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## High-energy spectroscopy of NaV<sub>2</sub>O<sub>5</sub>

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## Abstract

Oxygen K-edge X-ray absorption spectroscopy of NaV<sub>2</sub>O<sub>5</sub> at various temperatures both above and below  $T_c = 34$  K for  $E \parallel a$  and  $E \parallel b$  was carried out. The spectra taken above  $T_c$  showed little temperature dependence whereas significant change was observed between the spectra taken at 70 and 25 K. This change in the electronic structure through  $T_c$  may be due to the phase transition from the V-O-V molecular orbital state to the charge disproportioned ground state. © 2000 Elsevier Science B.V. All rights reserved.

Keywords: Spin-Peierls transition; NaV<sub>2</sub>O<sub>5</sub>; Charge ordering

Recently, NaV<sub>2</sub>O<sub>5</sub> was found to be a quasi-one-dimensional spin system which exhibits a spin-Peierls (SP) transition at 34 K [1]. Its crystal structure was reported to be noncentrosymmetric structure (P2<sub>1</sub>mn) characterized by the charge ordered  $V^{4+}$   $(S=\frac{1}{2})$  and  $V^{5+}$  (S=0)chains along the b-axis [2]. This discovery has invoked strong interest in NaV<sub>2</sub>O<sub>5</sub> and joined many theoretical and experimental efforts. However, those experimental results were not always explained in terms of a SP transition. As for the crystal symmetry at room temperature, a new X-ray diffraction experiment revealed a centrosymmetric (Pmmn) crystal structure with only one type of V site, indicating it to be a quarter-filled ladder compound with the spins carried by V-O-V molecular orbitals on the rungs [3]. Therefore, the crystal symmetry as well as the spin state of NaV<sub>2</sub>O<sub>5</sub> both above and below  $T_c$  is still a controversial issue. In this work, we performed oxygen K-edge X-ray absorption spectroscopy (XAS) of NaV2O5 at temperatures above and below  $T_c$ . O K-edge XAS is a very useful tool in the study of this phase transition because it maps the unoccupied states of the V 3d band through O 2p-V 3d covalent mixing.

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The XAS measurements were carried out at the BL-19B at the Photon Factory, using the varied-line space-grating monochromator. The XAS spectra were measured by fluorescence yield to avoid surface contribution in an ultrahigh vacuum chamber where the base pressure was approximately  $5 \times 10^{-10}$  Torr. The single crystal of NaV<sub>2</sub>O<sub>5</sub> was cleaved in situ parallel to the ab-plane. The single crystal growth and sample characterization are described elsewhere [1].

Fig. 1(a) shows the O K-edge XAS spectra of NaV<sub>2</sub>O<sub>5</sub> taken at several temperatures for  $E \parallel b$ . The spectra have structures up to about 536 eV due to V 3d character in the unoccupied states. Note that little temperature dependence was observed above 70 K, whereas significant change was observed between the spectra taken at 25 and 70 K. This means the change of the electronic structure of the V 3d band accompanies this transition. The spectra taken above 70 K have a strong peaked structure at 530.6 eV and a broad structure around 533 eV. Roughly speaking, the former structure corresponds to  $d_{xy}$ ,  $d_{yz}$ , and  $d_{zx}$  orbitals and the latter one to the  $d_{x^2-y^2}$  and  $d_{3z^2-r^2}$  states, respectively. At 25 K, the intensity just above the absorption edge is strongly suppressed. According to the calculated energy bands within densityfunctional theory [3], this suppressed structure corresponds to the bonding-type V-O-V molecular orbitals made up of the  $d_{xy}$  orbitals which consist the rung of the V ladder. That is to say the electronic structure of the bonding molecular orbitals undergoes significant change at 34 K. Furthermore, the higher-lying bands of the 70

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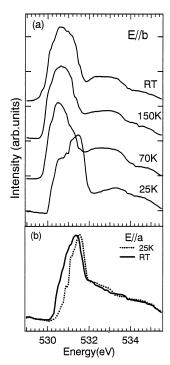


Fig. 1. (a) Temperature dependence of oxygen K-edge XAS spectra of  $\mathrm{NaV_2O_5}$  for  $E\|b$  taken at 25, 70, 150 K, and room temperature. (b) Temperature dependence for  $E\|a$  taken at 25 K and room temperature.

and 25 K spectra also show difference in their shapes and peak positions, which is indicative of the existence of other transformation derived from the transition.

