

# Charge-discharge mechanism of electrode materials for Li-ion batteries as seen via soft x-ray absorption/emission spectroscopy

Daisuke Asakura<sup>1</sup>, Eiji Hosono<sup>1</sup>, Yusuke Nanba<sup>1</sup>, Hideharu Niwa<sup>2</sup>, Hisao Kiuchi<sup>2</sup>, Jun Miyawaki<sup>3,4</sup>, Haoshen Zhou<sup>1</sup>, Masaharu Oshima<sup>2,3</sup> and Yoshihisa Harada<sup>3,4</sup>

<sup>1</sup>*Energy Technology Research Institute, National Institute of Advanced Industrial Science and Technology*

<sup>2</sup>*Department of Applied Chemistry, The University of Tokyo*

<sup>3</sup>*Synchrotron Radiation Research Organization, The University of Tokyo*

<sup>4</sup>*Institute for Solid State Physics, The University of Tokyo*

To improve the performance of electrode materials for Li-ion batteries, understanding the charge-discharge properties from a view point of electronic structure, i.e., revealing redox reaction of the transition-metal (TM) in the electrode materials during Li-ion insertion/extraction is highly important. Core-level photoemission spectroscopy and x-ray absorption spectroscopy at the TM *K* edge have widely been used to study the valence change of the electrode materials during the charge/discharge process. However, these methods are not so suitable to extract the information of TM 3*d* orbitals such as crystal-field splitting and charge-transfer (CT) effect.

In order to clarify the TM 3*d* electronic structure in detail, we utilized high-energy-resolution soft x-ray emission spectroscopy (XES) which can element-selectively reveal the TM 3*d* orbital below the Fermi level. In this study, the Mn 3*d* electronic structure of LiMn<sub>2</sub>O<sub>4</sub> was investigated. Spinel-type LiMn<sub>2</sub>O<sub>4</sub> is a typical cathode material for Li-ion batteries [1]. The average valence of Mn is expected to be Mn<sup>3.5+</sup> at the initial state. At the charged state (Li-extracted Mn<sub>2</sub>O<sub>4</sub>), the Mn should be oxidized from Mn<sup>3.5+</sup> to Mn<sup>4+</sup>.

LiMn<sub>2</sub>O<sub>4</sub> powder was fabricated by a sol-gel method. The cubic crystal structure was confirmed by x-ray diffraction. The electrochemical experiments were carried out according to Ref. 2.

Soft x-ray absorption spectroscopy (XAS) and XES measurements at the Mn *L*<sub>2,3</sub> edges were performed at BL07LSU in SPring-8. The total electron-yield mode was employed for the XAS. The XES measurements were carried out using an ultra-high-resolution XES spectrometer, HORNET [3]. The energy resolution was set to  $E/\Delta E = 3200$ . All the XAS and XES measurements were performed at room temperature.

Figure 1 shows the Mn *L*<sub>2,3</sub>-edge XAS of LiMn<sub>2</sub>O<sub>4</sub> for the initial, fully-charged, and discharged states. According to previous XAS studies for several Mn compounds and multiplet calculations [4], the multiplet structure of the initial-state spectrum suggests that Mn<sup>3+</sup> and Mn<sup>4+</sup> states coexist. In the *L*<sub>3</sub> region, the peaks at 640 and 642 eV were ascribed to the Mn<sup>3+</sup> state and those at 640.8 and 643 eV were attributed to the Mn<sup>4+</sup> state. At the charged state, the Mn<sup>3+</sup> component decreased and the Mn<sup>4+</sup> character was enhanced, suggesting the oxidation of Mn by charging.

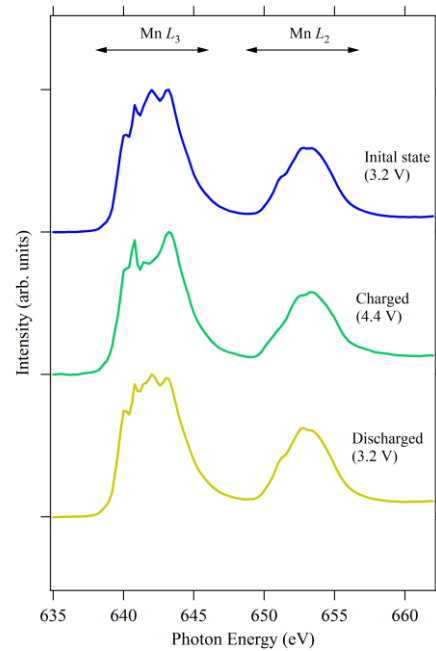


Fig. 1: Mn *L*<sub>2,3</sub>-edge XAS for LiMn<sub>2</sub>O<sub>4</sub> during charge-discharge.

The discharged-state XAS spectrum is almost the same as the initial-state one. Thus, a reversible redox reaction of  $\text{Mn}^{3.5+}$  to  $\text{Mn}^{4+}$  was confirmed.

