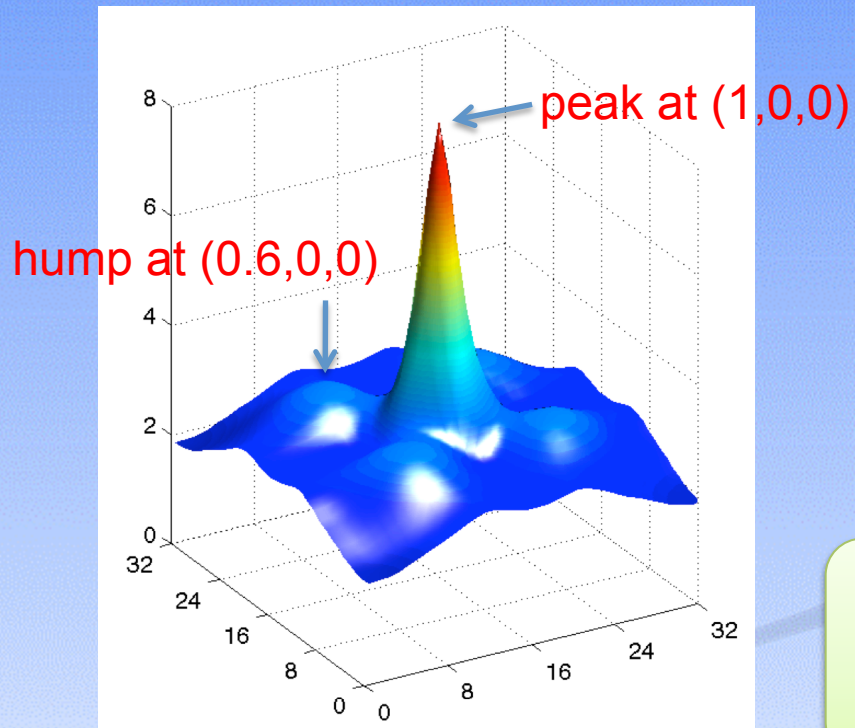
Near the Fermi level is dominated by this $J=5/2$ components

J-Resolved Fermi surface and Nesting

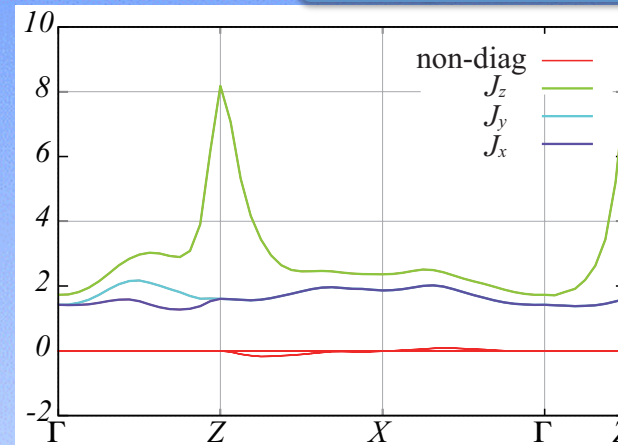


Z (1 0 0) and (0 0 1) : equivalent points in the body-centered tetragonal structures.

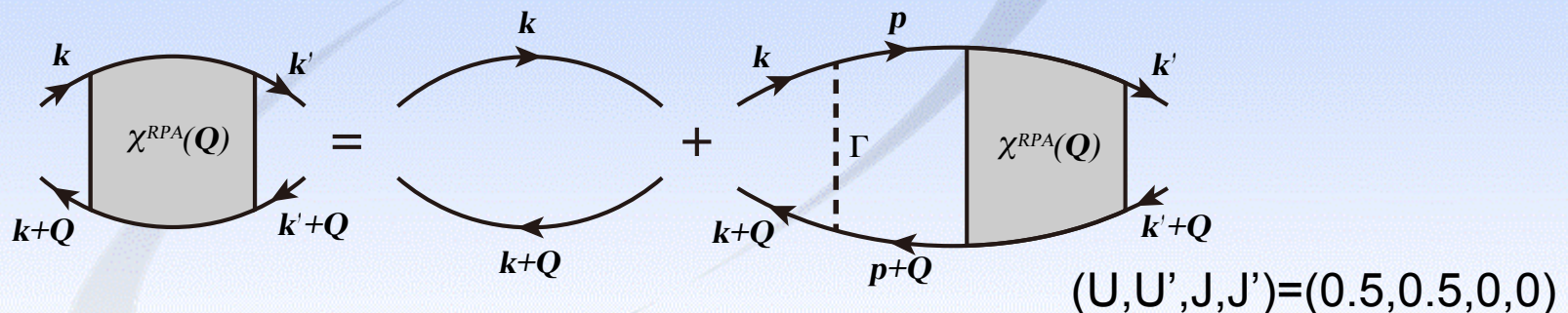
Magnetic correlations



Ising anisotropy



Peak at (1 0 0) and hump at (0.6 0 0) in $\chi_{zz}(Q)$ can be explained by the nesting property.



