

# *Antiferromagnetic Topological Insulator model analysis and material design*

Xiao HU 胡 晓

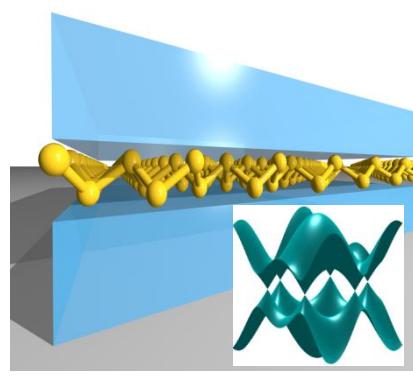


*International Center for Materials Nanoarchitectonics*



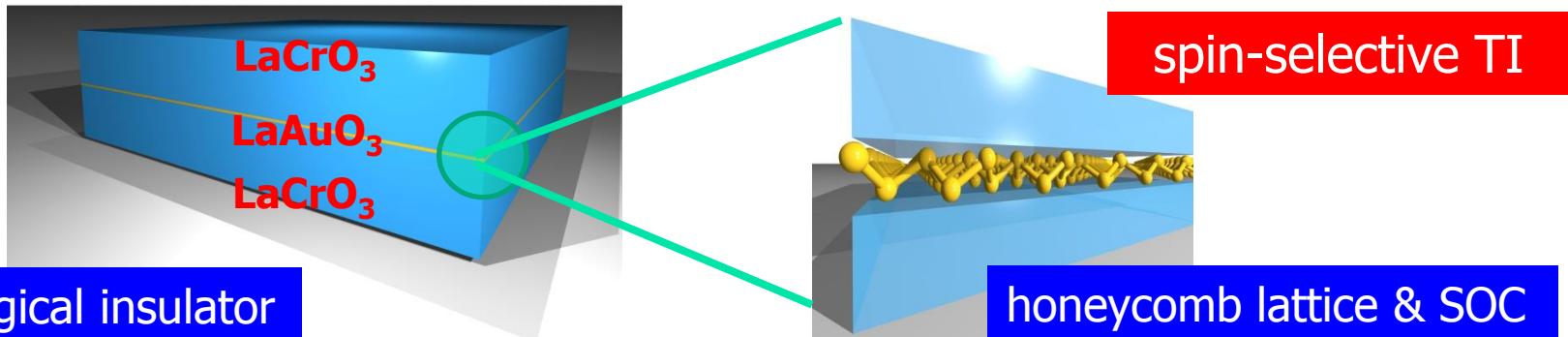
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***Collaborators: Q. -F. Liang & L. -H. Wu***



# Introduction

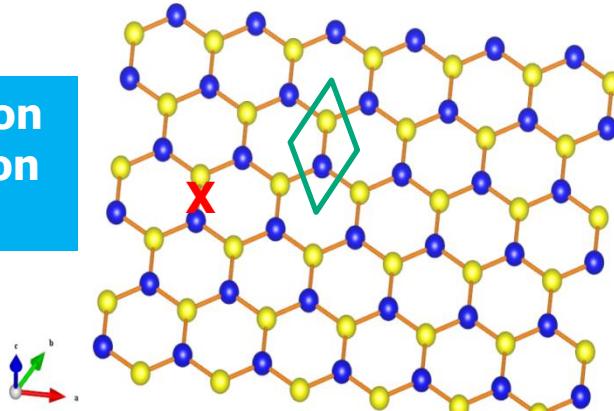
- Quantum Hall effect: 2DEG under strong H by von Klitzing (1980)
- integer Hall conductance:  $\sigma_{xy} = v e^2/h$  ○ TKNN theory: topology
- Quantum spin Hall effect and topological insulator
  - Kane and Mele: graphene (2005)
  - SC Zhang et al.: HgTe thin film (2006, 2007)
- Topological insulator with broken time-reversal symmetry
  - FM TI: theory by IOP and experiment by IOP and Tsinghua U. (2010, 13)
  - Antiferromagnetic TI: non-zero charge and spin Chern numbers



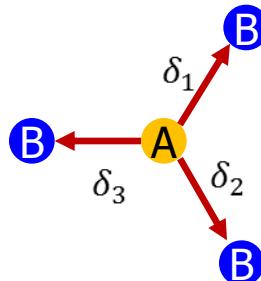
# Electron on honeycomb lattice

□ Honeycomb lattice:

**inversion operation**  
 $A \leftrightarrow B$



□ Tight-binding Hamiltonian:



$$\hat{H}_k = \begin{pmatrix} 0 & \Delta_k \\ \Delta_k^* & 0 \end{pmatrix}$$

$$\Delta_k = -t \sum_{i=1}^3 \exp(i k \cdot \delta_i)$$

$$E_{\pm} = \pm |\Delta_k|$$

○ sublattice  $\leftrightarrow$  pseudo spin

$$\psi = \begin{pmatrix} \phi_A \\ \phi_B \end{pmatrix}$$

○ Bravais vectors:

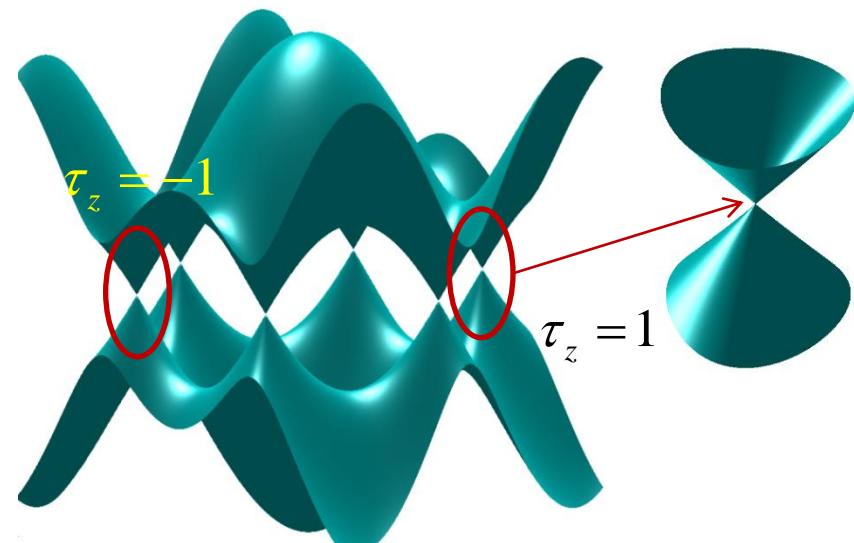
$$\vec{a}_1 = \sqrt{3}d(0,1) \text{ and } \vec{a}_2 = \sqrt{3}d(\sqrt{3}/2, 1/2)$$

○ reciprocal vectors:

$$\vec{b}_1 = \frac{4\pi}{3d}(-1/2, \sqrt{3}/2) \text{ and } \vec{b}_2 = \frac{4\pi}{3d}(1, 0)$$

dispersion relation

massless Dirac cone



Valleys:

$$K, K' = \frac{4\pi}{3d}(\pm 1, 0)$$

# Topology of Dirac electron

meron:  $\vec{B}/|\vec{B}|$

□ Low-energy Hamiltonian:  $\tau_z = \pm 1$ : valley

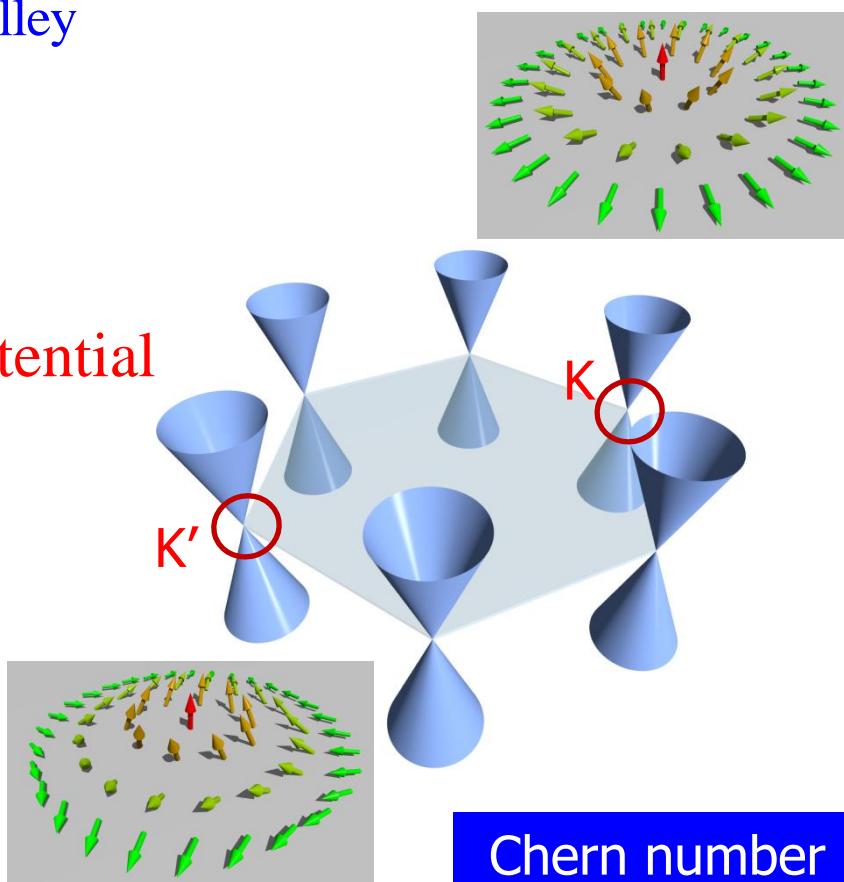
$$H_{K,K'} = \begin{pmatrix} U & k_x - i\tau_z k_y \\ k_x + i\tau_z k_y & -U \end{pmatrix}$$

$$E = \pm \sqrt{U^2 + k^2} \quad \text{U: staggered potential}$$

□ Topology and Berry phase

$$H_{K,K'} = \vec{B} \cdot \vec{\sigma}; \quad \vec{B} = (k_x, \tau_z k_y, U)$$

Pauli matrix  $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$



Chern number

**pseudo-spin-1/2 in a fictitious field  $B$**

**Berry phase of w.f.  $\Leftrightarrow$  winding number of  $B$**

**magnetic monopole in  $k$  space  $\rightarrow c(U, \tau_z) = \tau_z \text{sgn}(U)/2$**

$$C = \sum_{b.z.} c(U, \tau_z)$$

# Spin-orbit coupling

- Spin-orbit coupling:

$$H_{\text{SO}} = \frac{\hbar}{4m_0^2 c^2} \left( \vec{\nabla} V \times \vec{p} \right) \cdot \vec{s}$$

- Next-nearest-neighbor hopping on honeycomb lattice

- in-plane force  $\vec{F}_{\parallel} \neq 0$  & in-plane hop: coupling to  $s_z$

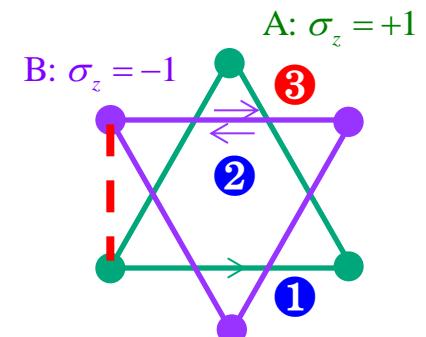
- hopping in A sublattice ①

- same as hopping in B sublattice ②

- opposite to hopping in B sublattice ③

$$H_{\text{SO}} = \lambda s_z \tau_z \sigma_z$$

*intrinsic spin-orbit coupling  
on the diagonal of Hamiltonian*



- Rashba spin-orbit coupling:  $\vec{F}_{\perp} \neq 0$

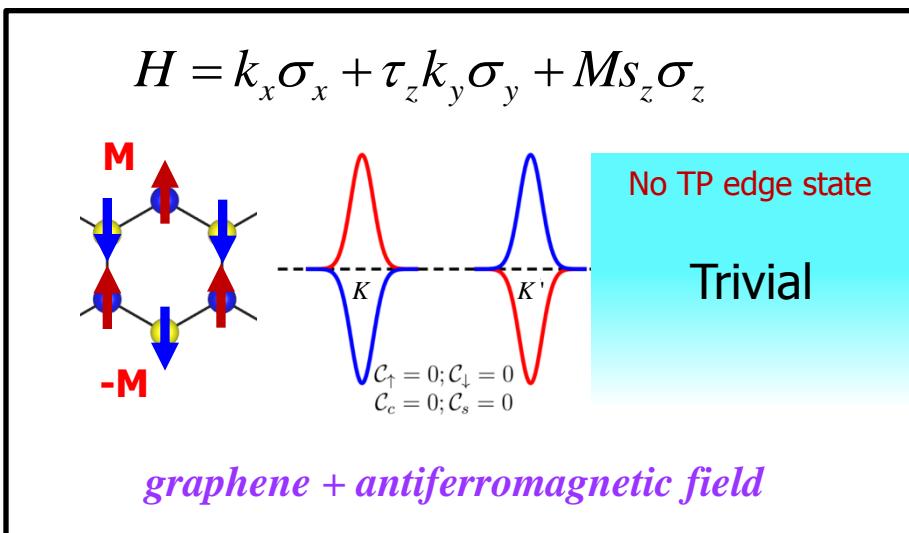
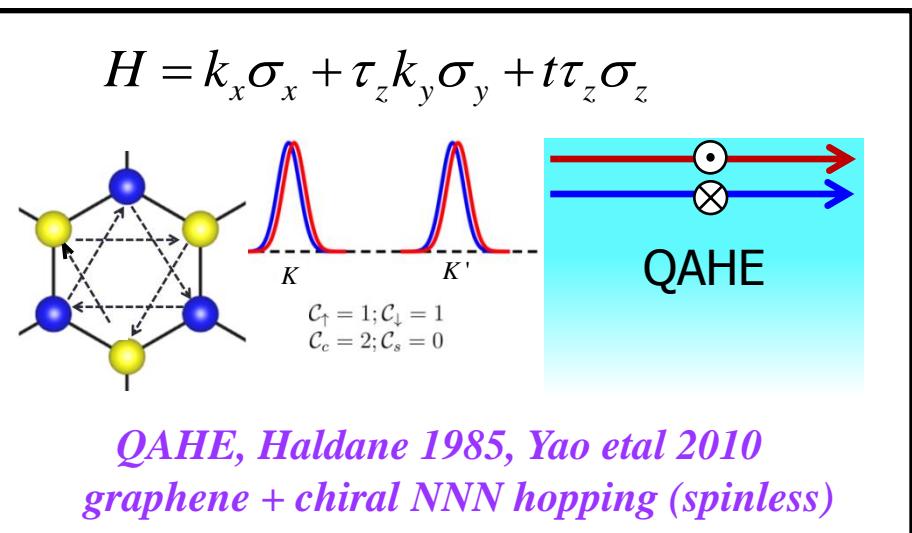
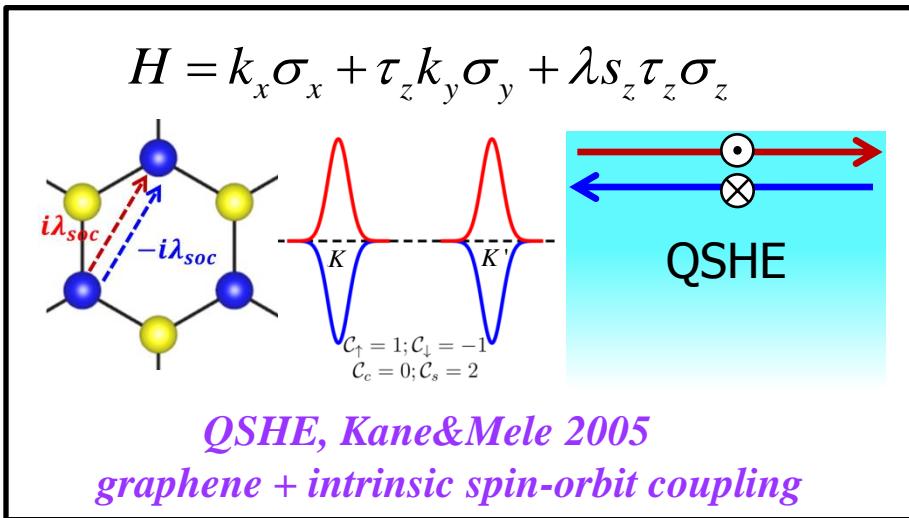
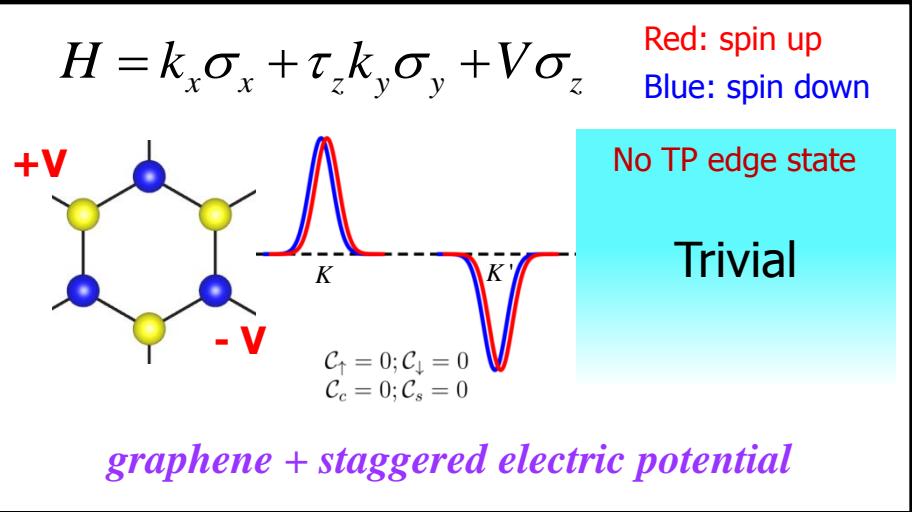
- electric field
  - buckled plane      *negligibly small*

