Computational Approaches to Quantum Critical Phenomena (2006.7.17-8.11) ISSP

Fermion Simulations

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collaboration

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Numerical Methods for Lattice Fermions

exact diagonalization high-temperature expansion DMRG variational Monte Carlo cellular (cluster) DMFT quantum Monte Carlo auxiliary field world line PIRG Gaussian basis

small clusters high to moderate *T* 1D systems trial wave functions small cluster + DMF negative sign

PIRG: nonperturbative but systematic approach from U=0the only available approach for frustrated models, complex systems with orbital at T=0 for large/size/

Path-Integral Representation

$$|\Phi\rangle = \lim_{p \to \infty} [\exp[-\Delta_{\beta} H]]^{p} |\Phi_{0}\rangle$$
$$|\Phi'\rangle = \exp[-\Delta_{\beta} H] |\Phi\rangle$$

Practically, taking small Δ_{β} , but $\Delta_{\beta} p$ large

 $\approx \exp[-\Delta_{\beta} \boldsymbol{H}_{0}/2] \exp[-\Delta_{\beta} \boldsymbol{H}_{1}] \exp[-\Delta_{\beta} \boldsymbol{H}_{0}/2] |\Phi\rangle + \boldsymbol{O}(\Delta_{\beta}^{3})$

Hubbard model

$$H = H_0 + H_1$$

$$H_0 = \sum_{ij\sigma} t_{ij} c^{\dagger}{}_{i\sigma} c_{j\sigma} + \text{H.c}$$

$$H_1 = U \Sigma n_i n_i$$



Matrix representation of Slater determinant

$$M \text{ Fermions on } N \text{-sites lattice} \qquad M \\ |\Phi_{\sigma}\rangle = \prod_{m=1}^{M} (\sum_{i=1}^{N} (\Phi_{\sigma})_{im} c_{i\sigma}^{\dagger}) |0\rangle \\ \text{inner product} \qquad N \\ \langle \Phi_{\sigma} | \Phi_{\sigma}' \rangle = \det({}^{t} \Phi_{\sigma} \Phi_{\sigma}') \\ \text{Example; plane wave state} \\ \sum_{i=1}^{N} \cos(\mathbf{k}_{n} \cdot \mathbf{r}_{i}) c_{i}^{\dagger} |0\rangle \qquad \Phi_{i,2n-1} = \cos(\mathbf{k}_{n} \cdot \mathbf{r}_{i}), \\ \Phi_{i,2n} = \sin(\mathbf{k}_{n} \cdot \mathbf{r}_{i}) c_{i}^{\dagger} |0\rangle \\ \sum_{i=1}^{N} \sin(\mathbf{k}_{n} \cdot \mathbf{r}_{i}) c_{i}^{\dagger} |0\rangle \qquad M. IMADA$$

SDW Hartree-Fock State

$$\Phi_{\sigma i,2n-1} = \sqrt{\frac{1-d_{k_n}}{2}} \cos(\mathbf{k}_n \cdot \mathbf{r}_i)$$

$$\pm \sqrt{\frac{1-d_{k_n}}{2}} \cos((\mathbf{k}_n + \mathbf{k}_s) \cdot \mathbf{r}_i)$$

$$\Phi_{\sigma i,2n} = \sqrt{\frac{1-d_{k_n}}{2}} \sin(\mathbf{k}_n \cdot \mathbf{r}_i)$$

$$\pm \sqrt{\frac{1-d_{k_n}}{2}} \sin((\mathbf{k}_n + \mathbf{k}_s) \cdot \mathbf{r}_i),$$

$$d_k = \frac{E_k}{\sqrt{\Delta_s^2 + E_k^2}}$$

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Operation of Kinetic Energy Projection

 $|\Phi'\rangle = \exp[-\Delta_{\beta}H_0]|\Phi\rangle$ bilinear tight-binding form $H_0 = \Sigma \left[t_{ii} c^{\dagger}{}_{i\sigma} c_{i\sigma} + \text{H.c} + \delta_{ii} \mu c^{\dagger}{}_{i\sigma} c_{i\sigma} \right]$ In Slater determinant **Matrix representation** representation, exponential of $\exp[-\Delta_{\beta}H_{0}] \Rightarrow b_{0}$ of kinetic energy generates another Slater detereminants $b_0 = \exp[-K]$ $K_{ij} = \begin{cases} -\Delta_{\beta}t & \text{if } (i,j) \text{ is the nearest neighbor} \\ = 0 & \text{otherwise} \end{cases}$ NM $N \left((e^{- au K})_{ij} \right) \left(\Phi \right)$ $V = \int V =$