Possible order parameters

$$\hat{Q} = f_{il}^\dagger Q_{lm} f_{im}$$

$$m=5/2, 3/2, 1/2, -1/2, -3/2, -5/2$$

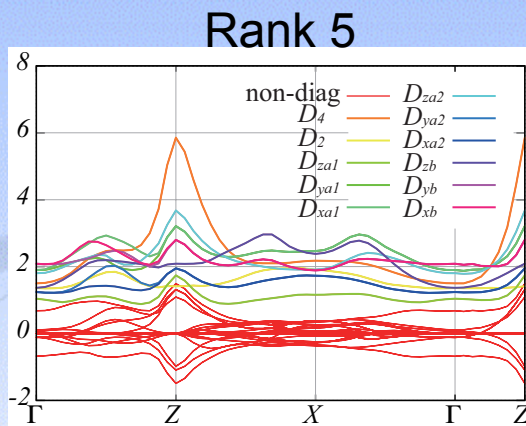
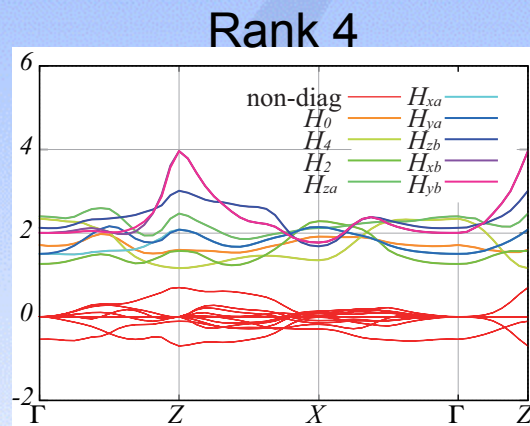
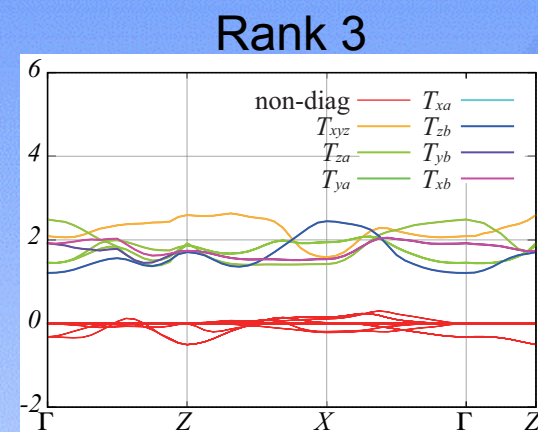
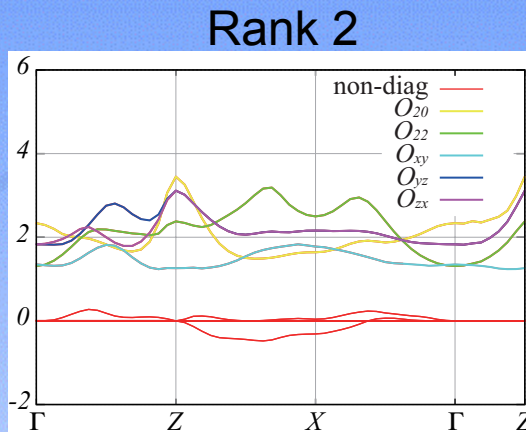
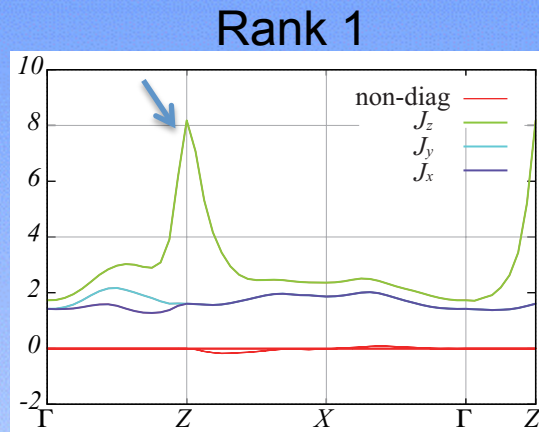
Multipole degrees of freedom

$$6 \times 6 = 36 \text{ components}$$

Group theory $\rightarrow 36 = 1 + 3 + 5 + 7 + 9 + 11$ (rank 0 - 5)

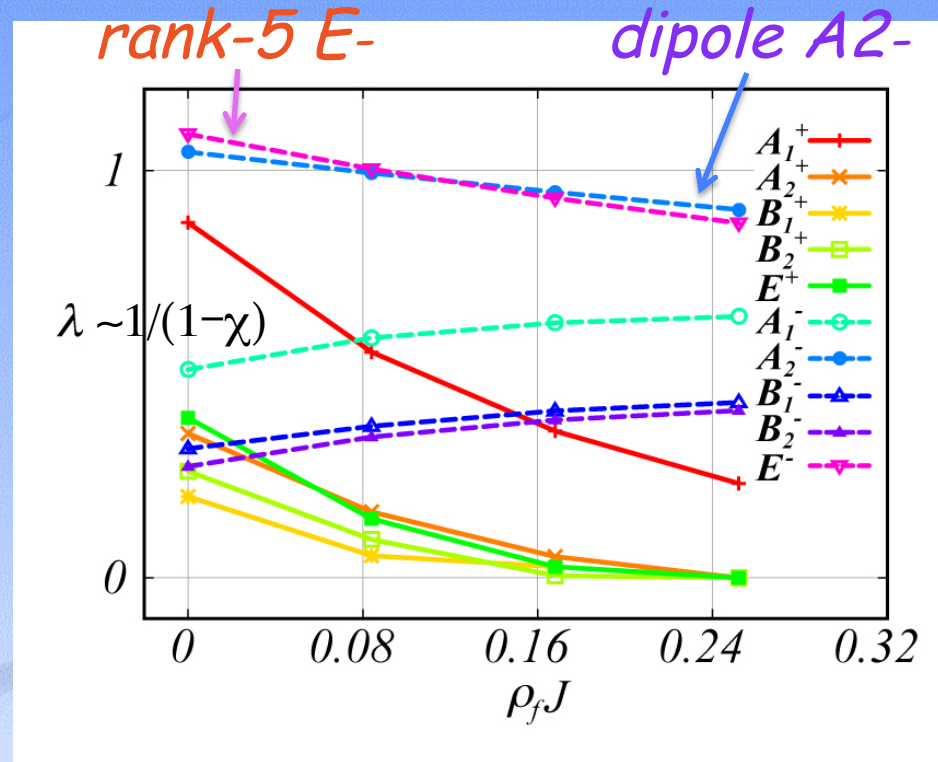
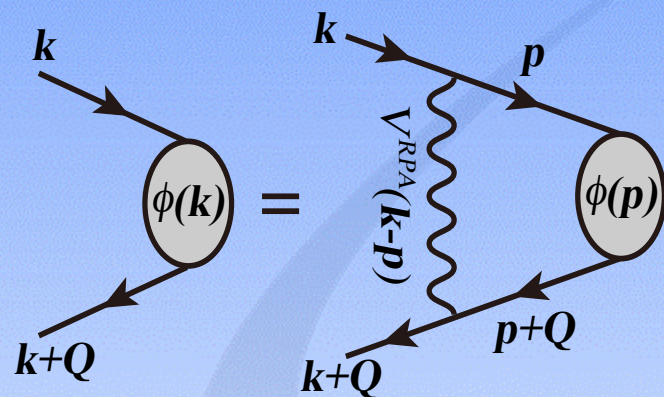
J_x --- dipole (rank 1)
 $J_x J_y$ --- quadrupole (rank 2)
 $J_x J_y J_z$ --- octupole (rank 3)