To separately discuss the  $\text{Mn}^{4+}$  state from  $\text{Mn}^{3+}$  one, we chose excitation energies ( $E_i$ ) of 642 and 643 eV for XES since the  $\text{Mn}^{3+}$  and  $\text{Mn}^{4+}$  characters should be enhanced at 642 and 643 eV,

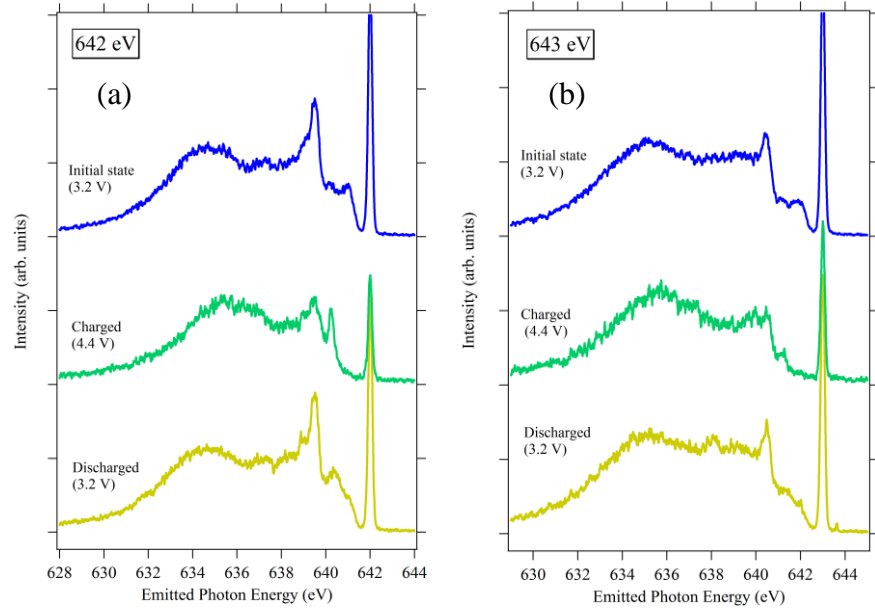


Fig. 2: Resonant XES for  $\text{LiMn}_2\text{O}_4$  with  $E_i =$  (a) 642 and (b) 643 eV.

respectively. Figure 2 displays the resonant XES results with  $E_i = 642$  and 643 eV. The  $dd$ -excitation peaks from 637 to 641.5 eV obviously changed during charge-discharge. Remarkably, the peak at 641 eV nearest to the elastic peak, which was observed for the initial state, disappeared by charging and emerged in the discharged-state spectrum. This result indicates that the peak at 641 eV is attributed to the  $\text{Mn}^{3+}$  state and that the electron near the Fermi level was removed by charging. The  $\text{Mn}^{4+}$  state should be dominant for the charged state. In the case of  $E_i = 643$  eV (Fig. 2(b)), changes of the  $dd$ -excitation peaks between the initial and charged states were small compared to the case of  $E_i = 642$  eV, which confirms that the  $\text{Mn}^{4+}$  character should be enhanced for the initial state with  $E_i = 643$  eV. Furthermore, the CT excitation from 630 to 637 eV observed in the initial-state spectrum with  $E_i = 642$  eV approached to the elastic peak by  $\sim 1.5$  eV for the charged state, indicating the CT effects between the  $\text{Mn}^{3+}$  and  $\text{Mn}^{4+}$  states should be different. In addition, the discharged-state spectra did not absolutely reproduce the spectral shape of the initial state as for the region from 639.5 to 641.5 eV in the case of  $E_i = 642$  eV and that from 640.5 to 642.5 eV for  $E_i = 643$  eV while the other parts were reproduced. The charge-discharge process should slightly affect the crystal field with a Jahn-Teller distortion on the  $\text{Mn}^{3+}$  site. The small changes of the  $\text{Mn}^{3+}$  state which were hardly observed in XAS could be detected by use of high-energy-resolution resonant XES.

In summary, XES measurements for  $\text{LiMn}_2\text{O}_4$  were performed to reveal the Mn 3d electronic structure during charge-discharge process. We demonstrate that the  $\text{Mn}^{3+}$  and  $\text{Mn}^{4+}$  states are successfully distinguished by using high-energy-resolution resonant XES. In future, multiplet calculations will be performed to determine the electronic-structure parameters for both the  $\text{Mn}^{3+}$  and  $\text{Mn}^{4+}$  states.

## References

- [1] M. M. Thackeray *et al.*, Mater. Res. Bull. **18**, 461, (1983).
- [2] M. Okubo *et al.*, ACS Nano **4**, 741 (2010).
- [3] Y. Harada *et al.*, Rev. Sci. Instr. **83**, 013116 (2012).
- [4] F. M. F. de Groot, J. Electron Spectrosc. Relat. Phenom. **67**, 529 (1994).