Operation of Coulomb Energy Projection

$$|\Phi'\rangle = \exp[-\Delta_{\beta}H_{1}]|\Phi\rangle$$
 ??

$$H_1 = U\Sigma c^{\dagger}_i \quad c_i \quad c^{\dagger}_i \quad c_i$$

Projection by the interaction term is transformed to a sum of two Slater determinants by Stratonovich-Hubbard transformation **Stratonovich-Hubbard Transformation**

Discrete transformation

Auxiliary Ising variable s

$$e^{-\alpha n_{\uparrow} n_{\downarrow}} = \frac{1}{2} \sum_{s=\pm 1} \exp[2as(n_{\uparrow} - n_{\downarrow}) - \frac{\alpha}{2}(n_{\uparrow} + n_{\downarrow})]$$

$$a = \operatorname{th}^{-1} \sqrt{\operatorname{th}(\frac{\alpha}{4})}$$
(1)

decomposition

$$n_{\uparrow}n_{\downarrow} \Longrightarrow n_{\uparrow} \times n_{\downarrow}$$

Stratonovich-Hubbard transformation

Stratonovich-Hubbard transformation

$$|\Phi'\rangle = \exp[-\Delta_{\beta}H_{1}]|\Phi\rangle = \sum_{s}|\Phi(s)\rangle$$

$$+$$

branching occurs

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Basis Generation in Slater Determinant Representation

$$\left|\Phi\right\rangle = \lim_{p\to\infty} \left[\exp\left[-\tau H\right]\right]^{p} \left|\Phi_{0}\right\rangle$$

 $\exp[-\tau H] \approx \exp[-\tau H_{K}] \exp[-\tau H_{U}]$

In Slater determinant representation Kinetic energy

$$\left|\Phi'\right\rangle = \exp\left[-\tau H_{K}\right]\left|\Phi\right\rangle$$



Interaction energy

Stratonovich-Hubbard transformation $|\Phi'\rangle = \exp[-\tau H_U]|\Phi\rangle = \sum_s |\Phi(s)\rangle$; branching +

Ground State Algorithm by Auxiliary Fields of Path Integral

ground state



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Matrix Elements in Canonical Ensemble

Trace out Fermion degrees of freedom
leaving auxiliary fields
$$b_0 = \exp[-\Delta_\beta H_K]$$

 $b_1 = \exp[-\Delta_\beta H_U(\{s\})]$
density matrix
 $\rho(\beta; \varphi) = \sum_{\{s\}} W_{\uparrow} W_{\downarrow},$
 $W_{\sigma} = \det({}^t \Phi_{\sigma} B_{\sigma 1} B_{\sigma 2} \cdots B_{\sigma p} \Phi_{\sigma})$

$$B_{\sigma l} = b_0 b_{1\sigma}(s_1(l), s_2(l), \cdots, s_N(l)) b_0$$

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Path integral in grand canonical ensemble

valid at finite temperature

$$\beta = p\Delta_{\beta}$$

$$\operatorname{Tr}\rho(\beta;\varphi) = \sum_{\{s\}} W_{\uparrow}W_{\downarrow},$$
$$W_{\sigma} = \det(I + B_{\sigma 1}B_{\sigma 2}\cdots B_{\sigma p})$$

$$B_{\sigma l} = b_0 b_{1\sigma}(s_1(l), s_2(l), \cdots, s_N(l)) b_0$$

$$e^{-\Delta_{\beta}H_l(\tau_l)} = B_l$$

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Full summation over path integral

$$B = e^{\Delta_{\beta}H_{K}/2}e^{-\Delta_{\beta}H_{U}^{(\{s\})}}e^{-\Delta_{\beta}H_{K}/2}$$
$$\rho = \sum_{\{s\}}\prod_{l=1}^{p}B(\tau_{l})$$

summation over all {*s*}

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Numerical Algorithms

Summation over all the Stratonovich-Auxiliary variable

requires 2^{Np} terms summations

Monte Carlo sampling puantum Monte Carlo

Renormalization (projection and truncation) of generated basis

path-integral renormalization group

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Anticommuting Fermions

$$W(A) = \langle i | e^{-\tau H} | j \rangle \langle j | e^{-\tau H} | k \rangle$$
$$\langle k | e^{-\tau H} \dots A \dots | i \rangle$$
$$W(1) < 0$$