Multipolar correlations



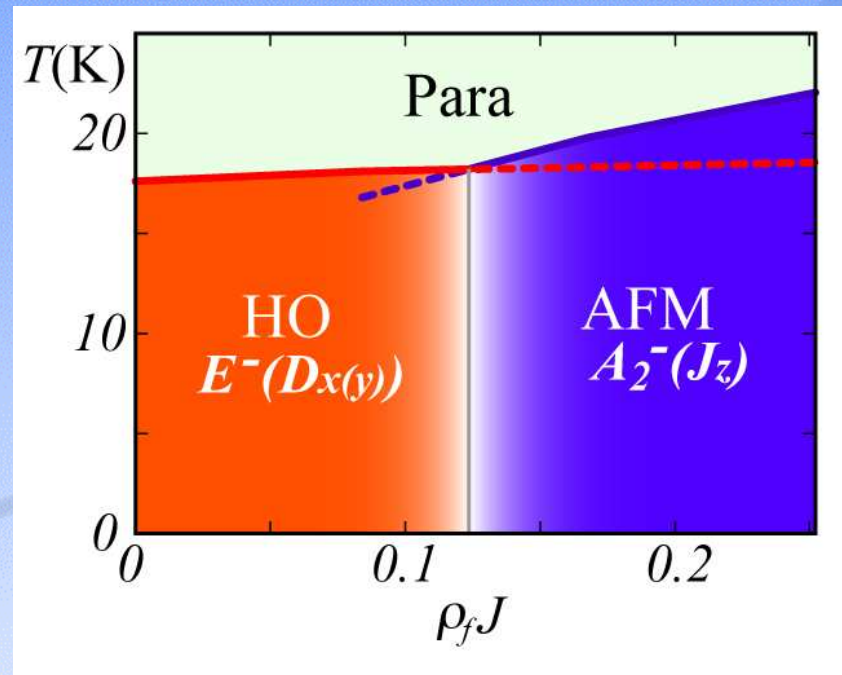
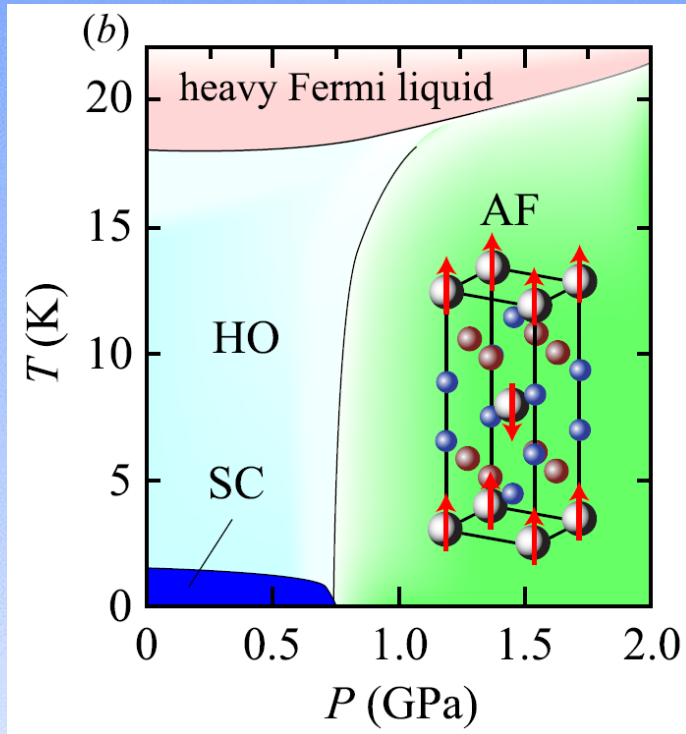
- Peak-hump structure at Q_C and Q_{IC}
- Some peaks except for Rank 1 correspond to candidates for the HO parameter

Beyond RPA



Staggered electron-hole pairing mediated by the RPA multipole fluctuations
 → E^- and $A2^-$ states are *nearly degenerate*.

Phase Diagrams

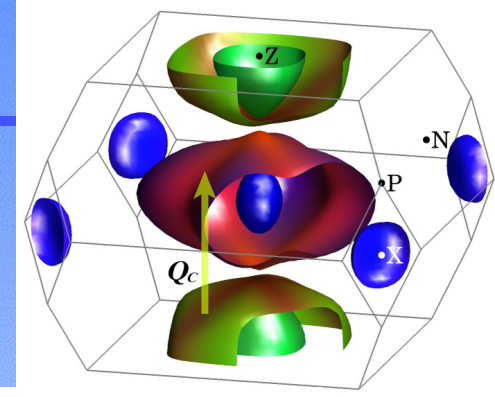


The hidden order parameter is the *rank-5 E^-* , which is compatible with the *nematicity*.

What is Rank-5 E⁻ ?

Crucially important is the nesting of $\pm 5/2$ components !

Consider $\pm 5/2$ as pseudospins (\uparrow, \downarrow)



$$D_x = \begin{pmatrix} & 0.02 & 0.11 & & 0.65 \\ 0.02 & & -0.08 & -0.08 & \\ & -0.08 & & 0.11 & 0.11 \\ 0.11 & 0.11 & & -0.08 & \\ & -0.18 & -0.08 & & 0.02 \\ 0.65 & & 0.11 & 0.02 & \end{pmatrix}$$

$$D_y = i \begin{pmatrix} & -0.02 & 0.11 & & -0.65 \\ 0.02 & & 0.08 & -0.08 & \\ & -0.08 & & -0.11 & 0.11 \\ -0.11 & 0.11 & & 0.08 & \\ & 0.18 & -0.08 & & -0.02 \\ 0.65 & & -0.11 & 0.02 & \end{pmatrix}$$

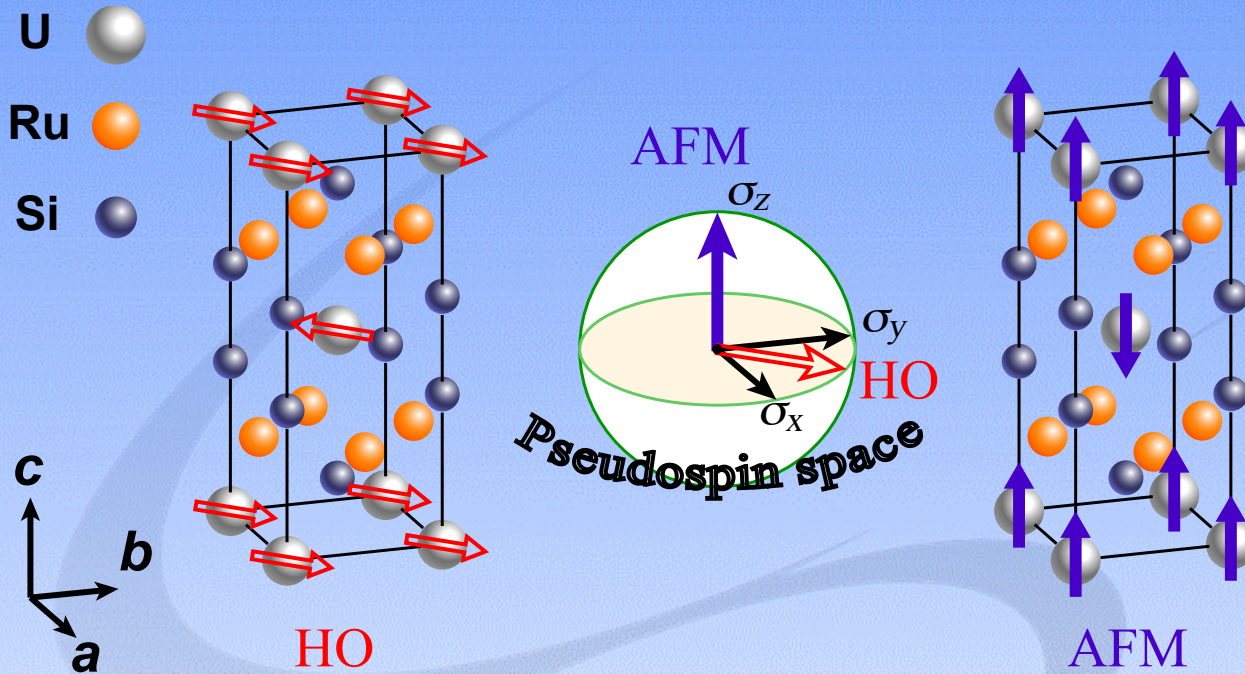
$$J_z = \begin{pmatrix} \frac{5}{2} & & & & \\ & \frac{3}{2} & & & \\ & & \frac{1}{2} & & \\ & & & -\frac{1}{2} & \\ & & & & -\frac{3}{2} \\ & & & & & -\frac{5}{2} \end{pmatrix}$$