# Topology on honeycomb

$$c(U, \tau_z) = \tau_z \text{sgn}(U)/2$$

$$C_{\uparrow, \downarrow} = \sum_{b.z} c_{\uparrow, \downarrow}(U, \tau_z)$$

$$C_c = C_{\uparrow} + C_{\downarrow}; C_s = C_{\uparrow} - C_{\downarrow}$$



# Our new idea for a full band engineering

## □ Effective Hamiltonian

$$H = \sigma_x k_x + \tau_z \sigma_y k_y + (\lambda s_z \tau_z + M s_z + V) \sigma_z$$

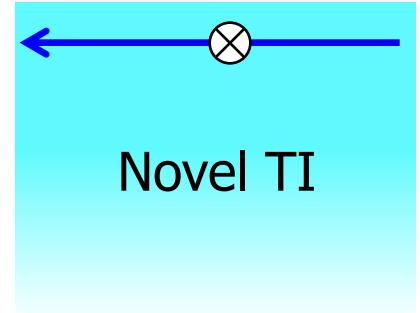
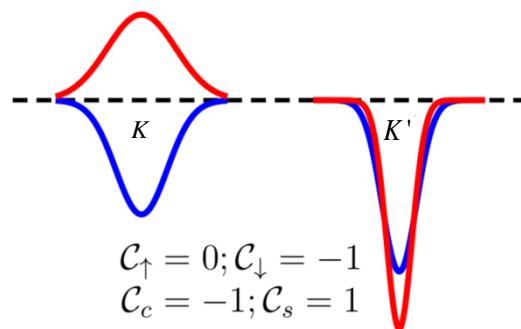
- degrees of freedom: spin, sublattice, valley
- control handles: SOC, AFM field, staggered electric potential

fields involved in different ways → *finer resolution*

## □ Novel topological insulator

$$U = \lambda s_z \tau_z + M s_z + V$$

*reverse effective potential  
at single valley & spin*



*Simultaneous nonzero charge and spin Chern numbers*

↔ *spin-polarized charge current at edges, broken chiral symmetry*

# Half metal

Semi metal:

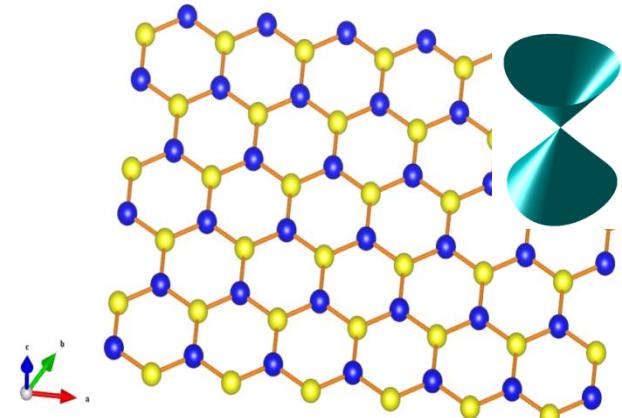
Red: spin up

Blue: spin down

E=0

A sublattice

B sublattice



AFM exchange field M:

Staggered electric potential V:

E=0

E=0

SDW

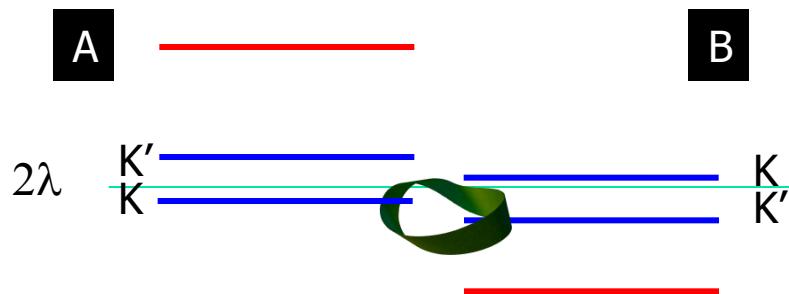
CDW

AFM exchange field & staggered electric field :

half metal

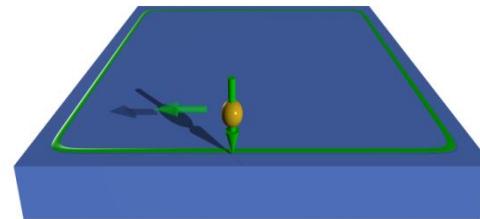
# AFM topological insulator

- AFM exchange field & staggered electric potential & spin-orbit coupling ( $\lambda$ )



SOC

left- vs. right-side traffic  
in HK & mainland, China

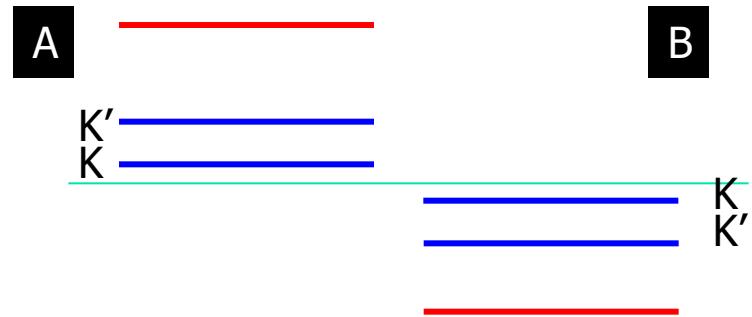


chiral edge current ~ Möbius ring

holography ~ bulk-edge correspondence

- Trivial state

CDW'

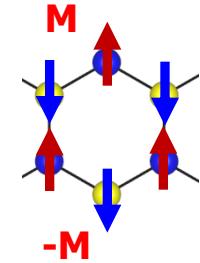
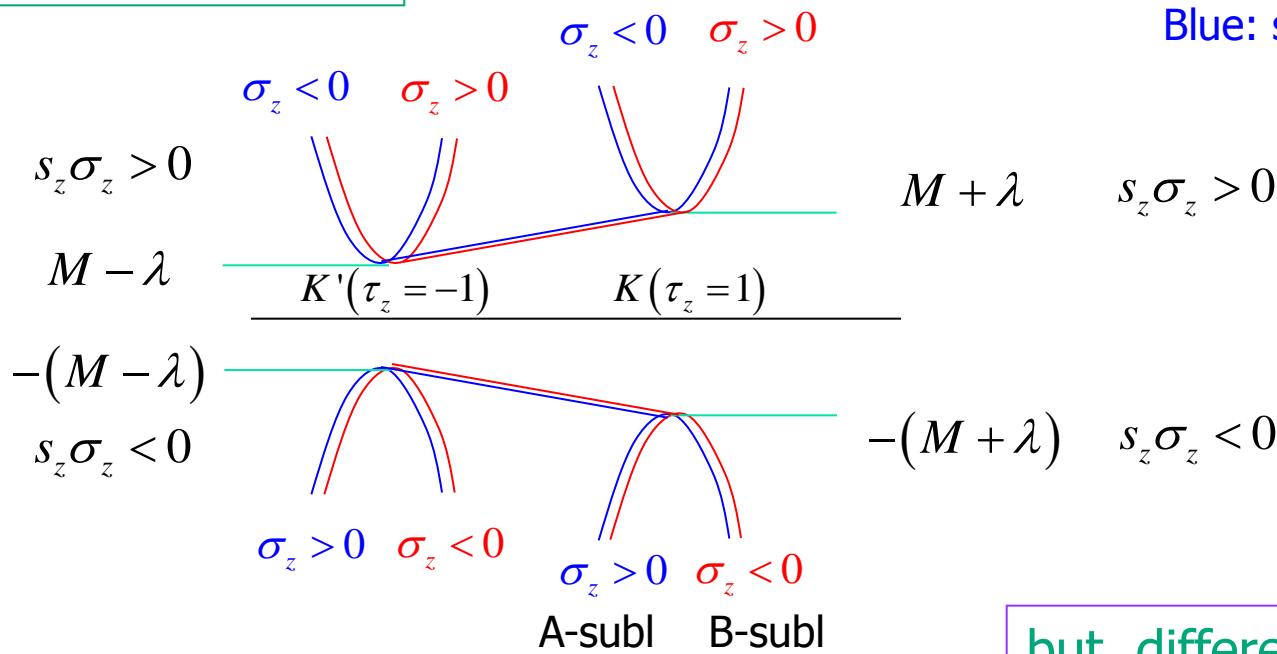