Average sign decreases exponentially with increasing N, β

$$\langle A \rangle = \frac{\sum_{s} W_{s}(A)}{\sum_{s} W_{s}(1)} \approx \frac{0}{0}$$

Large statistical error

Difficulty in QMC

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Path-Integral Renormalization Group (PIRG)

Kashima et al. JPSJ 69 (2000)2723; 70(2001)2287

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Numerical Framework of PIRG

Kashima et al. JPSJ 69 (2000)2723; 70(2001)2287

Optimize $|\Phi\rangle$ at fixed *L* $\left|\Phi\right\rangle = \sum_{i}^{L} c_{i} \left|\varphi_{i}\right\rangle$ **Increase** L **Extrapolation** $E = \frac{\langle \Phi | H | \Phi \rangle}{\langle \Phi | \Phi \rangle} \qquad \langle A \rangle = \frac{\langle \Phi | A | \Phi \rangle}{\langle \Phi | \Phi \rangle} \quad \text{variational}$ $\langle \varphi_i | A | \varphi_i \rangle, \langle \varphi_i | \varphi_j \rangle$ Easily computable

ex. Slater determinant

No negative-sign problem

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Basis Generation in Slater Determinant Representation

$$\left|\Phi\right\rangle = \lim_{p \to \infty} [\exp[-\tau H]]^p \left|\Phi_0\right\rangle$$
 path integral

 $\exp[-\tau H] \approx \exp[-\tau H_t] \exp[-\tau H_U]$

In Slater determinant representation Kinetic energy

$$\left|\Phi'\right\rangle = \exp[-\tau H_{t}]\left|\Phi\right\rangle$$



Interaction energy

Stratonovich-Hubbard transformation $|\Phi'\rangle = \exp[-\tau H_U]|\Phi\rangle = \sum_s |\Phi(s)\rangle$; branching +

nonorthogonal basis

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WF Renormalization in the direction to imaginary time



Comparison with Orthogonal Basis



Renormalization procedure

At a fixed dimension, *L* in restricted Hilbert space in some representation, find the "fixed point":

$$\left\langle \varphi_{i} \middle| \varphi_{j} \right\rangle$$
 and $\left\langle \varphi_{i} \middle| H \middle| \varphi_{j} \right\rangle$ "effective Hamiltonian"
rojection & $|\Phi\rangle = \sum_{i=1}^{L} c_{i} \middle| \varphi_{i} \right\rangle$ ground state of

projection & $|\Phi\rangle = \sum_{i} c_{i} |\varphi_{i}\rangle$ ground state of "effective Hamiltonian"

w.f. renormalization, hamiltonian matrix renormalization

Renormalization in energy; filtering out high energy mode

c.f. in usual RG, one finds a fixed point rep. in the scaled but essentially fixed rep.

Extrapolation to L

 $\Delta_{E} = \left(\left\langle E^{2} \right\rangle - \left\langle E \right\rangle^{2} \right) / \left\langle E \right\rangle^{2} : \text{Extrapolation with energy variance}$ $\langle \boldsymbol{E} \rangle - \boldsymbol{E}_0 \propto \Delta_{\boldsymbol{E}} : \boldsymbol{L}$ large Hubbard 6*2, 5+5, U=4, t=1 6x6 U/t=4 -15 PIRG -15.5 Exact Hartree-Fock+CI -1.84 -16 <E> VMC <H>// -16.5 -17 Energy -17.5 -1.85 -18 -1.82 100 120 140 20 60 80 0 40 S=0L S=1-1.84 S=0 -1.86 -1.86 0.01 n 0.005 0 Energy variance 171, ILYLAN JAN

Quantum number projection

variational ground states in the restricted Hilbert space do not necessarily preserve the original symmetries of *H How to restore the symmetries* ?

Quantum number projection operator $\mathcal{L} |\psi\rangle$, $\langle \psi | \mathcal{L} |\psi \rangle$, $\langle \psi | \hat{H} \mathcal{L} |\psi \rangle$, $\langle \psi | \hat{O} \mathcal{L} |\psi \rangle$, $\mathcal{L}^2 = \mathcal{L}$

> Mizusaki and Imada PRB69, 125110 (2004)

> > IVI. IIVIA DA

Momentum Projection

$$\mathcal{L}^{\vec{k}} = \frac{1}{\mathcal{N}} \sum_{j} e^{i(\vec{K} - \vec{k})\vec{R}_{j}}$$