$$D_x \rightarrow \sigma_x$$

$$D_y \rightarrow \sigma_y$$

$$J_z \rightarrow \sigma_z$$

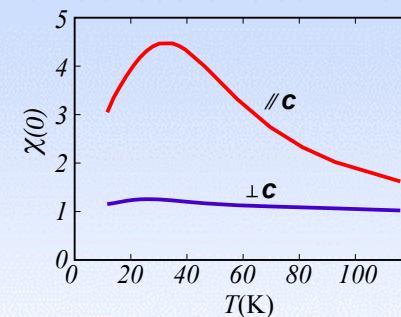
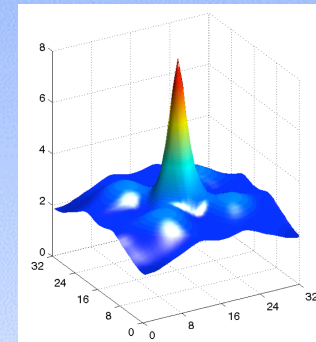
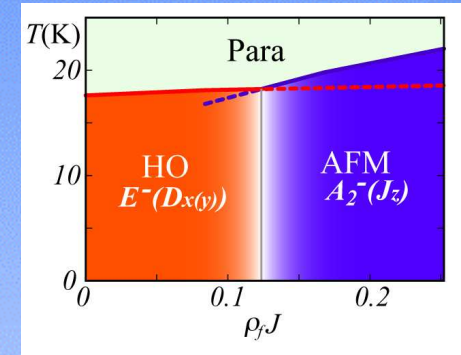
Flip Transition



HO \rightarrow AFM : *the in-plane \rightarrow [001] Neel ordering in the pseudospin space*

Concluding Remarks

- Near-degenerate T_{HO} and T_N ?
Yes!
- Ising anisotropy?
Yes!
- Inelastic magnetic excitations at $Q_0=(1\ 0\ 0)$ and $Q_I=(1.4\ 0\ 0)$?
Yes!
- No evidence of drastic change in the Fermi surface?
Yes!
- No evidence of low-rank multipole order?
Yes! Rank 5
- Nematic behavior in in-plane magnetic susceptibility?
Yes! E-



Unconventional superconductivity in CeCu_2Si_2



Collaborators

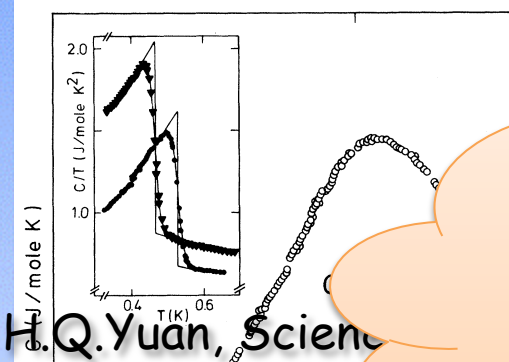
Michi-To Suzuki (CCSE JAEA)

Ryotaro Arita (University of Tokyo)

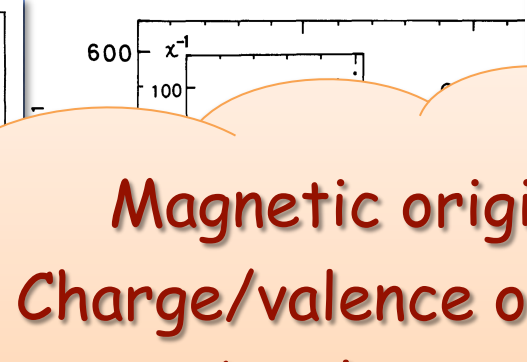
Introduction

A.T.Holmes, PRB(2004)