# Band engineering: EM cross control

## □ Effective Hamiltonian

$$H = \sigma_x k_x + \tau_z \sigma_y k_y + (\lambda s_z \tau_z + M s_z + V) \sigma_z$$

$M > \lambda > 0 \quad \& \quad V=0$



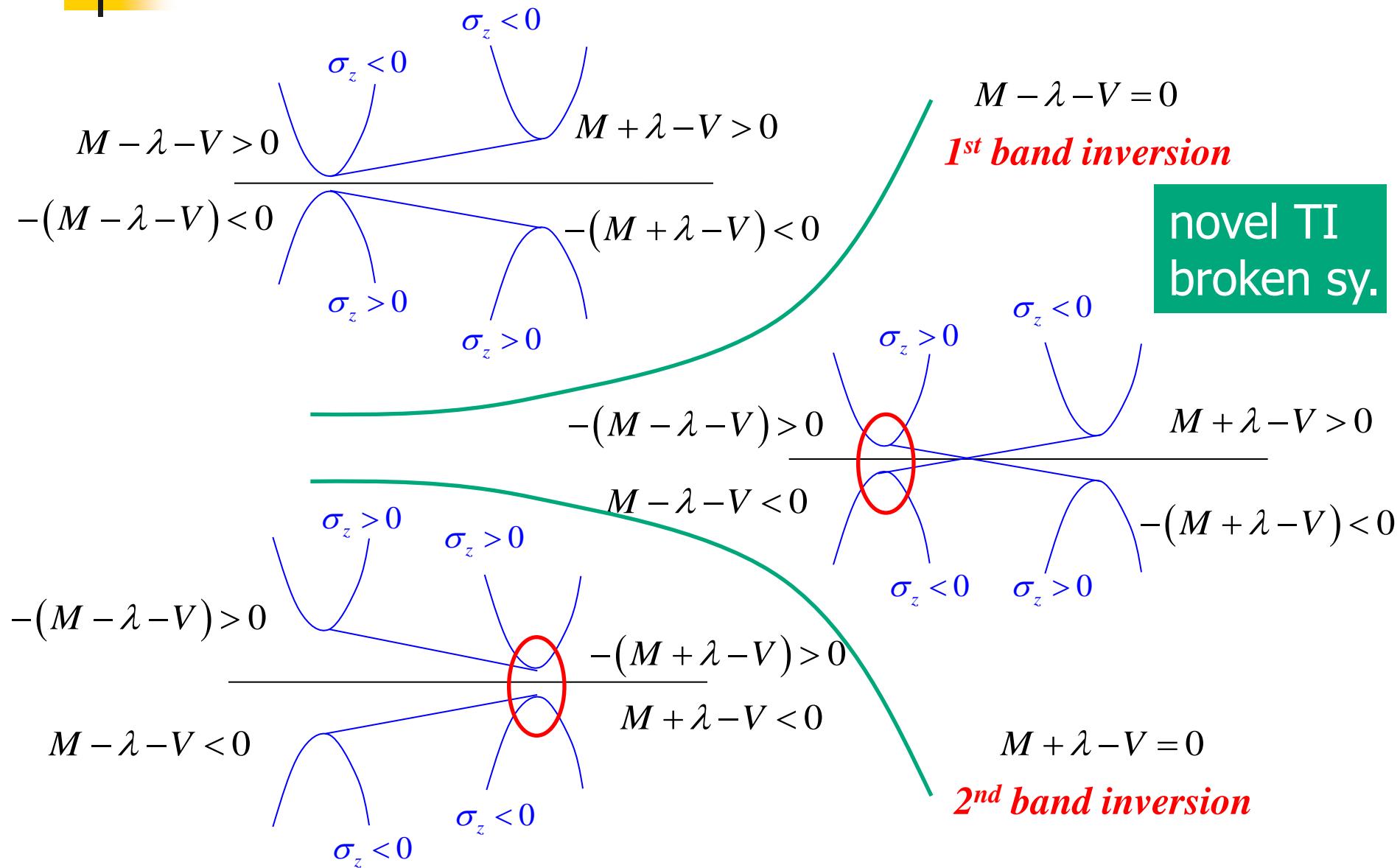
SDW'

but, different gap sizes !

## □ Degeneracy from symmetry at $V=0$

*Time-reversal ( $\mathcal{T}$ ) & inversion ( $\mathcal{P}$ ) cf. both symmetries broken individually*