K; momentum operator $\frac{1}{N} \sum_{j} e^{i\vec{K}\vec{R}_{j}} |\phi(0)\rangle = |\phi(j)\rangle$

j spatial translation

$$\left\{ \begin{array}{c} N \\ H \\ O \end{array} \right\} = \frac{1}{\mathcal{N}} \sum_{j} e^{-i\vec{k}\vec{R}_{j}} \left\langle \phi \right| \left\{ \begin{array}{c} 1 \\ \hat{H} \\ \hat{O} \end{array} \right\} \left| \phi\left(j\right) \right\rangle$$

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Spin rotationWigner's D function
$$L_{MK}^{S} \equiv \frac{2S+1}{8\pi^{2}} \int d\Omega D_{MK}^{S*}(\Omega) R(\Omega)$$

 $\Omega = (\alpha, \beta, \gamma)$ Euler angle $R(\Omega) = e^{i\alpha S_{z}} e^{i\beta S_{y}} e^{i\gamma S_{z}}$ $B_{MK}(\Omega) = \langle SM | R(\Omega) | SK \rangle = e^{i\alpha M} e^{i\gamma K} d_{MK}^{S}(\beta)$
 $d_{MK}^{S}(\beta) = \langle SM | e^{i\beta S_{y}} | SK \rangle.$ $L_{N_{0}M}^{S} L_{M'N_{0}}^{S} = L_{N_{0},N_{0}}^{S} \delta_{MM'}$ $N_{0} = S_{z}$

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Spin projection

$$\mathcal{L}_{N_0N_0}^S \equiv \frac{2S+1}{2} \int_0^{\pi} d\beta \sin\beta d_{N_0N_0}^S(\beta) e^{i\beta S_y}.$$

$$\begin{cases} N \\ H \\ O \end{cases} = \frac{2S+1}{2} \int_0^{\pi} d\beta \sin\beta d_{N_0N_0}^S(\beta) \langle \phi' | \begin{cases} 1 \\ \hat{H} \\ \hat{O} \end{cases} | \phi(\beta) \rangle$$
$$|\phi(\beta)\rangle = e^{i\beta S_y} |\phi\rangle.$$

$$d_{0,0}^S(\beta) = P_S(\cos\beta)$$
 Legendre polynomial

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Quantum-Number Projected PIRG (OP-PIRG)

Mizusaki and Imada

$$|\psi_g\rangle = \lim_{\tau \to \infty} e^{-\tau H} |\phi_{initial}\rangle$$
 PRB69, 125110 (2004)

$$\lim_{\tau \to \infty} e^{-\tau H} \mathcal{L} \left| \phi_{initial} \right\rangle$$

is replaced with $\lim_{\tau \to \infty} [\mathcal{L}e^{-\Delta \tau H_K} \prod_i \mathcal{L}e^{-\Delta \tau H_{Ui}}]^M |\phi_{initial}\rangle$

Yrast state;

lowest energy state with specified quantum number

1/1, 1/1/A DA

Quantum Number Projection + PIRG

Spin, Momentum, ... QMC -1.8574(14) QP-PIRG -1.85790(2)

PIRG+QP QP-PIRG



Excited States Energy Dispersion Yrast states

Excitation Spectra, Dispersion



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Grand Canonical Ensemble

Watanabe, MI; JPSJ 73 (2004) 1251

 $H = H - \mu \hat{N}_{a}$ Particle-hole transformation $\begin{cases} c_{k\uparrow} \rightarrow c_{k} \\ c_{k\downarrow} \rightarrow d_{k}^{+} \end{cases}$ **Transformed Hamiltonian:** $H = H_t + H_U - \left(\frac{U}{\Delta} + \mu\right)N$ $H_t = -\sum_{\langle ij \rangle} t_{ij} \left(c_i^+ c_j + c_j^+ c_i \right) + \left(\frac{U}{2} - \mu \right) \sum_i c_i^+ c_i$ $+\sum_{\langle ij\rangle} t_{ij} \left(d_i^+ d_j + d_j^+ d_i \right) + \left(\frac{U}{2} + \mu \right) \sum_i d_i^+ d_i$ $H_U = -U\sum c_i^+ c_i d_i^+ d_i$ IVI. INTAL DAL