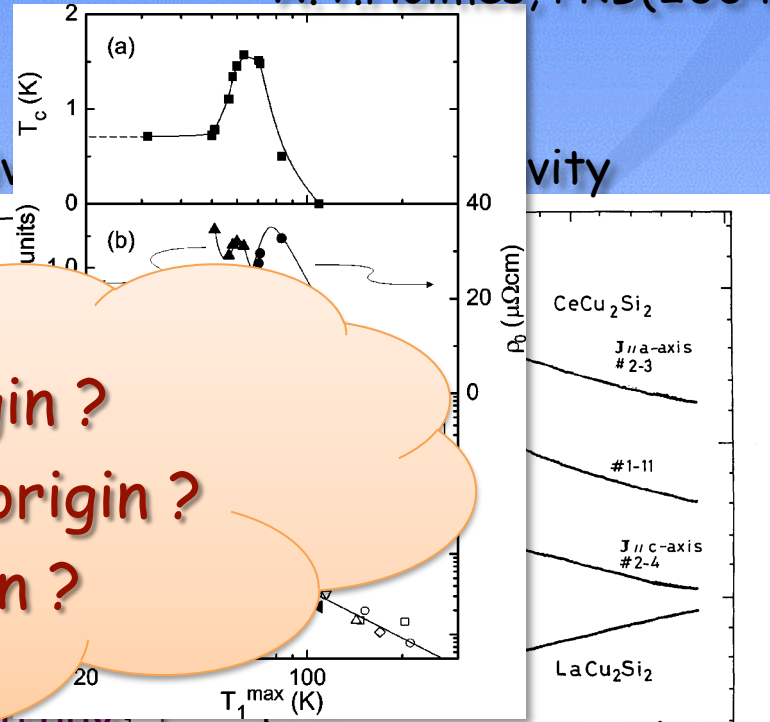
Specific heat



Inverse susceptibility

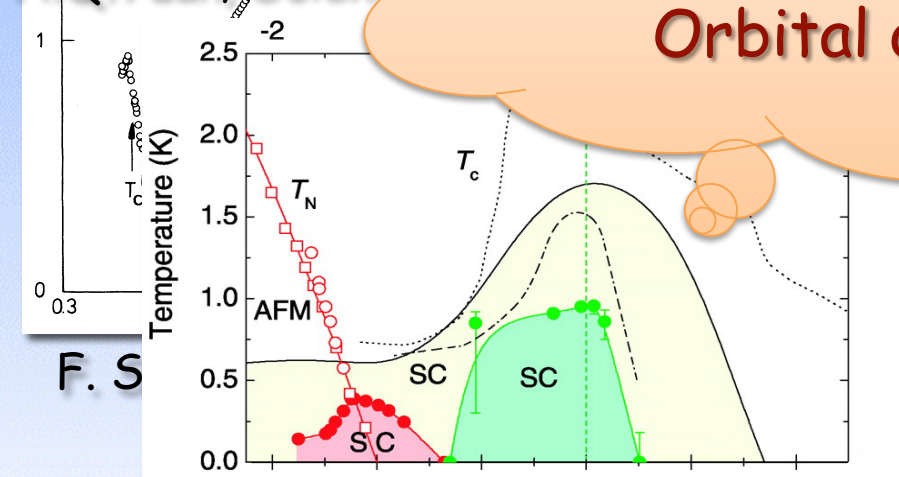


Resistivity



Magnetic origin ?
 Charge/valence origin ?
 Orbital origin ?

H.Q.Yuan, Science

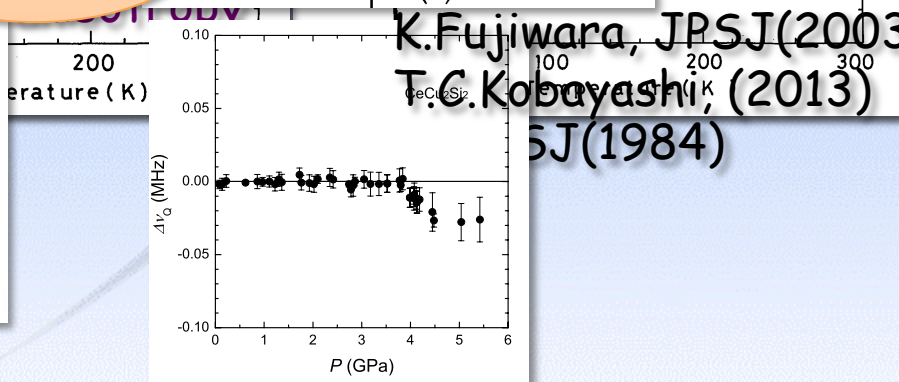


F. S

K.Fujiwara, JPSJ(2003)

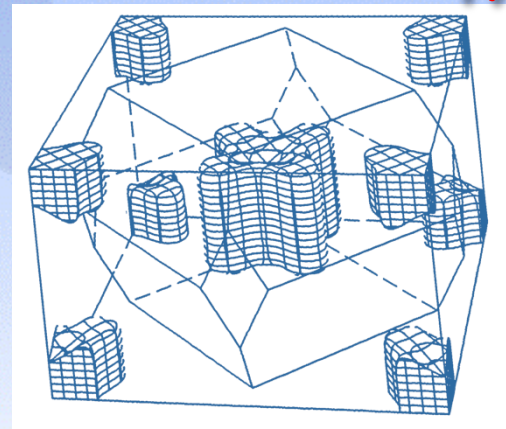
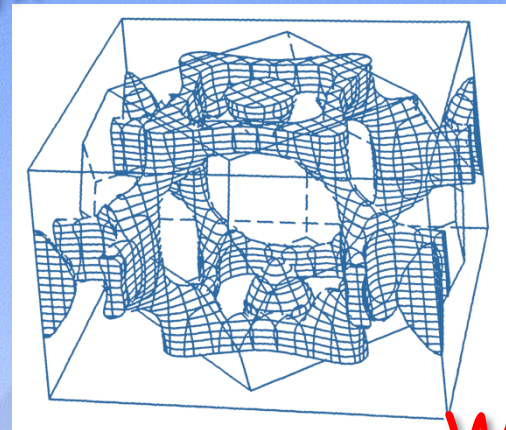
T.C.Kobayashi, (2013)

JPSJ(1984)

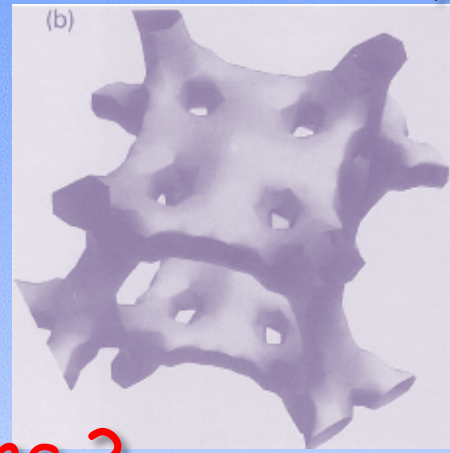


Fermi surface

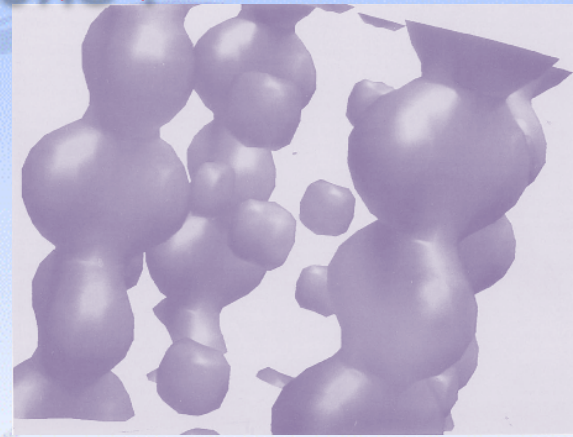
H. Harima,
JPSJ(1991)



v.s.