# Electric field tuning

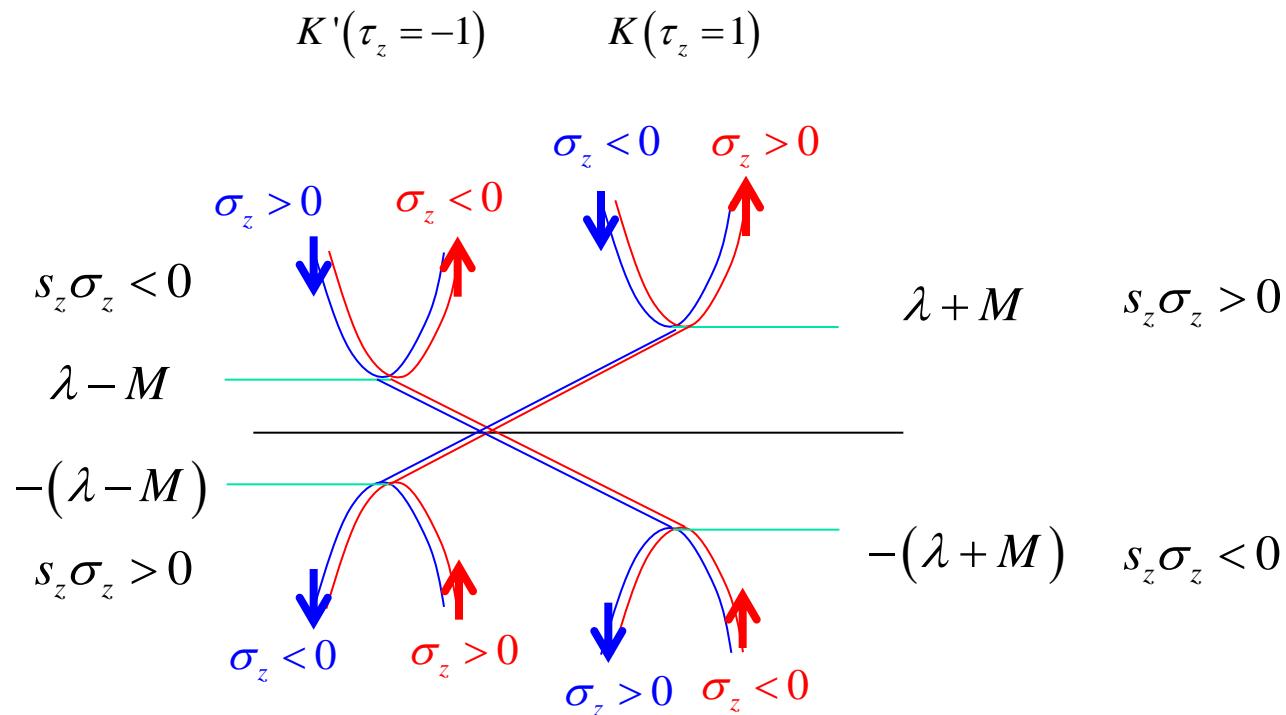


# Band engineering

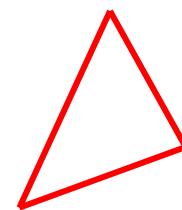
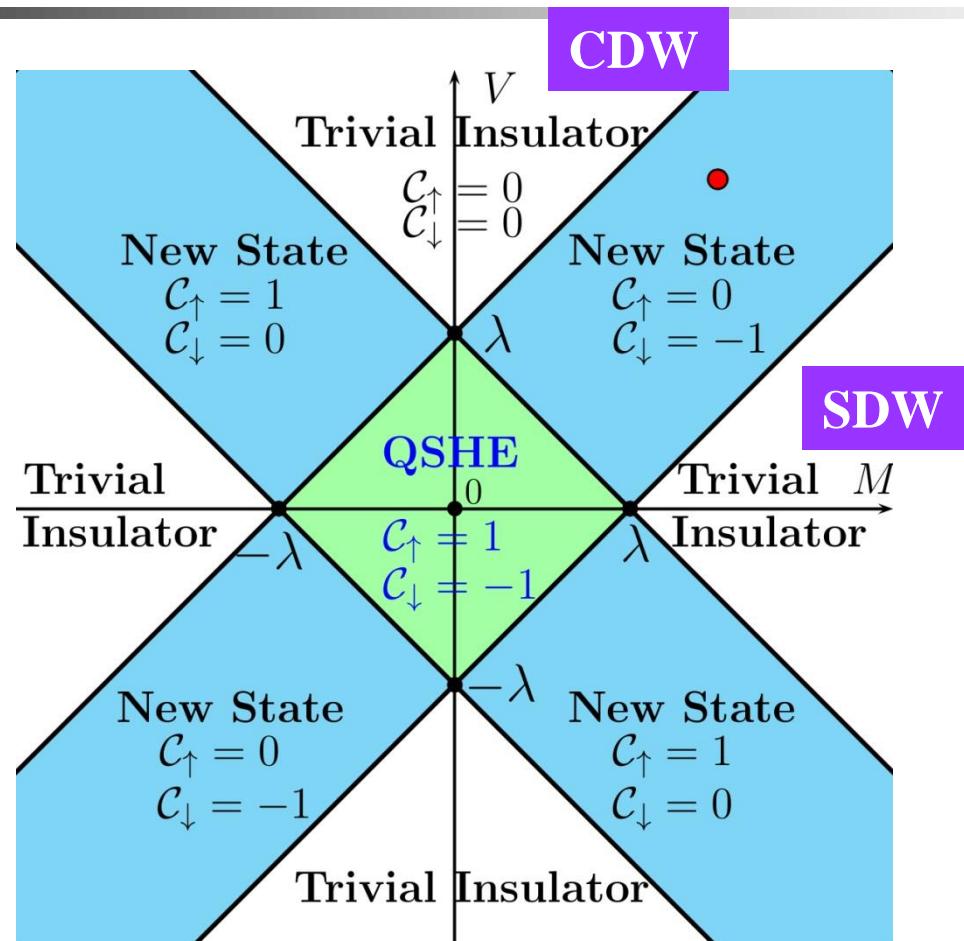
## □ Effective Hamiltonian

$$H = \sigma_x k_x + \tau_z \sigma_y k_y + (\lambda s_z \tau_z + M s_z + V) \sigma_z$$

$\lambda > M > 0 \rightarrow V=0 @ QSHE$



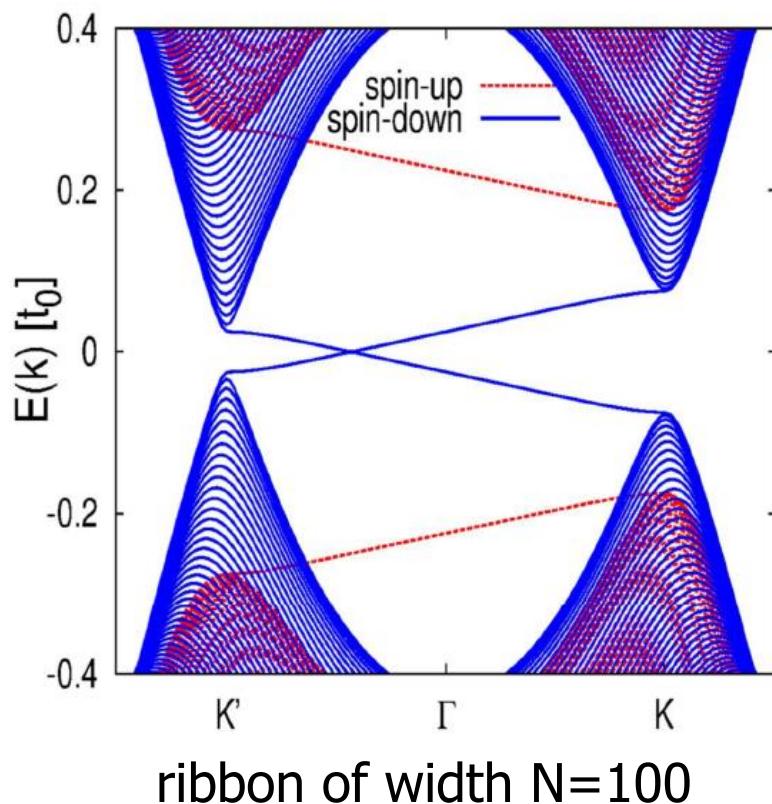
# Phase diagram



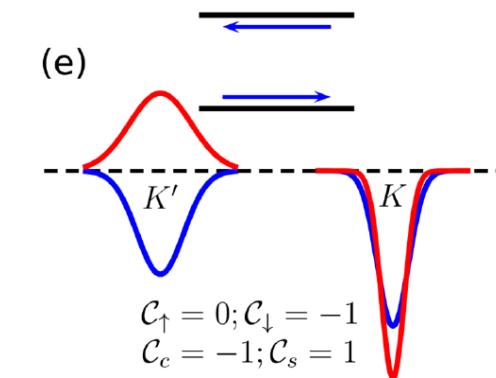
*triangle of  $\lambda, M & V$*

interplay induces a new topological state

# Edge state of finite sample



# of occupied states:  
spin up = spin down  $\leftrightarrow$   $m_s = 0$



*Bulk-edge correspondence  
[ holography ]*

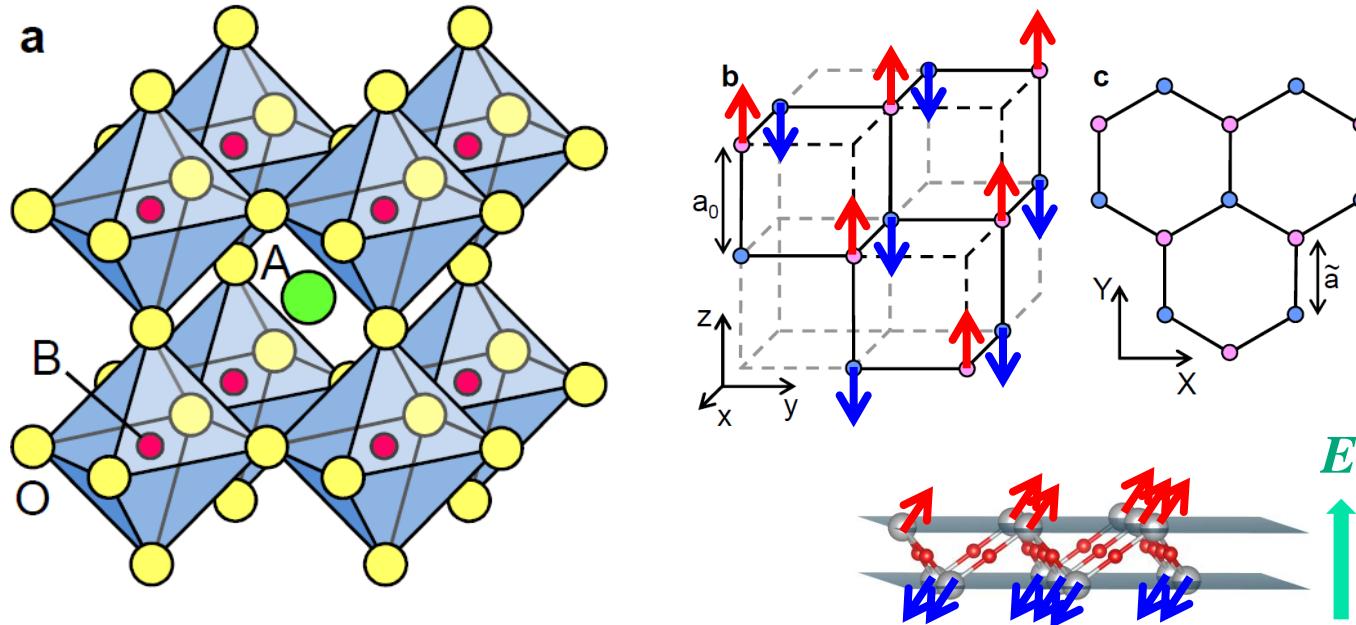
*spin-polarized edge current*

**HMAFM**

# Perovskite material $\text{ABO}_3$

- A family of honeycomb lattices:

*D. Xiao et al. Nature Comm. Vol. 2, 596 (2011)*



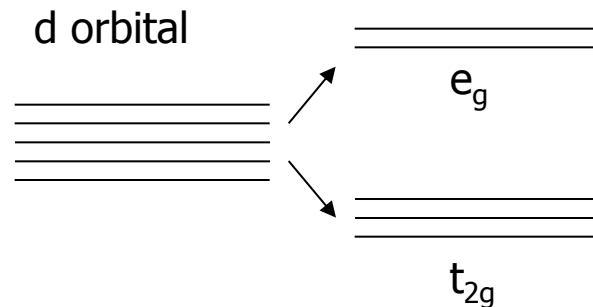
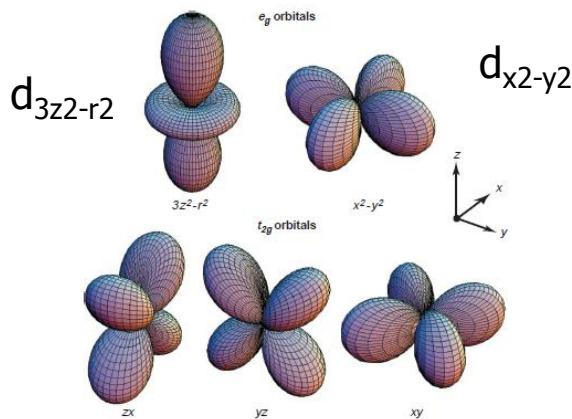
[111] direction: stacking of buckled honeycomb lattices

- Our platform for material design:

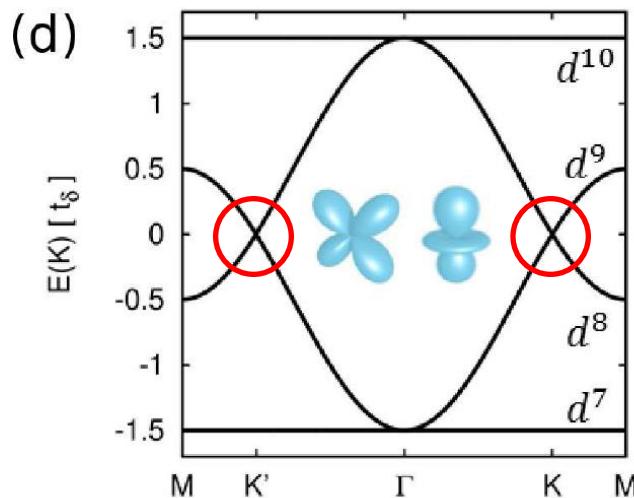
Mott insulator with G-type antiferromagnetic order:  $\text{LaCrO}_3$