The temperature dependence for  $E \parallel a$  XAS spectra is shown in Fig. 1(b). As compared with the  $E \parallel b$  spectra, less temperature dependence was observed. They both exhibit a single peak structure around 531.4 eV and little difference were observed in the higher-lying bands. The only difference was the spectral intensity at lower-energy side of the peak around 530-532 eV. It can also be attributed to the change in the bonding  $V d_{xv}$  orbital. Aside from the  $d_{xy}$  orbitals, the electronic structure along the a-axis hardly changed contrary to that along the b-axis. Here, we compared our XAS results with the reported optical conductivity spectra. In the optical conductivity experiment [4], two spectral features ascribed to charge transfer excitations from the occupied V 3d to the empty 3d site (d-d transition) and that from O  $p_x$  or  $p_y$  to V  $d_{xy}$  orbitals were observed. The former feature is unable to observe in XAS. The latter structure, on the other hand, roughly corresponds to a XAS spectrum that reflects an excitation from O 1s to V 3d orbitals. However, those two structures in the optical spectra scarcely changed over a wide temperature range above and below  $T_{\rm c}$ , which contradicts our XAS results. Nishimoto et al. [5] explained this result by assuming the system to be in a charge disproportioned state both above and below  $T_{\rm c}$ , and attributed the conflict with the recent X-ray structural analysis [3] and NMR measurement [6] to an issue of time scale of measurement. If this assumption works, no temperature dependence should be observed in the XAS spectra because the frequency of the electric field of X-ray is incomparably faster than the charge fluctuation of V ions. Although we cannot definitely conclude this, there should occur significant change in the electronic state such as the molecular orbital state to the localized charge disproportioned one to explain this temperature dependence.

In addition to the temperature dependence, a polarization dependence of the XAS spectra of NaV<sub>2</sub>O<sub>5</sub> is worthy of note. First, we compared the E||a| and the E||b|spectra taken at room temperature. The  $E \parallel b$  spectrum arises around 529.5 eV whereas no intensity is observed in the  $E \parallel a$  spectrum in this energy region. This result is consistent with the calculations which revealed that the bands which disperse around the Fermi level are only seen in the  $\Gamma$ -Y direction or b-axis. Here again, we compared the XAS results with the optical conductivity experiment. The excitation feature from O to V  $d_{xy}$  orbitals was reported to be anisotropic between  $E \parallel a$  and E||b| [4]. The onset energy of this feature is different by about 0.5 eV, which is quantitatively consistent with our XAS spectra. This is the evidence of strong one-dimensionality in NaV<sub>2</sub>O<sub>5</sub>. Next, we focused our attention to the polarization dependence below  $T_{\rm c}$ . Similarly, strong difference was observed between the E||a| and E||b|spectra. Especially, the intensity just above the threshold is quite different, but the both curves reach maxim at 531.6 eV and the onset energy is not so different as that of room temperature.

In conclusion, the temperature dependence and the polarization dependence of O K-edge XAS was reported. We observed that significant changes in electronic state occur along the b-axis at 34 K. We must conclude that the crystal symmetry of NaV<sub>2</sub>O<sub>5</sub> of the higher- and the lower-temperature phase are different, but this contradicts with the analysis of the optical conductivity experiment. To the further understanding of the phase transition of NaV<sub>2</sub>O<sub>5</sub>, we must wait for detailed experimental and theoretical studies.

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