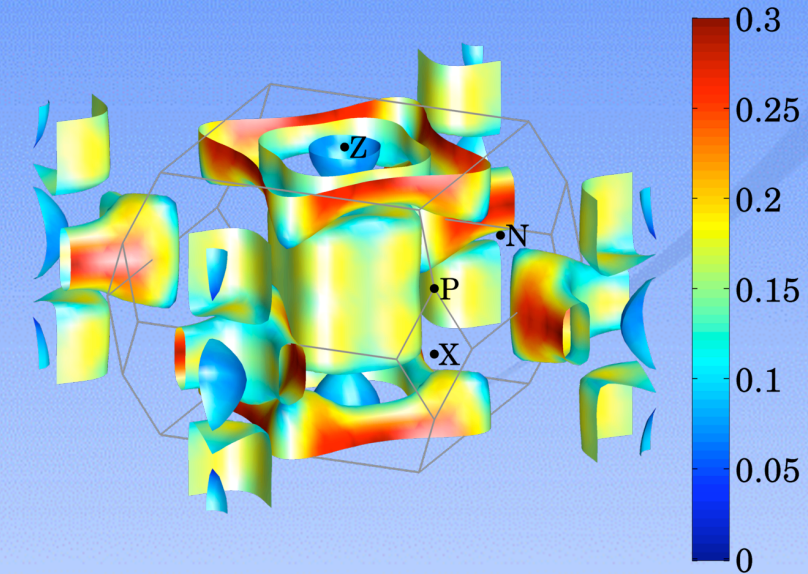
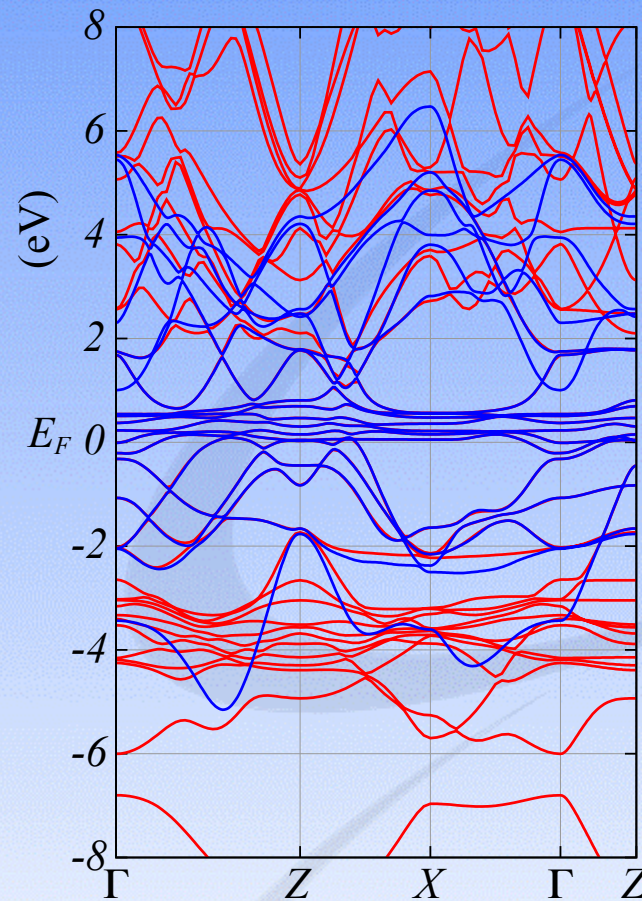


G. Zwicknagl,
Physica B(1993)



Which one ?

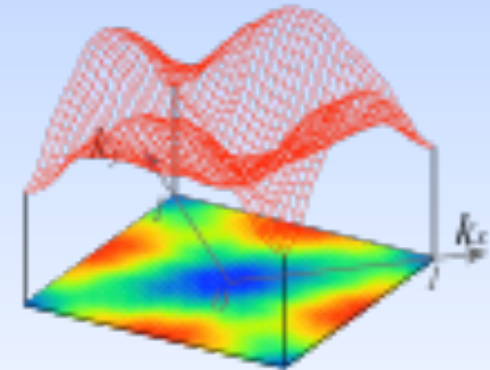
Construction of itinerant model



$$H=H_0+H'$$

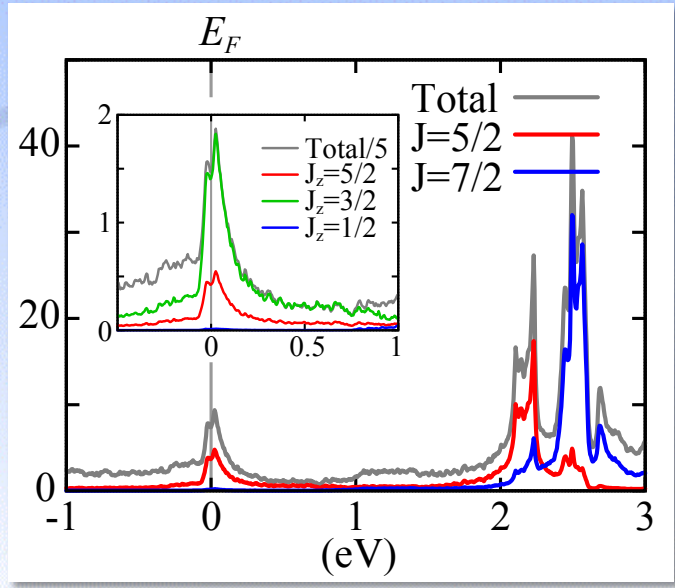
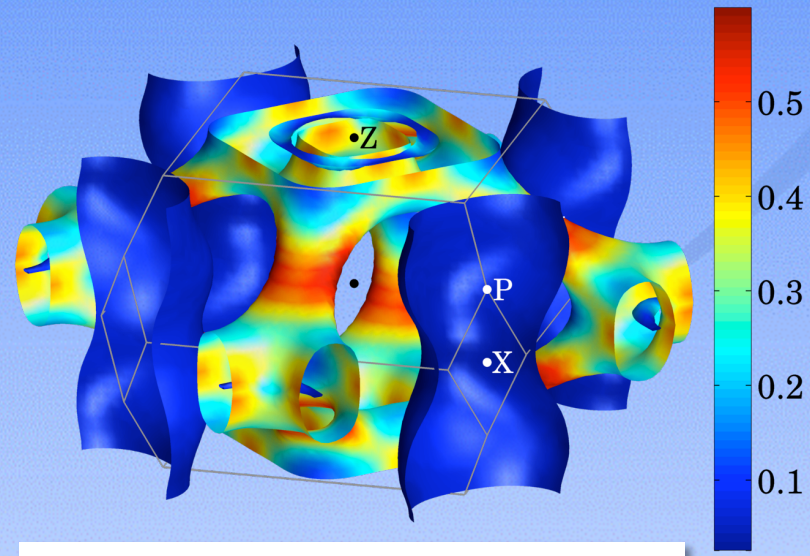
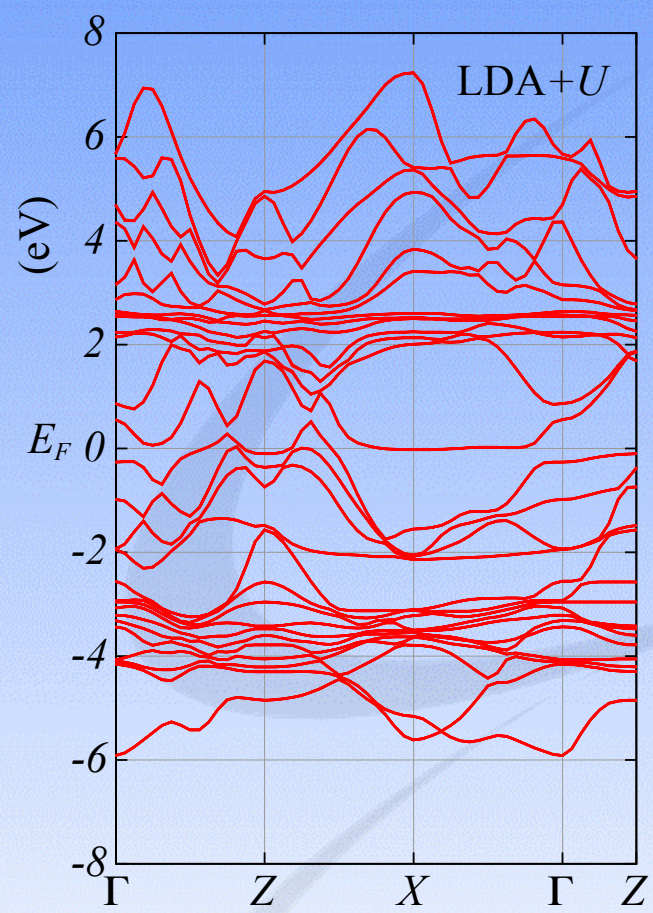
H is 36 band Anderson lattice model including spin-orbit coupling. (Ce 4f, Ce 5d, Si 3p)