a uniform electric field along [111] direction → staggered electric potential

# d<sup>8</sup> electrons and Dirac cones



non-interacting four-band model

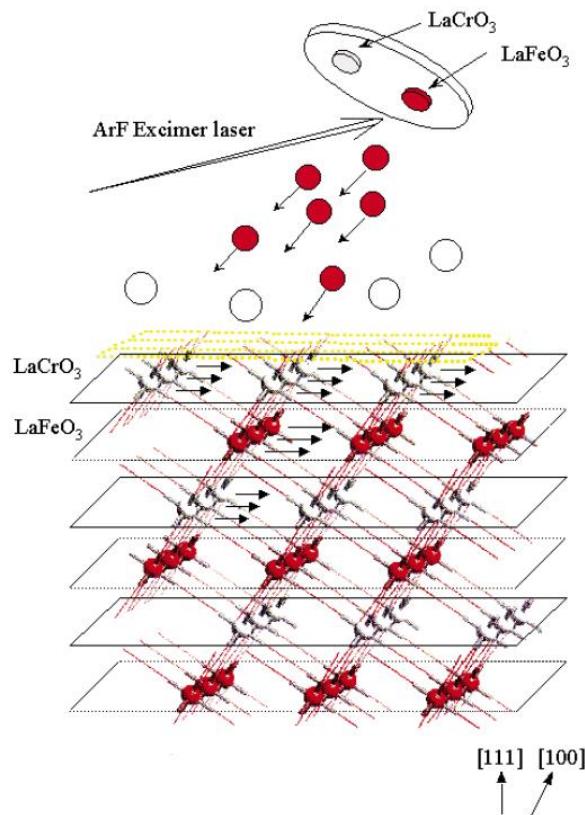


$$d^8 = t_{2g}^6 e_g^2$$

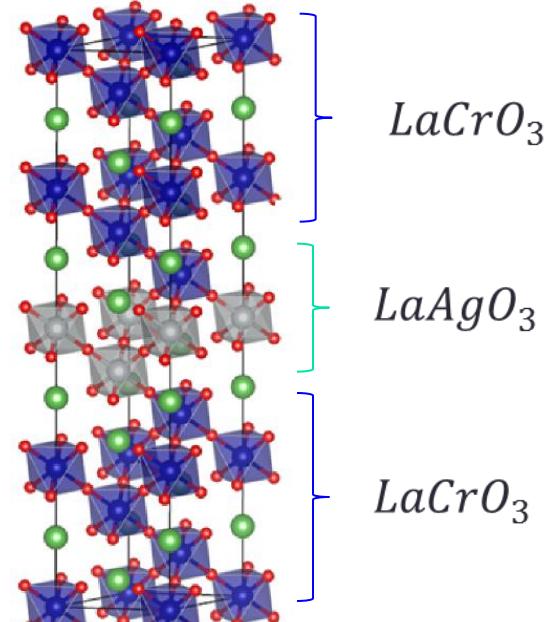
**Ag<sup>+3</sup>**

**Au<sup>+3</sup>**

# Molecule Beam Epitaxy: Layer by Layer

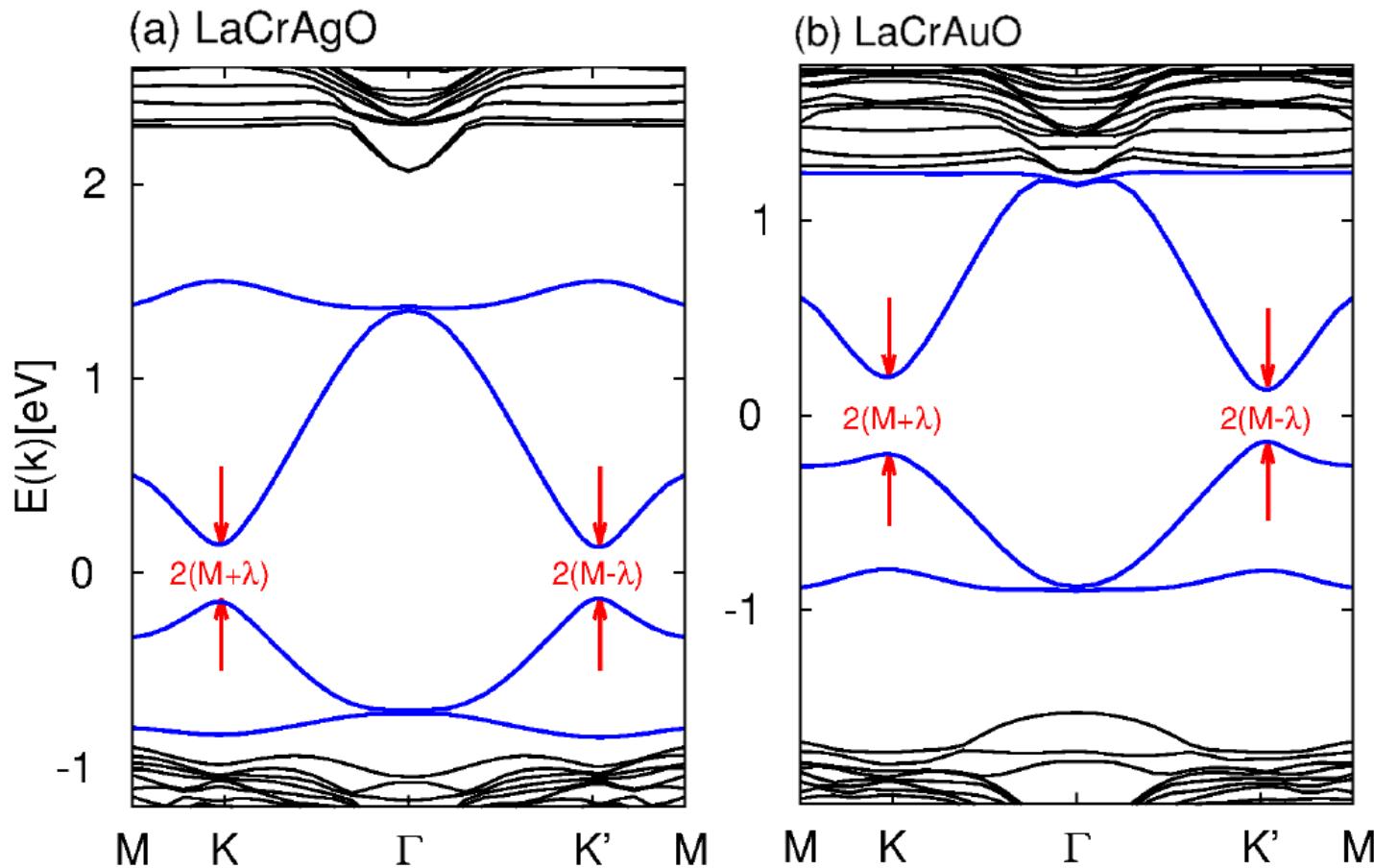


**Fig. 1.** A schematic diagram for the construction of the  $\text{LaCrO}_3$ - $\text{LaFeO}_3$  superlattice along the [111] direction by laser molecular beam epitaxy. The CrO layer and FeO layer are stacked alternately. For clarity the atoms of oxygen and lanthanum have been omitted.



replacing one buckled plane by  $\text{La}_2\text{Ag}_2\text{O}_6$   
→ Dirac electrons with sizable SOC

# First principles calculation: V=0



blue states from Ag or Au exhibit double degeneracy:  $T \times I$  symmetry

# First principles calculations

TABLE I: Parameters for AFM order and SOC fit from GGA+U+SOC calculation. For KNiInF and KNiTlF the electronic configurations of  $\text{In}^{2+}$  and  $\text{Tl}^{2+}$  are  $5s^1$  and  $6s^1$

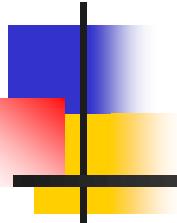
field [meV]	LaCrAgO	LaCrAuO	LaFeAgO	LaFeAuO
$M$	141	166	541	467
$\lambda$	7.30	32.91	7.31	33.52
KNiPdF	KNiPtF	KNiInF*	KNiTlF*	
625	504	290	235	
11.38	33.40	5.05	18.58	

$\lambda = 30 \text{ meV}$

gap  $\sim 2\lambda$

large SOC  $\leftarrow$  heavy element  
buckled honeycomb lattice  $\Leftrightarrow$  orbit mixing

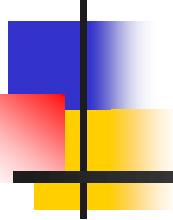
Typical electric field of 0.1V/A  $\rightarrow$  novel topological state



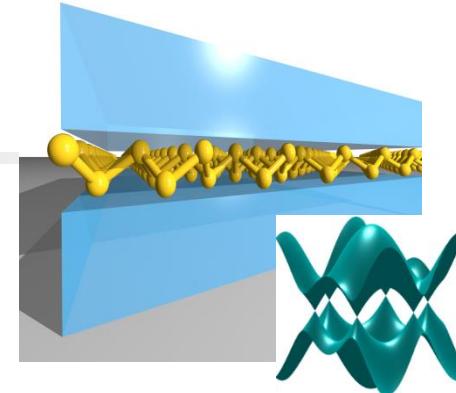
# Topological states with AFM

- TI with simultaneous nonzero charge and spin Chern numbers
  - Ezawa: PRL (2013); circularly polarized light on silicene
  - PRB (2013); FM/silicene/FM sandwich
- Coupling between antiferromagnetic order and valley
  - Li, Cao, Niu, Shi and Feng: PNAS vol.110, 3738 (2013)
- QAHE in ferromagnetic TI Cr-(BiSb)<sub>2</sub>Te<sub>3</sub>
  - IOP & Tsinghua group: Science (2010, 2013)
    - charge Chern number = 1; spin Chern number = 0  $\Leftrightarrow$  skyrmion
    - FM order of Cr + bonding of top and bottom surfaces  $\rightarrow$  "AFM"

Ours: charge Chern number = 1; spin Chern number = 1



# Summary



take home message: new topological insulator

degrees of freedom: spin, sublattice & valley

control field: SOC, AFM field, staggered electric potential

AFM TI: spin-polarized charge edge-current

Q: Chern insulator ?

A: simultaneous non-zero charge and spin Chern numbers

*Q.-F. Liang, L.-H. Wu, and XH: to appear in NJP (arXiv.1301.4113)*



*Chromium*

Cr: colorful used for decoration  
Qin dynasty 2000 years ago

2013/04/25

@ Guggenheim Museum Bilbao

# Rashba SOC

- Hamiltonian including Rashba-type SOC: 4x4

$$H(\mathbf{k}) = \begin{pmatrix} H_0^\uparrow & H_R \\ H_R^\dagger & H_0^\downarrow |_{\lambda_{SO} \rightarrow -\lambda_{SO}} \end{pmatrix} \quad \text{with eigenstates} \quad [a_\uparrow, b_\uparrow, a_\downarrow, b_\downarrow]$$

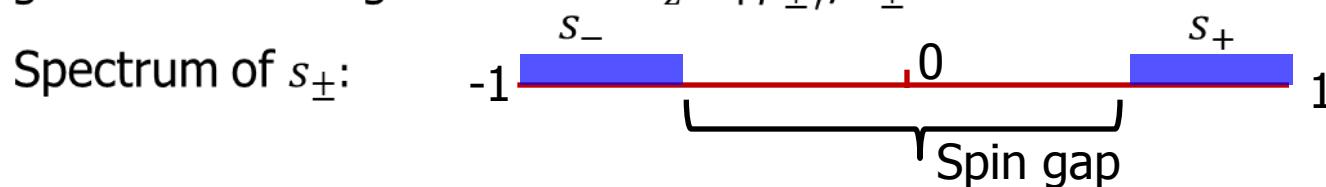
$$|\Psi_1\rangle = \begin{pmatrix} \varphi_a^\uparrow \\ \varphi_b^\uparrow \\ \varphi_a^\downarrow \\ \varphi_b^\downarrow \end{pmatrix} \quad |\Psi_2\rangle = \begin{pmatrix} \phi_a^\uparrow \\ \phi_b^\uparrow \\ \phi_a^\downarrow \\ \phi_b^\downarrow \end{pmatrix}$$

- Definition of  $C_s$  with two valence eigenstates  $|\Psi_1\rangle$  and  $|\Psi_2\rangle$

- Projection of  $s_z$  over space spanned  $|\Psi_1\rangle$  and  $|\Psi_2\rangle$

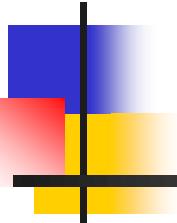
$$\tilde{s}_z = \begin{pmatrix} \langle \psi_1 | s_z | \psi_1 \rangle & \langle \psi_1 | s_z | \psi_2 \rangle \\ \langle \psi_2 | s_z | \psi_1 \rangle & \langle \psi_2 | s_z | \psi_2 \rangle \end{pmatrix} \quad \text{with } s_z = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & -\mathbf{1} \end{pmatrix}$$