Extended basis



Total electron number

$$N_{e} = \sum_{i\sigma} \left\langle c_{i\sigma}^{+} c_{i\sigma} \right\rangle = N + \sum_{i} \left\langle c_{i}^{+} c_{i}^{-} - d_{i}^{+} d_{i} \right\rangle$$

$$N_{e} = \sum_{i\sigma} \left\langle c_{i\sigma}^{+} c_{i\sigma} \right\rangle = N + \sum_{i} \left\langle c_{i}^{+} c_{i}^{-} - d_{i}^{+} d_{i} \right\rangle$$

Several Applications

Hubbard Model

Phase Diagram of Mott Transition in the 2D Hubbard model; *T*=0



Mott transition in experiments





Nonmagnetic Mott insulator/phase



Nature of spin liquid phase: Spin-gapless phase



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k-dependence of gapless excitation



Very small dispersion (incoherent excitation)? or continuum of excitation (spinon Stoner excitation)?

Coherence

gapless excitation structure

spin renormalization factor $Z_{s} = |\langle S = 1, q | \sum_{k} c^{\dagger}_{\uparrow}(k+q)c_{\uparrow}(k) - c^{\dagger}_{\downarrow}(k+q)c_{\downarrow}(k) | S = 0, q = 0 \rangle|$ $= |\langle S = 1, q | \sum_{k} S^{+}(q) | S = 0, q = 0 \rangle|$ **particle-hole excitation**

no fractionalization

Zs/ N 0 for N



Exotic Spin Liquid at Registered Phase









X=Cu₂(CN)₃ $t'/t \sim 1.0$, (largest among ET family) $U/t \sim 8$

Shimizu, Maesato, Saito, Miyanaga, Kanoda (2003)

No signature of magnetic transition down to 0.03K

No long-ranged order Gapless excitations

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Electronic Structure Calculation of Realistic Systems

Downfolded Hamiltonian

Imai, Solovyev,MI PRL, 95 (2005) 176405

 $H = \sum_{k} \varepsilon_{kn} c^{\dagger}_{kn} c_{kn} + \frac{1}{2} \sum_{k} c^{\dagger}_{kn} c_{kn'} U_{nn',mm'} (k,k') c^{\dagger}_{k'm} c_{k'm'}$ $2_{k,k',n,m,n',m'}$



solver; PIRG

xy=1, yz=2, zx=3

interaction

(1) intra-orbital Coulomb interaction:
U(1)=2.772451, U(2)=2.583078, U(3)=2.583069
(2) inter-orbital Coulomb interaction:
U'(1,2)=1.346084, U'(1,3)=1.346081,
U'(2,3)=1.279618
(3) exchange and pair hopping:
J(1,2)=0.654524, J(1,3)=0.654524, J(2,3)=0.639163

level -0.8468 -0.9288

degeneracy of yz,zx

hopping 1.000000 0.000000 0.000000 vector: -0.2184 0.0000 0.0000 0.0000 -0.0451 0.0000 0.0000 0.0000 -0.1940 1.000000 1.000000 0.000000 -0.0753 0.0000 0.0000 0.0000 0.0094 0.0029 0.0000 0.0029 0.0094 0.500000 0.500000 1.638780 0.0020 0.0056 0.0056 0.0056 -0.0157 -0.0089 0.0056 -0.0089 -0.0157 2.000000 0.000000 0.000000 -0.0035 0.0000 0.0000 0.0000 0.0004 0.0000 0.0000 0.0000 0.0175

Renormalization factor

 $Z = [1 - \partial \Sigma / \partial \omega]^{-1} \approx 0.8$ renormalization factors: $Z_{xy}=0.81 \qquad Z_{yz} \neq 0.80 \text{ (ADA)}$



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Spin singlet ground state

PIRG result; $\lambda_c \sim 0.9$

Close to the MI transition

Imai, Solovyev,MI PRL, 95 (2005) 176405

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Electronic Structure by Our Method I

Sr ₂ VO ₄	conduction	gap	magnetism
LDA	metal	0	para
Hartree-Fock	insulator	0.3eV	ferro
experiment	slightly insulating (close to transition)	~ 0-0.15eV	AF? not well known
DFT-PIRG	close to transition (slightly insulating)	~ 0-0.1eV	nontrivial AF, stripe orbital plaquette spin order
experimental test			

Electronic structure of YVO₃





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Electronic structure of YVO₃



gap ~ 0.7 eV

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Summary

Algorithm of Auxiliary-Field Path Integral for Fermions

PIRG; quantum number projection

Applications Hubbard models; Mott transitions quantum spin liquids Realistic models; DFT+PIRG downfolding + low-energy solver

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Convergence depends on lattice structure, interaction and system size

Local minimum