Lack of the observed Q_{AF}



WIEN2k+Wien2Wannier+Wannier90

LDA+U

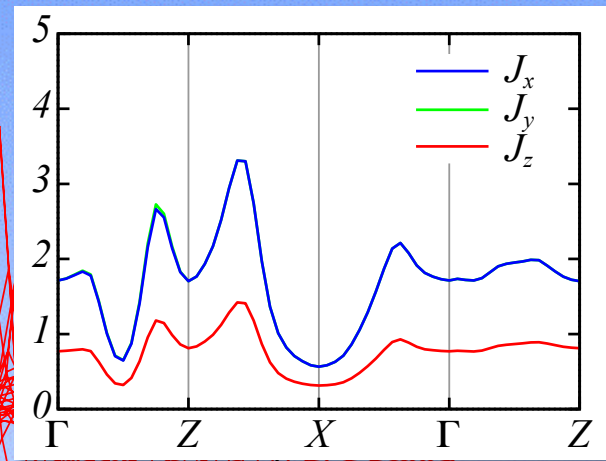


Dominant $J_z = \pm 3/2$

RPA analysis for LDA+U

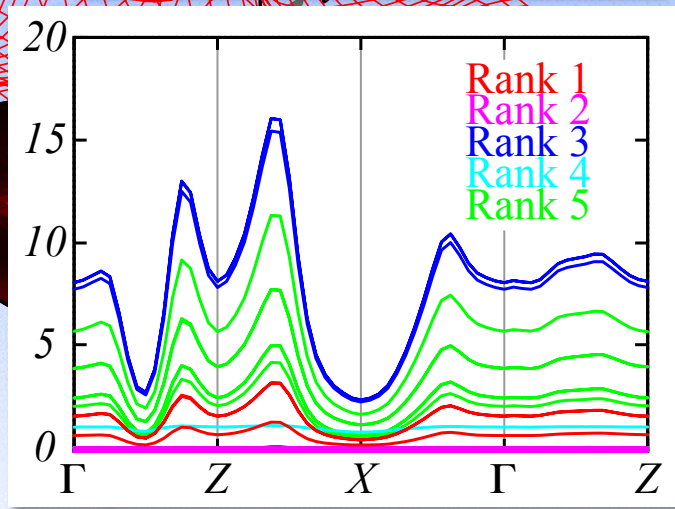
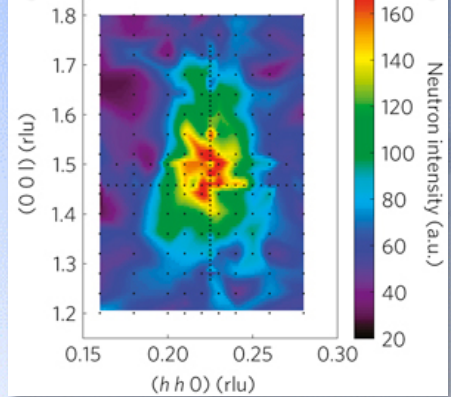
$U=U'=0.3$
 $J=J'=0$

Weak magnetic anisotropy



$U=U'=0.23$
 $J=J'=0$

$Q_{AF}=(0.215, 0.215, 1.458)$

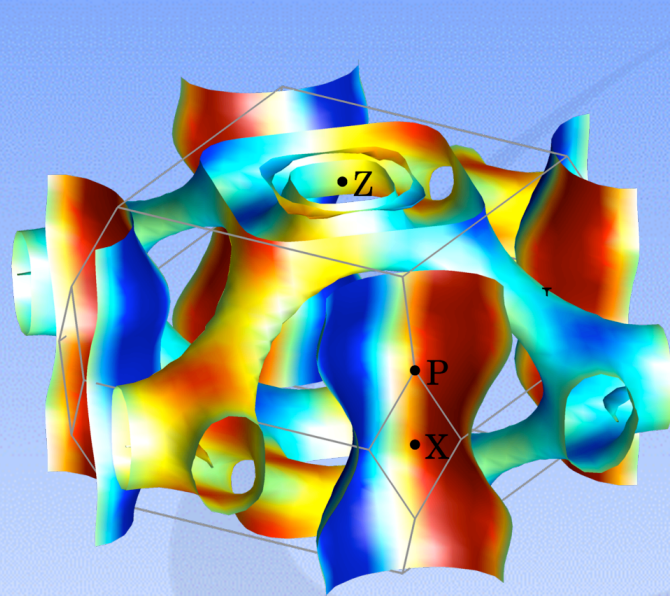


Dominant Octupole fluctuations

O.Stockert,
Nature Physics(2010)

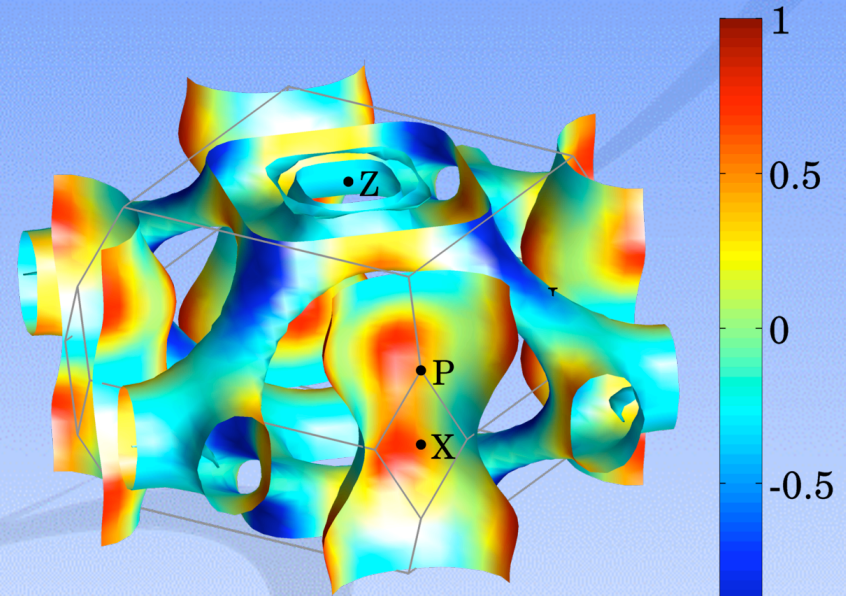
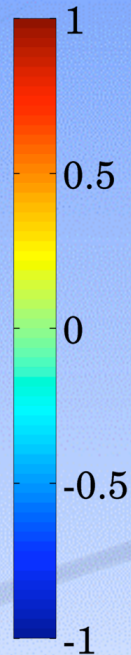
Unconventional Superconductivity