- Eigenstates and eigenvalues of  $\tilde{s}_z$ :  $|\psi_\pm\rangle$ ,  $s_\pm$



- Spin-Chern number from  $|\psi_\pm\rangle$

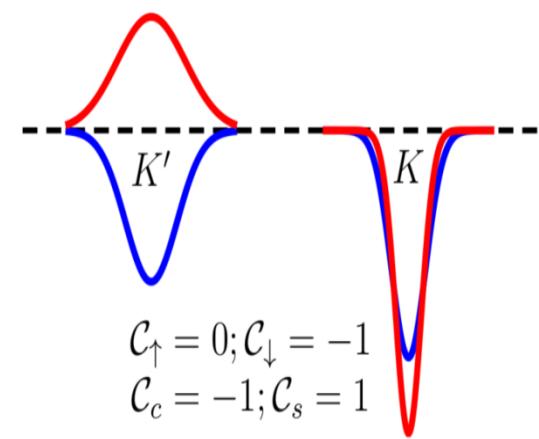
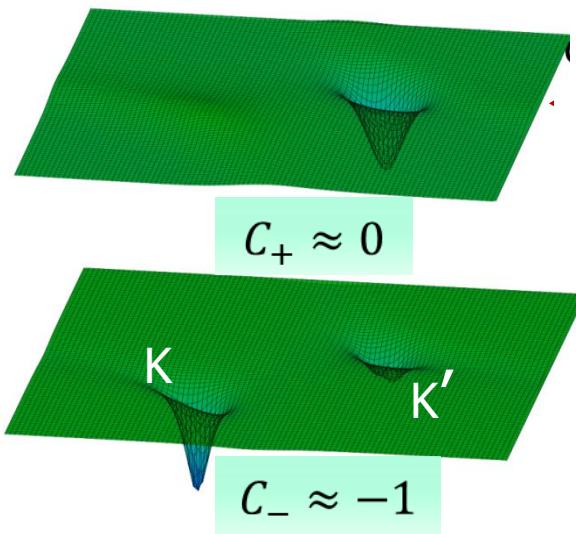
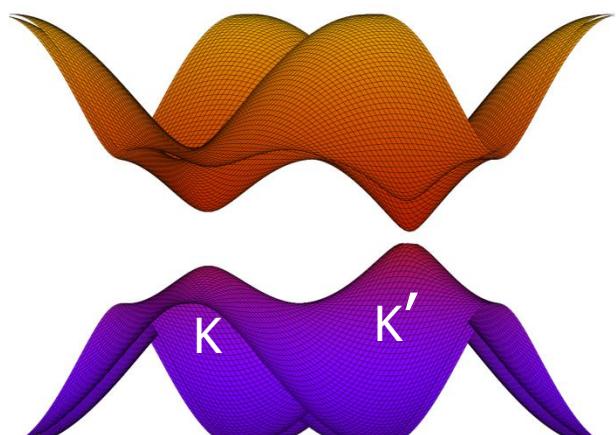
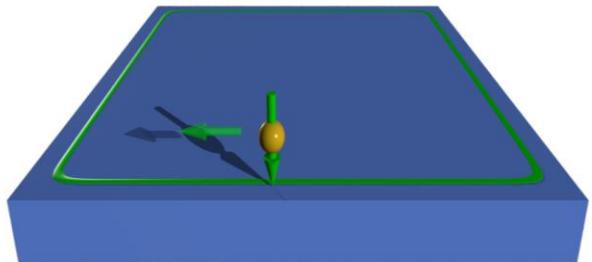
$$C_s = C_+ - C_- \quad \text{with} \quad C_\pm = \frac{1}{2\pi i} \int_{BZ} d^2\mathbf{k} \nabla_{\mathbf{k}} \times \langle \psi_\pm(\mathbf{k}) | \partial_{\mathbf{k}} | \psi_\pm(\mathbf{k}) \rangle$$



# Rashba SOC

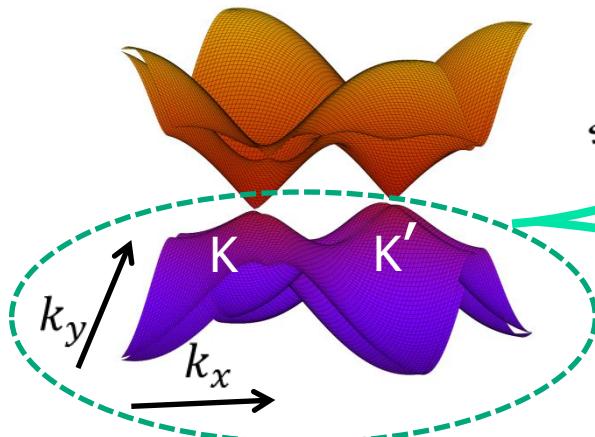
Rashba SOC:  $\lambda_R = 0.2t_0$

same order as intrinsic SOC



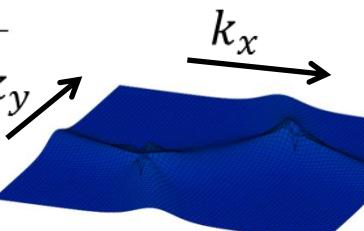
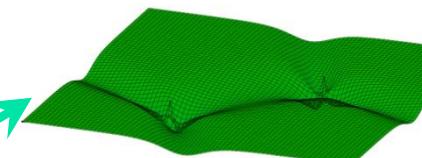
# Rashba SOC

Intermediate Rashba SOC:  $\lambda_R = 0.4t_0$



$s_+, |\psi_+\rangle$

$s_-, |\psi_-\rangle$



Spectrum of  $\tilde{S}_z$

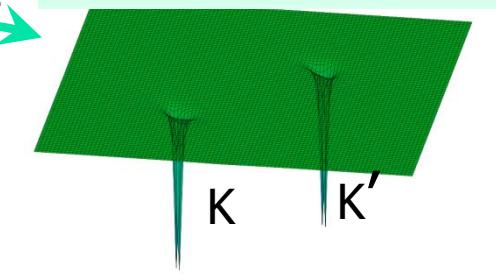
$\Omega_+$

$\Omega_-$

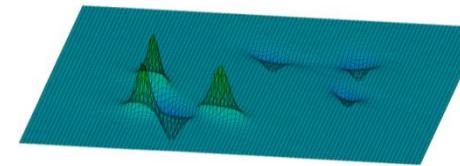
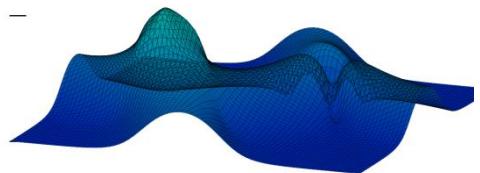
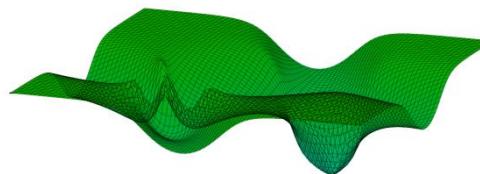
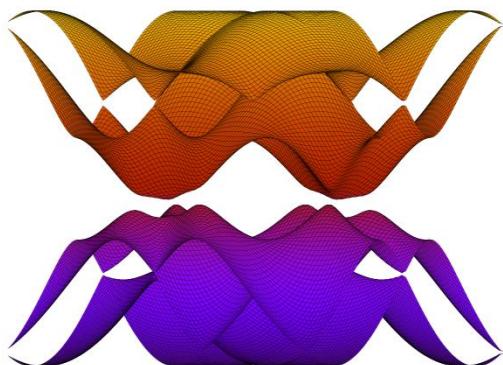
Berry curvature

$$C_+ = 0.9991 \approx 1$$

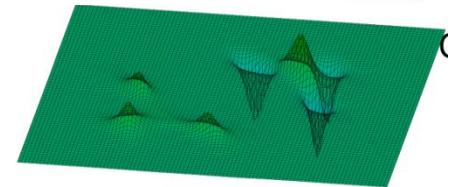
$$C_- = -0.9991 \approx -1$$



Large Rashba SOC:  $\lambda_R = 0.8t_0$



$$C_+ = 0$$



$$C_- = 0$$