mediated by **octupole** fluctuations



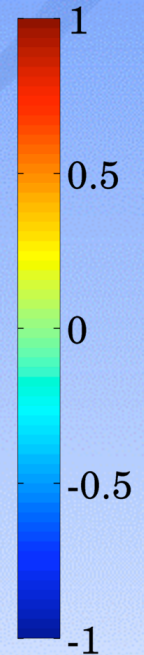
$d_{x^2-y^2}$ wave

$$\lambda = 1.197$$



Loop-nodal S_{\pm} wave

$$\lambda = 1.012$$



2nd order for $U=U'=1.0$, $J=J'=0$

$$\lambda = 0.787$$

$$\lambda = 0.949$$

Conclusions

- The Fermi surface in A-type materials is consistent with that in LDA+U or the renormalized band by Zwicky
- Incommensurate spin fluctuations can be explained by the nesting between the heavy-electron sheets
- The dominant octupole fluctuations can drive $d_{x^2-y^2}$ -wave or loop-nodal s-wave superconductivity

Multipoles in $J=5/2$ space

rank	O	D ₄	basis(O)	
$k=1$	T_1^-	A_2^-	$J_0^{(1)} = J^z$	
		E^-	$\tilde{J}_{[1]+}^{(1)} = J^y$	
			$\tilde{J}_{[1]-}^{(1)} = J^x$	
$k=2$	E^+	A_1^+	$J_0^{(2)} = O_2^0$	
		B_1^+	$\tilde{J}_{[2]+}^{(2)} = O_2^2$	
			$\tilde{J}_{[2]-}^{(2)} = O_{xy}$	
	T_2^+	B_2^+	$\tilde{J}_{[1]+}^{(2)} = O_{yz}$	
			$\tilde{J}_{[1]-}^{(2)} = O_{zx}$	
		E^+		
$k=3$	A_2^-	B_1^-	$\tilde{J}_{[2]-}^{(3)} = T_{xyz}$	
			T_1^-	$J_0^{(3)} = T_z^\alpha$
				aE^-
	T_2^-	B_2^-	$\tilde{J}_{[2]+}^{(3)} = T_z^\beta$	
			bE^-	$-\frac{1}{2\sqrt{2}}(\sqrt{3}\tilde{J}_{[3]+}^{(3)} - \sqrt{5}\tilde{J}_{[1]+}^{(3)}) = T_y^\beta$ $-\frac{1}{2\sqrt{2}}(\sqrt{3}\tilde{J}_{[3]-}^{(3)} + \sqrt{5}\tilde{J}_{[1]-}^{(3)}) = T_x^\beta$

$k=4$	A_1^+	aA_1^+	$\frac{1}{2\sqrt{3}}(\sqrt{7}J_0^{(4)} + \sqrt{5}\tilde{J}_{[4]+}^{(4)}) = H_0$	
			E^+	$-\frac{1}{2\sqrt{3}}(-\sqrt{5}J_0^{(4)} + \sqrt{7}\tilde{J}_{[4]+}^{(4)}) = H_4$
				B_1^+
	T_1^+	A_2^+	$\tilde{J}_{[4]-}^{(4)} = H_z^\alpha$	
			aE^+	$-\frac{1}{2\sqrt{2}}(\tilde{J}_{[3]+}^{(4)} + \sqrt{7}\tilde{J}_{[1]+}^{(4)}) = H_x^\alpha$ $-\frac{1}{2\sqrt{2}}(\tilde{J}_{[3]-}^{(4)} - \sqrt{7}\tilde{J}_{[1]-}^{(4)}) = H_y^\alpha$
		T_2^+	B_2^+	$\tilde{J}_{[2]-}^{(4)} = H_z^\beta$
$k=5$	E^-	A_1^-	$\tilde{J}_{[4]-}^{(5)} = D_4$	
			B_1^-	$-\tilde{J}_{[2]-}^{(5)} = D_2$
				aT_1^-
	T_1^-	aE^-	$\frac{1}{8\sqrt{2}}(3\sqrt{7}\tilde{J}_{[5]+}^{(5)} + \sqrt{35}\tilde{J}_{[3]+}^{(5)} + \sqrt{30}\tilde{J}_{[1]+}^{(5)}) = D_y^{\alpha 1}$	
			bE^-	$\frac{1}{8\sqrt{2}}(3\sqrt{7}\tilde{J}_{[5]-}^{(5)} - \sqrt{35}\tilde{J}_{[3]-}^{(5)} + \sqrt{30}\tilde{J}_{[1]-}^{(5)}) = D_x^{\alpha 1}$
		bT_1^-	bA_2^-	$\tilde{J}_{[4]+}^{(5)} = D_z^{\alpha 2}$
T_2^-	bE^-	$\frac{1}{16}(\sqrt{10}\tilde{J}_{[5]+}^{(5)} - 9\sqrt{2}\tilde{J}_{[3]+}^{(5)} + 2\sqrt{21}\tilde{J}_{[1]+}^{(5)}) = D_y^{\alpha 2}$		
		cE^-	$\frac{1}{16}(\sqrt{10}\tilde{J}_{[5]-}^{(5)} + 9\sqrt{2}\tilde{J}_{[3]-}^{(5)} + 2\sqrt{21}\tilde{J}_{[1]-}^{(5)}) = D_x^{\alpha 2}$	
	B_2^-	$\tilde{J}_{[2]+}^{(5)} = D_z^\beta$		
cE^-	$\frac{1}{4\sqrt{2}}(\sqrt{15}\tilde{J}_{[5]+}^{(5)} - \sqrt{3}\tilde{J}_{[3]+}^{(5)} - \sqrt{14}\tilde{J}_{[1]+}^{(5)}) = D_y^\beta$			
	$-\frac{1}{4\sqrt{2}}(\sqrt{15}\tilde{J}_{[5]-}^{(5)} + \sqrt{3}\tilde{J}_{[3]-}^{(5)} - \sqrt{14}\tilde{J}_{[1]-}^{(5)}) = D_x^\beta$			

$$\hat{Q} = f_{il}^\dagger Q_{lm} f_{im}$$

36 multipoles up to rank 5.